EuroIntervention

Umbilical cord blood derived stem cells

Amber D. Moelker¹, MSc; Kim M.A.M.Wever¹, MSc; Jan J. Cornelissen², PhD; Dirk J. Duncker¹, MD, PhD; Wim J. van der Giessen^{1,3*} MD, PhD

1. Department of Cardiology, Thoraxcenter, Erasmus MC, Rotterdam, The Netherlands; 2. Department of Hematology, Erasmus MC, Rotterdam, The Netherlands; 3. Interuniversity Cardiology Institute of the Netherlands (ICIN), Utrecht, The Netherlands

The authors have no conflict of interest to declare.

KEYWORDS

Umbilical cord blood cells, myocardial regeneration, stem cell therapy

Abstract

Umbilical cord blood (UCB) cells may offer a promising, off-the-shelf, therapy for the treatment of myocardial infarction. In this review, the current use of UCB as an alternative to bone marrow transplantation is described. In addition, preclinical studies using umbilical cord blood derived cells for cardiac regeneration are discussed including our own experience in a porcine model of reperfused myocardial infarction.

* Corresponding author: Thoraxcenter, Ba 587, Erasmus University Medical Center Rotterdam, P.O. Box 2040, 3000 CA Rotterdam, The Netherlands

E-mail: w.j.vandergiessen@erasmusmc.nl

© Europa Edition 2007. All rights reserved.



Introduction

Myocardial infarction (MI) is a major cause of heart failure, and thus forms one of the main targets for cardiac regeneration by cell transplantation. The treatment of MI with stem cell therapy seems promising, however the cellular source with the highest potential for cardiac regeneration remains unclear. To optimally treat MI patients with stem cell therapy, a widely available source of stem cells would be of great use¹. Many candidates have been proposed in clinical and experimental cell transplantation, e.g. bone marrow mononuclear cells, mesenchymal adult progenitor cells, cardiac progenitor cells and skeletal myoblasts¹. Umbilical cord blood (UCB) derived cells have the advantage of being easy to obtain in large numbers, which is especially important for the sick and elderly population because they may have impaired stem cell numbers and their cells may have a decreased capacity for proliferation and differentiation². Together with their presumed hypo-immunogenic properties, UCB holds great potential to facilitate cardiac regeneration after myocardial infarction.

UCB in routine clinical use

Allogeneic haematopoetic stem cell transplantation has been firmly established as an important treatment modality for advanced haematological diseases. However, allogeneic transplantation has been limited by the availability of suitable related and unrelated donors. Haematopoietic progenitor cells can be harvested from the donor bone marrow, from mobilised peripheral blood, and such progenitors can also be found in UCB. Although the first transplantation was reported more than a decade ago, UCB is still considered a relatively novel source of haematopoetic progenitor cells. The first clinical application of UCB was reported in 1972 when American scientists transfused foetal cord blood cells into a 16-year old boy with acute lymphoblastic anaemia. He received UCB units from 8 different donors from which one unit engrafted successfully and lasted 38 hours³. The first successful transplantation had to wait until 1989 in the treatment of a child with Fanconi's anemia⁴. Since then, regeneration of haematopoiesis by UCB is considered as a valuable alternative source for bone marrow derived stem cells, especially in

paediatric patients. The relatively low number of progenitor cells in UCB has long limited the use mainly to paediatric patients, but the development of UCB transplantation in adults with haematological disorders is now rapidly evolving. Recent comparative studies have suggested that outcome after UCB transplantation compares well to outcome after volunteer unrelated donor transplantation^{5,6}. However, major challenges of UCB transplantation in adults still include better approaches to improve engraftment, reduce the incidence of graft versus host disease and improve immune reconstitution⁷. Apart from the presence of haematopoetic progenitor cells, UCB may contain other progenitor cells, including mesenchymal, endothelial stem cells, and neuronal precursor cells. The combination of haematopoetic and mesenchymal stem cells as well as endothelial progenitors and the presumed hypo-immunogenicity of UCB sparks hope for the future of stem cell mediated tissue regeneration. To date clinical trials on the use of UCB in non haematopoetic disorders are scarce, but experimental data hold promises for the future. In neurology, UCB have been identified as candidates for tissue regeneration in a range of neurodegenerative disorders⁸. In comparison, in the field of cardiology, recent evidence for possible tissue regeneration in vitro of several cardiac cell types like heart valves9,10, blood vessels9 and cardiomyocytes¹¹ have lead to an increased interest in UCB.

Studies in animal models

In the last three years, nine preclinical studies¹²⁻²⁰ have been published in which UCB derived cells were studied in the heart (Table 1). All but one¹⁸ evaluated the effect of UCB derived cell injection in a MI model. MI was induced by a permanent occlusion of the left anterior descending coronary artery^{12-17,19,20} or by cryoinfarction²⁰. Cells were administered intramyocardially^{12-15,18-20} or intravenously^{16,17,20}. Table 1 shows that studies used different derived umbilical cord blood cells and injected different numbers of cells at various time points. The variety of experimental models can explain the equivocal effects of cell therapy after MI. In only two studies^{15,17}, a baseline measurement of left ventricular (LV) function after MI, but before stem cell injection, was performed. In previous studies in mice and

| Table 1. E | Effect of cord | blood derived | cell therapy in | n experimental | myocardial | infarction. |
|------------|----------------|---------------|-----------------|----------------|------------|-------------|
|------------|----------------|---------------|-----------------|----------------|------------|-------------|

| | | n | | Cells | | Time | | Results | | | |
|-----------------------|---------|---------|---------|------------------|-------|-----------------------|----------------|-----------|-------------|-----------------|------|
| | Species | Treated | Control | Туре | Route | # | Cell injection | Follow up | LV function | Histo | logy |
| Hu ¹² | Rats | 15 | 15 | MNC, 24h culture | i.m | 10×10^{6} | After MI | 4 weeks | EF ↑ | IS \downarrow | CD ↑ |
| Henning ¹³ | Rats | 38 | 33 | Fresh MNC | i.m | 1 x 10 ⁶ | 1 h | 4 months | EF ↑ | IS \downarrow | |
| Hirata ¹⁴ | Rats | 7 | 6 | Fresh CD34+ | i.m. | 0.2×10^{6} | 20 min | 4 weeks | FS ↑ | | CD ↑ |
| Kim ¹⁵ | Swine | 8 | 8 | Cultured USSC | i.m. | 100×10^{6} | 4 weeks | 4 weeks | EF ↑ | IS \downarrow | CD ↑ |
| Ma ¹⁶ | Mice | 19 | 6 | Fresh MNC | i.v. | 6×10^{6} | 24 h | 3 weeks | | IS \downarrow | CD ↑ |
| Leor ¹⁷ | Rats | 9 | 8 | Fresh CD133+ | i.v. | $1.2-2 \times 10^{6}$ | 7d | 1 month | FS ↑ | | CD = |
| Min ¹⁸ | Rats | 12 | - | Cultured MSC | i.m. | 1×10^{6} | - | 8 days | | | |
| Chen ¹⁹ | Mice | 5 | 5 | Cultured CD34+ | i.m. | 1×10^{6} | After MI | 4 weeks | EF ↑ | IS \downarrow | CD ↑ |
| Ma ²⁰ | Mice | ? | ? | Fresh MNC | i.v. | 6×10^{6} | 24 h | 4 weeks | | IS \downarrow | CD ↑ |
| | Mice | ? | ? | Fresh CD133+ | i.m. | 0.5×10^{6} | After MI | 3 weeks | FS = | IS = | CD ↑ |
| Moelker | Swine | 6 | 6 | Cultured USSC | i.c. | 100×10^{6} | 1 week | 4 weeks | EF = IS | \uparrow | |

n = number of animals; Cell injection = time of cell injection post MI; LV = left ventricular; MNC = umbilical cord blood derived mononuclear cells; USSC = umbilical cord blood derived unrestricted somatic stem cells; MSC = umbilical cord blood derived mesenchymal stem cells; i.m. = intramyocardial; i.v = intravenous; i.c. = intracoronary; EF = ejection fraction; FS = fractional shortening; IS = infarct size; CD = capillary density; \uparrow = increased; = no change; \downarrow = decreased



rats, a significant decrease in infarct size was observed in cell treated animals^{12,13,16,19,20}. Furthermore, a significant improvement in ejection fraction^{12,13,19} or fractional shortening^{14,17}, which was measured with echo, was observed in UCB derived cell treatment in rodents in all but one study²⁰. Only one study¹⁴ reported a positive effect of UCB on LV remodelling. In several studies, histology showed a higher capillary density^{12,14,16,19,20} in cell treated animals compared to controls, however this finding was not observed by Leor et al¹⁷. In our laboratory MI was induced in 12 swine by proximal PTCA balloon occlusion of the left circumflex coronary artery for two hours followed by reperfusion²¹. Five additional swine were used as healthy controls to assess normal cardiac growth and function. One week after MI, a baseline MRI was performed on all swine to assess LV function and infarct size. The next day, six of the MI swine received an intracoronary injection of ~100x10⁶ umbilical cord blood derived cultured unrestricted human somatic stem cells (USSC) in 10 mL unconditioned culture medium. The other six MI swine received 10 mL medium intracoronary. Cells or medium were injected slowly (1 mL/min) in the infarct related artery. Four weeks later all animals underwent a second MRI to assess the effect of USSC treatment. The pigs were sacrificed afterwards and the hearts were examined by histology. All swine received 8 mg/Kg/day cyclosporine A, starting one week after MI. Four weeks after USSC injection in this porcine model of reperfused MI, we could not detect a beneficial effect of USSC treatment on global or regional LV function. Furthermore, there was no reduction observed in infarct size as measured with delayed enhancement MRI compared to medium only treated animals. Infarct size actually increased slightly after USSC injection. Histology showed a transmural infarct in all MI-swine, containing abundant collagen and fibrous tissue. In USSC treated swine an increased number of calcifications and inflammatory cells (CD3+ and CD45+) were detected. Immunohistochemistry showed that four weeks after injection USSC had not transdifferentiated into a cardiac or vascular phenotype. However, some USSC had become CD45 positive.

The different results between the preclinical studies predominantly performed in small rodents and in our own laboratory, can be explained by the differences between the experimental models (Table 1). Differences in heart size, heart rate and haemodynamics between large mammals, such as the pig and rodents, could account for the differences found in cell therapy. We were the only study which created an infarct model with reperfusion, which close-ly matches clinical settings, but creates a very different environment for the injected cells. Furthermore, we injected cells intracoronary instead of intramyocardial or intravenously. The different infarct model and method of cell delivery we used could account for the differences observed after UCB cell therapy.

There are also large differences between the different UCB derived cell types which were injected in the studies; freshly isolated mononuclear cells^{13,16,20}, CD34+ cells¹⁴ and CD133+ cells^{17,20} were used but also cultured mononuclear cells¹², mesenchymal stem cells¹⁸, CD34+¹⁹ cells and USSC¹⁵. Furthermore the number of injected cells, the timing of cell injection and the follow-up time differs in all studies. Taken together all these differences in experimental set-up could be the reason why our results contradict earlier reports of the effect of UCB on myocardial infarction.

The study by Kim et al¹⁵, which was also performed in swine showed a beneficial effect on LV function of the same USSC cell line as used in our studies. However in that study, cells were injected intramyocardially, and furthermore, the MI was created by permanent LAD ligation. To get more insight in the contradictory findings between the study of Kim et al¹⁵ and our study we performed some additional experiments. We chose to inject cells into the healthy myocardium in five swine and sacrificed the animals four days later. Extensive micro infarctions (Figure 1A) could be observed four days after USSC injection in non-ischaemic myocardium caused by occluded vessels (Figure 1B). In situ hybridisation showed that the cells in the occluded vessel were predominantly of human origin. Micro infarctions were also observed by Vulliet et al²² after intracoronary injection of cultured mesenchymal stem cells in dogs. These findings suggest that intracoronary injection of these cultured USSC is not suitable, but intramyocardial injection might be preferable. Further studies are required to investigate whether USSC occlude vessels due to their large cell size (~20 µm). Bone marrow derived mononuclear cells, however, are only ~5-7 µm in size.

In some studies immune suppression was administrated to the animals^{14,15,18}. Min et al¹⁸ showed that seven days after injection, human cells could not be detected any more in rats which did not receive immune suppression. With immune suppression human UCB derived cells could still be detected eight days after injection.



Figure 1: A. Haematoxylin-Eosin staining four days after USSC injection in normal myocardium. Note the extensive fibrosis and calcification. Arrows indicate surviving myocytes. B. Haematoxylin-Eosin staining of an occluded vessel four days after USSC injection in normal non-ischaemic myocardium.



Three other studies^{16,17,20} showed that after injection of human cells in rodents, these cells could be detected in the hearts of some, but not all, of the injected animals. Overall, it is still unclear whether UCB cells are really hypo immunogenic. Kim et al¹⁵ even observed a higher number of CD3 positive cells and macrophages in cell treated swine. But they could also detect human cells which were positive for cardiomyocyte specific markers such as troponin I and myosin heavy chain. All other preclinical studies showed that the human UCB derived cells were detected near vessels and myocytes or myofibroblast, but the injected cells predominantly kept their haematopoetic phenotype.

Conclusions

Treatment of infarcted myocardium with UCB derived cells is promising but there should be caution when using these cells in patients. The results of our own experiment suggest that intracoronary injection of cultured UCB derived stem cells is not suitable. More studies in large animal models with reperfused MI are needed to investigate the optimal mode of administration for these cells. Furthermore, since the immunogenicity of these cells is not yet determined, this should be tested as well in appropriate models.

Since an infarct area is a very hostile environment for injected cells due to ischaemia and inflammation, it is not surprising that very few cells seems to survive, and that thus far limited evidence exists that injected cells are able to differentiate towards a cardiomyocyte-like phenotype. Potential approaches to overcome these limitations might be the overexpression of survival genes or to direct the differentiation of the UCB cells towards a cardiac lineage before injection.

References

1. Bartunek J, Dimmeler S, Drexler H, Fernandez-Aviles F, Galinanes M, Janssens S, Martin J, Mathur A, Menasche P, Priori S, Strauer B, Tendera M, Wijns W, Zeiher A. The consensus of the task force of the European Society of Cardiology concerning the clinical investigation of the use of autologous adult stem cells for repair of the heart. *Eur Heart J.* 2006;27:1338-40.

2. Vasa M, Fichtlscherer S, Aicher A, Adler K, Urbich C, Martin H, Zeiher AM, Dimmeler S. Number and migratory activity of circulating endothelial progenitor cells inversely correlate with risk factors for coronary artery disease. *Circ Res.* 2001;89:E1-7.

3. Ende M, Ende N. Hematopoietic transplantation by means of fetal (cord) blood. A new method. *Va Med Mon (1918)*. 1972;99:276-80.

4. Gluckman E. Bone marrow transplantation for Fanconi's anaemia. *Baillieres Clin Haematol.* 1989;2:153-62.

5. Laughlin MJ, Eapen M, Rubinstein P, Wagner JE, Zhang MJ, Champlin RE, Stevens C, Barker JN, Gale RP, Lazarus HM, Marks DI, van Rood JJ, Scaradavou A, Horowitz MM. Outcomes after transplantation of cord blood or bone marrow from unrelated donors in adults with leukemia. *N Engl J Med.* 2004;351:2265-75.

6. Rocha V, Labopin M, Sanz G, Arcese W, Schwerdtfeger R, Bosi A, Jacobsen N, Ruutu T, de Lima M, Finke J, Frassoni F, Gluckman E. Transplants of umbilical-cord blood or bone marrow from unrelated donors in adults with acute leukemia. *N Engl J Med*. 2004;351:2276-85.

7. Brunstein CG, Wagner JE. Cord blood transplantation for adults. *Vox Sang.* 2006;91:195-205. 8. El-Badri NS, Hakki A, Saporta S, Liang X, Madhusodanan S, Willing AE, Sanberg CD, Sanberg PR. Cord blood mesenchymal stem cells: Potential use in neurological disorders. *Stem Cells Dev.* 2006;15:497-506.

9. Perry TE, Roth SJ. Cardiovascular tissue engineering: constructing living tissue cardiac valves and blood vessels using bone marrow, umbilical cord blood, and peripheral blood cells. *J Cardiovasc Nurs.* 2003;18:30-7.

10. Schmidt D, Asmis LM, Odermatt B, Kelm J, Breymann C, Gossi M, Genoni M, Zund G, Hoerstrup SP. Engineered living blood vessels: functional endothelia generated from human umbilical cord-derived progenitors. *Ann Thorac Surg.* 2006;82:1465-71; discussion 1471.

11. Kadivar M, Khatami S, Mortazavi Y, Shokrgozar MA, Taghikhani M, Soleimani M. *In vitro* cardiomyogenic potential of human umbilical veinderived mesenchymal stem cells. *Biochem Biophys Res Commun.* 2006;340:639-47.

12. Hu CH, Wu GF, Wang XQ, Yang YH, Du ZM, He XH, Xiang P. Transplanted human umbilical cord blood mononuclear cells improve left ventricular function through angiogenesis in myocardial infarction. *Chin Med J (Engl).* 2006;119:1499-506.

13. Henning RJ, Abu-Ali H, Balis JU, Morgan MB, Willing AE, Sanberg PR. Human umbilical cord blood mononuclear cells for the treatment of acute myocardial infarction. *Cell Transplant.* 2004;13:729-39.

14. Hirata Y, Sata M, Motomura N, Takanashi M, Suematsu Y, Ono M, Takamoto S. Human umbilical cord blood cells improve cardiac function after myocardial infarction. *Biochem Biophys Res Commun.* 2005;327:609-14.

15. Kim BO, Tian H, Prasongsukarn K, Wu J, Angoulvant D, Wnendt S, Muhs A, Spitkovsky D, Li RK. Cell transplantation improves ventricular function after a myocardial infarction: a preclinical study of human unrestricted somatic stem cells in a porcine model. *Circulation*. 2005;112:I96-104.

16. Ma N, Stamm C, Kaminski A, Li W, Kleine HD, Muller-Hilke B, Zhang L, Ladilov Y, Egger D, Steinhoff G. Human cord blood cells induce angiogenesis following myocardial infarction in NOD/scid-mice. *Cardiovasc Res.* 2005;66:45-54.

17. Leor J, Guetta E, Feinberg MS, Galski H, Bar I, Holbova R, Miller L, Zarin P, Castel D, Barbash IM, Nagler A. Human umbilical cord bloodderived CD133+ cells enhance function and repair of the infarcted myocardium. *Stem Cells*. 2006;24:772-80.

18. Min JJ, Ahn Y, Moon S, Kim YS, Park JE, Kim SM, Le UN, Wu JC, Joo SY, Hong MH, Yang DH, Jeong MH, Song CH, Jeong YH, Yoo KY, Kang KS, Bom HS. *In vivo* bioluminescence imaging of cord blood derived mesenchymal stem cell transplantation into rat myocardium. *Ann Nucl Med.* 2006;20:165-70.

19. Chen HK, Hung HF, Shyu KG, Wang BW, Sheu JR, Liang YJ, Chang CC, Kuan P. Combined cord blood stem cells and gene therapy enhances angiogenesis and improves cardiac performance in mouse after acute myocardial infarction. *Eur J Clin Invest.* 2005;35:677-86.

20. Ma N, Ladilov Y, Kaminski A, Piechaczek C, Choi YH, Li W, Steinhoff G, Stamm C. Umbilical cord blood cell transplantation for myocardial regeneration. *Transplant Proc.* 2006;38:771-3.

21. Moelker AD, Baks T, Spitskovsky D, Wielopolski PA, van Beusekom HMM, van Geuns RJ, Wnendt S, Duncker DJ, van der Giessen WJ. Intracoronary Delivery of Umbilical Cord Blood Derived Unrestricted Somatic Stem Cells is Not Suitable to Improve LV Function after Myocardial Infarction in Swine. *J Mol Cell Cardiol* (in press)

22. Vulliet PR, Greeley M, Halloran SM, MacDonald KA, Kittleson MD. Intra-coronary arterial injection of mesenchymal stromal cells and microinfarction in dogs. *Lancet.* 2004;363:783-4.

