Therapeutic potential of renal sympathetic denervation in patients with chronic heart failure

Michael Böhm*, MD; Sebastian Ewen, MD; Dominik Linz, MD; Jan-C. Reil, MD; Stephan H. Schirmer, MD; Christian Ukena, MD; Felix Mahfoud, MD

Klinik für Innere Medizin III, Universitätsklinikum des Saarlandes, Homburg, Germany

Abstract

Chronic heart failure is associated with sympathetic activation characterised by elevated circulating norepinephrine levels linked to cardiovascular morbidity and mortality. Norepinephrine induces phenotype changes of the cardiomyocyte, fibrosis and β -adrenergic signal transduction defects implicated in the dysregulation of contractility. Renal denervation reduces left ventricular hypertrophy and improves diastolic dysfunction, partly blood pressure independently. Also, exercise tolerance and cardiac arrhythmias are positively influenced. Furthermore, there is evidence that common comorbidities like sleep apnoea, metabolic disease and microalbuminuria are improved following renal denervation. The available evidence suggests performing randomised controlled trials to scrutinise whether renal sympathetic denervation might be able to improve morbidity and mortality in chronic heart failure with preserved or reduced ejection fraction.

Introduction

During regulation of sympathetic drive the kidney increases sympathetic outflow by afferent nerves^{1,2}, targeting organs like the heart, vessels, liver and in turn also the kidneys³. Excessive activation of the sympathetic nervous system is associated with the development of hypertension⁴, sleep apnoea⁵, metabolic disease⁶ and liver cirrhosis⁷. In the myocardium, the effects of sympathetic activation are mainly stimulated by beta1- and beta2-adrenoceptors⁸. After excessive stimulation, as occurs in severe hypertension⁹ and heart failure¹⁰, the beta-adrenergic signalling system is impaired potentially contributing to the pathophysiology of heart failure and hypertensive cardiomyopathy¹¹. Furthermore, elevated sympathetic tone might be relevant to increased adverse clinical outcome in heart failure, because elevated circulating concentrations of norepinephrine are associated with poor outcomes^{12,13}. Here we describe the rationale and potential applications of reducing sympathetic drive by catheter-based renal denervation in chronic heart failure.

Adrenergic signalling and changes in heart failure and cardiac hypertrophy

Chronic heart failure is characterised by an activation of the sympathetic nervous system as evidenced in several experimental models¹⁰ and in patients with heart failure^{12,13}. Excessive stimulation of betaadrenergic receptors by norepinephrine leads to receptor phosphorylation and consequent downregulation (**Figure 1**)¹⁴. In the failing heart, beta-adrenoceptor downregulation is well established^{8,15}. It has been closely associated with the reduction of the effect of betaadrenergic agonists¹⁶. Since beta-adrenergic-independent positive inotropic agents also have a reduced effect in failing myocardium¹⁷, there is evidence that post-receptor trends are relevant: these have been identified as an upregulation of inhibitory G-proteins (Gia)^{17,18}, an upregulation of beta-arrestins and beta-adrenergic receptor kinase^{19,20}. Similar results have been observed in hypertensive cardiac hypertrophy^{11,21,22} as well as in hypertensive cardiomyopathy²³. These effects which are sensitive to antihypertensive therapy^{24,26}

*Corresponding author: Universitätsklinikum des Saarlandes, Klinik für Innere Medizin III, Kardiologie, Angiologie und Internistische Intensivmedizin, Kirrberger Str. 1, DE-66424 Homburg/Saar, Germany. E-mail: michael.boehm@uks.eu



Figure 1. Scheme of functional defects in the failing human myocardium. Downregulation of cardiac beta-adrenoceptors (β -AR) and an increase of inhibitory guanine binding proteins (Gia) lead to a reduced formation of the intracellular second messenger cyclic adenosine monophosphate (cAMP). In addition to these postsynaptic changes, there could be a presynaptic defect in the sympathetic nerve system consisting of a reduced density of norepinephrine uptake carrier sites. The consequence could be an increase in the concentration of norepinephrine in the synaptic cleft³⁷. AC: adenylyl cyclise; ATP: adenosine triphosphate; M-CH: m-cholinoceptors; $\alpha_i(\alpha_s)$: $G_i(G_s)$ -protein subunit alpha; $\beta(\gamma)$: G-protein subunit beta (gamma). Modified from ³².

have been reported to occur in prehypertensive cardiac hypertrophy²⁷, and are also sensitive to treatment with inhibitors of the reninangiotensin system²⁸ at even subhypertensive doses²⁹. Besides an increase of circulating catecholamine concentrations^{12,13}, norepinephrine is released from the failing heart³⁰, which might at least in part be attributed to a reduction of norepinephrine uptake *in vivo*³¹ and *in vitro*³². Therefore, sympathetic activation directly influences the cardiac phenotype and limits the regulation of contractility in severe hypertensive cardiac hypertrophy^{11,21-29}, but also in chronic heart failure^{16-18,32}.

Change of the cardiac phenotype after renal denervation

Hypertensive cardiomyopathy is characterised by a high prevalence of fibrosis, diastolic dysfunction, and myocyte hypertrophy, which are predictive of the development of chronic heart failure³³. There is evidence that the direct effects of the sympathetic nervous system influence these processes³⁴. The degree of these changes is associated with comorbidities and mortality^{35,36} and is sensitive to antihypertensive treatments with significant variability in drug classes^{36,37}.

Following renal denervation, it was observed that left ventricular mass index at one and six months was significantly reduced³⁸. Interestingly, this was accompanied by a reduction of left ventricular filling patterns as judged from an improvement of lateral E/E' in echocardiography (**Figure 2**)³⁸. In this population, 25% fulfilled the echocardiographic criteria of heart failure with preserved ejection fraction according to the Guidelines of the European Society of

Cardiology³⁹. Since the antihypertrophic effect occurs quite early at one month³⁸, it was suggested that a blood-pressure-independent effect might play a certain role⁴⁰. If the changes observed herein are indeed significant predictors and surrogates of outcomes, these findings are a plea for a clinical study addressing heart failure, in particular in individuals with heart failure with preserved ejection fraction.

The evidence on effectiveness in patients with systolic heart failure is sparse. Several studies and investigations are planned to address whether the effects on symptoms and outcome surrogates can be improved by renal denervation. One early pilot study in seven patients showed a trend towards improvement of exercise tolerance⁴¹, which was the preparation for an outcome trial (REACH, NCT 01639378). Several other studies such as Symplicity-CHF and RE-ADAPT-CHF are addressing similar endpoints investigating cardiac function and structure as well as exercise tolerance.

The effect on comorbidities

Heart failure is associated with significant non-cardiac comorbidities affecting outcome³⁹. Renal impairment is present in about 50% of patients with significant heart failure³⁹ and is associated with further complications⁴². Influencing the cardio-renal syndrome of heart failure has the potential to improve outcomes beneficially. However, the interventions could also place these patients at risk due to the application of contrast dye and potential changes of intrarenal haemodynamics. In a preliminary study, microalbuminuria was observed to be reduced by renal denervation⁴³, potentially involving an improvement of intrarenal resistive indices⁴³. Furthermore, it was shown that

Hypertrophy and diastolic function according to blood pressure reduction



Figure 2. Changes of left ventricular mass index (LVMI) and improvement of diastolic function according to lateral E/E' (right) after one and six months, respectively, according to tertiles of blood pressure reduction. Note that ventricular mass changes and improvement of diastolic dysfunction occur in non-responders and those with low blood pressure response quite early at one month³⁸.

the procedure is safe in patients with moderate to severe kidney disease44. Associated with renal disease, metabolic syndrome is likewise a problem. Again, about 50% of patients with symptomatic heart failure suffer from insulin resistance and diabetes mellitus type 2^{39} . Renal denervation has been shown to reduce fasting glucose, insulin and C-peptide concentration and to improve glucose tolerance test⁴⁵. This finding is pathophysiologically plausible, because diabetes type 2 and insulin resistance are closely associated with sympathetic activation^{46,47} and represent an important comorbidity in heart failure³⁹, also in severe hypertension³. A significant number of heart failure patients suffer from sleep apnoea⁴⁹. Renal sympathetic denervation has been shown to improve sleep apnoea severity (measured by apnoea/hypopnoea index) and glycaemic control⁵⁰ providing evidence that these two conditions are also linked to each other. Finally, arrhythmias are a common problem in heart failure (leading to sudden death) with the necessity for device implantation³⁹. Experimentally, renal denervation was able to reduce susceptibility to atrial fibrillation^{51,52}. In a "first-inman" experience, it was shown that, in patients with cardiomyopathy and electrical storm, renal denervation was associated with an improvement and interruption of this life-threatening complication⁵³.

Summary

Heart failure is characterised by sympathetic overactivity, which is related to cardiovascular mortality and morbidity as well as directly changing the phenotype of the failing heart concerning cellular beta-adrenergic signal reduction and myocardial hypertrophy and fibrosis. Since all these factors are associated with outcomes, these findings urgently suggest performing a randomised controlled trial with renal denervation to provide evidence for outcome improvement in heart failure with preserved and reduced ejection fraction. The potential of this treatment to improve clinical care of this malignant condition is emphasised by the beneficial effects on the cardiac comorbidities of heart failure such as sleep apnoea, arrhythmia and metabolic syndrome which can be taken as surrogates for cardiovascular outcomes.

Acknowledgements

Scientific work is supported by the Deutsche Forschungsgemeinschaft (M. Böhm, C. Ukena), the Deutsche Hochdruckliga (F. Mahfoud, S. Ewen) and the Deutsche Gesellschaft für Kardiologie (M. Böhm, D. Linz, F. Mahfoud).

Conflict of interest statement

All authors received research support from Medtronic, Ardian and St. Jude.

References

1. Esler M. The 2009 Carl Ludwig Lecture: Pathophysiology of the human sympathetic nervous system in cardiovascular diseases: the transition from mechanisms to medical management. *J Appl Physiol.* 2010;108:227-37.

2. Patel KP, Knuepfer MM. Effect of afferent renal nerve stimulation on blood pressure, heart rate and noradrenergic activity in conscious rats. *J Auton Nerv Syst.* 1986;17:121-30.

3. Sobotka PA, Mahfoud F, Schlaich MP, Hoppe UC, Böhm M, Krum H. Sympatho-renal axis in chronic disease. *Clin Res Cardiol.* 2011;100:1049-57.

4. Esler M, Lambert G, Jennings G. Regional norepinephrine turnover in human hypertension. *Clin Exp Hypertens A*. 1989;11 Suppl 1:75-89.

5. Grassi G, Facchini A, Trevano FQ, Dell'Oro R, Arenare F, Tana F, Bolla G, Monzani A, Robuschi M, Mancia G. Obstructive sleep apnea-dependent and -independent adrenergic activation in obesity. *Hypertension*. 2005;46:321-5.

6. Huggett RJ, Scott EM, Gilbey SG, Stoker JB, Mackintosh AF, Mary DA. Impact of type 2 diabetes mellitus on sympathetic neural mechanisms in hypertension. *Circulation*. 2003;108:3097-101.

7. DiBona GF, Sawin LL. Role of renal nerves in sodium retention of cirrhosis and congestive heart failure. *Am J Physiol.* 1991;260:R298-305.

8. Brodde OE. Beta 1- and beta 2-adrenoceptors in the human heart: properties, function, and alterations in chronic heart failure. *Pharmacol Rev.* 1991;43:203-42.

9. Esler M. The sympathetic system and hypertension. *Am J Hypertens*. 2000;13:99S-105S.

10. Floras JS. Sympathetic nervous system activation in human heart failure: clinical implications of an updated model. *J Am Coll Cardiol.* 2009;54:375-85.

11. Castellano M, Böhm M. The cardiac beta-adrenoceptormediated signaling pathway and its alterations in hypertensive heart disease. *Hypertension*. 1997;29:715-22.

12. Cohn JN, Levine TB, Olivari MT, Garberg V, Lura D, Francis GS, Simon AB, Rector T. Plasma norepinephrine as a guide to prognosis in patients with chronic congestive heart failure. *N Engl J Med.* 1984;311:819-23.

13. Rector TS, Olivari MT, Levine TB, Francis GS, Cohn JN. Predicting survival for an individual with congestive heart failure using the plasma norepinephrine concentration. *Am Heart J.* 1987;114:148-52.

14. Hausdorff WP, Caron MG, Lefkowitz RJ. Turning off the signal: desensitization of beta-adrenergic receptor function. *FASEB J*. 1990;4:2881-9.

15. Bristow MR, Ginsburg R, Umans V, Fowler M, Minobe W, Rasmussen R, Zera P, Menlove R, Shah P, Jamieson S, et al. Beta 1- and beta 2-adrenergic-receptor subpopulations in nonfailing and failing human ventricular myocardium: coupling of both receptor subtypes to muscle contraction and selective beta 1-receptor down-regulation in heart failure. *Circ Res.* 1986;59:297-309.

16. Böhm M, Beuckelmann D, Brown L, Feiler G, Lorenz B, Näbauer M, Kemkes B, Erdmann E. Reduction of beta-adrenoceptor density and evaluation of positive inotropic responses in isolated, diseased human myocardium. *Eur Heart J.* 1988;9:844-52.

17. Böhm M, Gierschik P, Jakobs KH, Pieske B, Schnabel P, Ungerer M, Erdmann E. Increase of Gi alpha in human hearts with dilated but not ischemic cardiomyopathy. *Circulation*. 1990;82:1249-65.

18. Feldman AM, Cates AE, Veazey WB, Hershberger RE, Bristow MR, Baughman KL, Baumgartner WA, Van Dop C. Increase of the 40,000-mol wt pertussis toxin substrate (G protein) in the failing human heart. *J Clin Invest.* 1988;82:189-97.

19. Ungerer M, Parruti G, Böhm M, Puzicha M, DeBlasi A, Erdmann E, Lohse MJ. Expression of beta-arrestins and beta-adrenergic receptor kinases in the failing human heart. *Circ Res.* 1994;74:206-13. 20. Ungerer M, Böhm M, Elce JS, Erdmann E, Lohse MJ. Altered expression of beta-adrenergic receptor kinase and beta 1-adrenergic receptors in the failing human heart. *Circulation*. 1993;87:454-63.

21. Böhm M, Gierschik P, Knorr A, Schmidt U, Weismann K, Erdmann E. Cardiac adenylyl cyclase, beta-adrenergic receptors, and G proteins in salt-sensitive hypertension. *Hypertension*. 1993;22:715-27.

22. Böhm M, Moll M, Schmid B, Paul M, Ganten D, Castellano M, Erdmann E. Beta-adrenergic neuroeffector mechanisms in cardiac hypertrophy of renin transgenic rats. *Hypertension*. 1994;24:653-62.

23. Böhm M, Kirchmayr R, Erdmann E. Myocardial Giα-protein levels in patients with hypertensive cardiac hypertrophy, ischemic heart disease and cardiogenic shock. *Cardiovasc Res.* 1996;30:611-8.

24. Böhm M, Gräbel C, Knorr A, Erdmann E. Treatment in hypertensive cardiac hypertrophy, I. Neuropeptide Y and betaadrenoceptors. *Hypertension*. 1995;25:954-61.

25. Böhm M, Gräbel C, Flesch M, Knorr A, Erdmann E. Treatment in hypertensive cardiac hypertrophy, II. Postreceptor events. *Hypertension*. 1995;25:962-70.

26. Böhm M, Castellano M, Agabiti-Rosei E, Flesch M, Paul M, Erdmann E. Dose-dependent dissociation of ACE-inhibitor effects on blood pressure, cardiac hypertrophy, and beta-adrenergic signal transduction. *Circulation*. 1995;92:3006-13.

27. Böhm M, Mende U, Schmitz W, Scholz H. Increased sensitivity to alpha-adrenoceptor stimulation but intact purinergic and muscarinergic effects in prehypertensive cardiac hypertrophy of spontaneously hypertensive rats. *Naunyn Schmiedebergs Arch Pharmacol.* 1986;333:284-9.

28. Zolk O, Flesch M, Schnabel P, Teisman AC, Pinto YM, van Gilst WH, Paul M, Böhm M. Effects of quinapril, losartan and hydralazine on cardiac hypertrophy and beta-adrenergic neuroeffector mechanisms in transgenic (mREN2)27 rats. *Br J Pharmacol.* 1998;123:405-12.

29. Böhm M, Castellano M, Agabiti-Rosei E, Flesch M, Paul M, Erdmann E. Dose-dependent dissociation of ACE-inhibitor effects on blood pressure, cardiac hypertrophy, and beta-adrenergic signal transduction. *Circulation*. 1995;92:3006-13.

30. Swedberg K, Viquerat C, Rouleau JL, Roizen M, Atherton B, Parmley WW, Chatterjee K. Comparison of myocardial catecholamine balance in chronic congestive heart failure and in angina pectoris without failure. *Am J Cardiol.* 1984;54:783-6.

31. Goldstein DS, Brush JE Jr, Eisenhofer G, Stull R, Esler M. In vivo measurement of neuronal uptake of norepinephrine in the human heart. *Circulation*. 1988;78:41-8.

32. Böhm M, La Rosée K, Schwinger RH, Erdmann E. Evidence for reduction of norepinephrine uptake sites in the failing human heart. *J Am Coll Cardiol.* 1995;25:146-53.

33. Levy D, Larson MG, Vasan RS, Kannel WB, Ho KK. The progression from hypertension to congestive heart failure. *JAMA*. 1996;275:1557-62.

34. Levick SP, Murray DB, Janicki JS, Brower GL. Sympathetic nervous system modulation of inflammation and remodeling in the hypertensive heart. *Hypertension*. 2010;55:270-6.

EuroIntervention 2013;9:R122-R126

35. Bombelli M, Facchetti R, Carugo S, Madotto F, Arenare F, Quarti-Trevano F, Capra A, Giannattasio C, Dell'Oro R, Grassi G, Sega R, Mancia G. Left ventricular hypertrophy increases cardio-vascular risk independently of in-office and out-of-office blood pressure values. *J Hypertens*. 2009;27:2458-64.

36. Okin PM, Devereux RB, Jern S, Kjeldsen SE, Julius S, Nieminen MS, Snapinn S, Harris KE, Aurup P, Edelman JM, Wedel H, Lindholm LH, Dahlöf B; LIFE Study Investigators. Regression of electrocardiographic left ventricular hypertrophy during antihypertensive treatment and the prediction of major cardiovascular events. *JAMA*. 2004;292:2343-9.

37. Klingbeil AU, Schneider M, Martus P, Messerli FH, Schmieder RE. A meta-analysis of the effects of treatment on left ventricular mass in essential hypertension. *Am J Med.* 2003;115:41-6.

38. Brandt MC, Mahfoud F, Reda S, Schirmer SH, Erdmann E, Böhm M, Hoppe UC. Renal sympathetic denervation reduces left ventricular hypertrophy and improves cardiac function in patients with resistant hypertension. *J Am Coll Cardiol.* 2012;59:901-9.

39. McMurray JJ, Adamopoulus S, Anker SD, Auricchio A, Böhm M, Dickstein K, Falk V, Filippatos G, Fonseca C, Gomes-Sanchez MA, Jaarsma T, Køber L, Lip GY, Maggioni AP, Parkhomenko A, Pieske BM, Popescu BA, Rønnevik PK, Rutten FH, Schwitter J, Seferovic P, Stepinska J, Trindade PT, Voors AA, Zannad F, Zeiher A; ESC Committee for Practice Guidelines. ESC Guidelines for the diagnosis and treatment of acute and chronic heart failure 2012: The Task Force for the Diagnosis and Treatment of Acute and Chronic Heart Failure 2012 of the European Society of Cardiology. Developed in collaboration with the Heart Failure Association (HFA) of the ESC. *Eur Heart J.* 2012;33:1787-847.

40. Zile MR, Little WC. Effects of autonomic modulation: more than just blood pressure. *J Am Coll Cardiol.* 2012;59:910-2.

41. Davies JE, Manisty CH, Petraco R, Barron AJ, Unsworth B, Mayet J, Hamady M, Hughes AD, Sever PS, Sobotka PA, Francis DP. First-in-man safety evaluation of renal denervation for chronic systolic heart failure: primary outcome from REACH-Pilot study. *Int J Cardiol.* 2013;162:189-92.

42. Jessup M, Costanzo MR. The cardiorenal syndrome: do we need a change of strategy or a change of tactics? *J Am Coll Cardiol*. 2009;53:597-9.

43. Mahfoud F, Cremers B, Janker J, Link B, Vonend O, Ukena C, Linz D, Schmieder R, Rump LC, Kindermann I, Sobotka PA, Krum H,

Scheller B, Schlaich M, Laufs U, Böhm M. Renal hemodynamics and renal function after catheter-based renal sympathetic denervation in patients with resistant hypertension. *Hypertension*. 2012;60: 419-24.

44. Hering D, Mahfoud F, Walton AS, Krum H, Lambert GW, Lambert EA, Sobotka PA, Böhm M, Cremers B, Esler MD, Schlaich MP. Renal denervation in moderate to severe CKD. *J Am Soc Nephrol.* 2012;23:1250-7.

45. Mahfoud F, Schlaich M, Kindermann I, Ukena C, Cremers B, Brandt MC, Hoppe UC, Vonend O, Rump LC, Sobotka PA, Krum H, Esler M, Böhm M. Effect of renal sympathetic denervation on glucose metabolism in patients with resistant hypertension: a pilot study. *Circulation*. 2011;123:1940-6.

46. Mancia G, Bousquet P, Elghozi JL, Esler M, Grassi G, Julius S, Reid J, Van Zwieten PA. The sympathetic nervous system and the metabolic syndrome. *J Hypertens*. 2007;25:909-20.

47. Holecki M, Duława J, Chudek J. Resistant hypertension in visceral obesity. *Eur J Intern Med.* 2012;23:643-8.

48. Huggett RJ, Scott EM, Gilbey SG, Stoker JB, Mackintosh AF, Mary DA. Impact of type 2 diabetes mellitus on sympathetic neural mechanisms in hypertension. *Circulation*. 2003;108:3097-101.

49. Parish JM, Somers VK. Obstructive sleep apnea and cardiovascular disease. *Mayo Clin Proc.* 2004;79:1036-46.

50. Witkowski A, Prejbisz A, Florczak E, Kądziela J, Śliwiński P, Bieleń P, Michałowska I, Kabat M, Warchoł E, Januszewicz M, Narkiewicz K, Somers VK, Sobotka PA, Januszewicz A. Effects of renal sympathetic denervation on blood pressure, sleep apnea course, and glycemic control in patients with resistant hypertension and sleep apnea. *Hypertension*. 2011;58:559-65.

51. Linz D, Schotten U, Neuberger HR, Böhm M, Wirth K. Negative tracheal pressure during obstructive respiratory events promotes atrial fibrillation by vagal activation. *Heart Rhythm.* 2011;8:1436-43.

52. Linz D, Mahfoud F, Schotten U, Ukena C, Neuberger HR, Wirth K, Böhm M. Renal sympathetic denervation suppresses postapneic blood pressure rises and atrial fibrillation in a model for sleep apnea. *Hypertension*. 2012;60:172-8.

53. Ukena C, Bauer A, Mahfoud F, Schreieck J, Neuberger HR, Eick C, Sobotka PA, Gawaz M, Böhm M. Renal sympathetic denervation for treatment of electrical storm: first-in-man experience. *Clin Res Cardiol.* 2012;101:63-7.