

# Renal denervation: expanding the indication

Joost Daemen<sup>1\*</sup>, MD, PhD; Felix Mahfoud<sup>2</sup>, MD, PhD

1. Thoraxcenter, Erasmus Medical Center, Rotterdam, The Netherlands; 2. Klinik für Kardiologie, Angiologie und Internistische Intensivmedizin, Universitätsklinikum des Saarlandes, Homburg/Saar, Germany

## Introduction

Since the introduction of the Ardian renal denervation system in California in 2008, the uptake of the technology has been overwhelming, despite a relatively scarce amount of evidence.

The benefit of renal denervation, however, may not be restricted to blood pressure lowering alone since several cardiovascular diseases are characterised by excessive central sympathetic drive<sup>1</sup>. An increasing body of evidence indicates that heart failure, diabetes and hyperinsulinaemia, chronic kidney disease, arrhythmias, and sleep apnoea syndrome are associated with increased sympathetic activity<sup>2</sup>. As renal denervation has been shown to reduce total body and muscle sympathetic nerve activity by targeting the afferent renal nerves, the expansion of the treatment indication seems intuitive.

## Heart failure

Sympathetic overactivity has been documented in heart failure and appeared to be directly correlated to NYHA class<sup>3</sup>. In the kidney, the elevated sympathetic tone stimulates alpha- and beta-adrenergic receptors thereby increasing renin release, leading to retention of sodium and renal vasoconstriction explaining the basics of venous congestion and diuretic resistance in chronic heart failure. In order to maintain vital organ perfusion in heart failure, the body makes several neurohumoral adaptations such as activation of the RAAS system and the sympathetic nervous system in response to the low-output state<sup>4,5</sup>. Unfortunately, the neurohumoral activation overwhelms the vasodilatory and natriuretic effect of natriuretic peptides, nitric oxide, prostaglandins and bradykinin<sup>6,7</sup>. The subsequent increase in pulmonary congestion, peripheral oedema, peripheral resistance and left ventricular afterload further decreases myocardial function, catecholamine-stimulated contractility and the successive increase in heart rate can further worsen the prognosis.

More specifically the sympathetic nervous system stimulates norepinephrine release and norepinephrine plasma concentrations are directly correlated with the severity of cardiac dysfunction and inversely with survival<sup>8</sup>. Recently, two pivotal studies demonstrated the potential benefit of sympathetic renal denervation in systolic heart failure. The REACH pilot study showed that the procedure was safe and did not lead to a significant blood pressure reduction and subsequent hypotensive or syncopal events in a population of seven patients with a mean blood pressure of 112/65 mmHg<sup>9</sup>. Instead, a significant increase in six-minute walk distance was noticed (+27.1±9.7 m, p=0.03). Despite these promising findings it should be noted that, although patients were requested to be in NYHA Class III of IV, mean ejection fraction was ~45%. In a recently presented randomised study by Taborsky and colleagues, renal sympathetic denervation in patients with more advanced heart failure (mean ejection fraction 25%) resulted in significant improvements over baseline in left ventricular ejection fraction, and left ventricular end-diastolic and end-systolic volumes, as well as NT-proBNP. No change in these outcome parameters was noted in patients receiving only optimal medical therapy<sup>10</sup>. More and larger studies are needed to confirm the preliminary findings and to see whether these structural changes will also result in a reduction of clinical adverse events.

## Diabetes

In a large Spanish ambulatory blood pressure monitoring registry, the incidence of diabetes in patients with resistant hypertension proved to be over 35%<sup>11</sup>. While elevated sympathetic nerve activity had already been linked to hypertension earlier on, its additional detrimental effects on glucose metabolism proved to be irrespective of the presence of hypertension<sup>12</sup>. In line with its contribution to insulin resistance, elevated sympathetic tone has been associated

\*Corresponding author: Thoraxcenter, Erasmus Medical Center, Room Ba-316, 's Gravendijkwal 230, 3015 CE Rotterdam, The Netherlands. E-mail: j.daemen@erasmusmc.nl

with central obesity and the risk of developing diabetes mellitus<sup>13</sup>. Experimental studies demonstrated that cellular glucose uptake significantly decreased when local noradrenaline levels increased with decreased blood flow as a result<sup>14</sup>. A direct link appeared to exist between insulin resistance and the number of open capillaries<sup>15</sup>.

The hypothesis that reducing the sympathetic tone may result in an improvement of glucose metabolism was already tested in 1999 when Yacubu-Madus and colleagues demonstrated that, in an animal model of type 2 diabetes, the antihypertensive agent moxonidine induced a beneficial effect on glucose metabolism and renal protein excretion<sup>16</sup>. These findings strengthen our belief that renal sympathetic denervation can accomplish these effects by a potential decrease in vascular alpha-adrenergic tone, leading to skeletal muscle vasodilatation, an inhibition of the renin-angiotensin system, improved glucose transport on a cellular level, an increased sensitivity to the non-esterified fatty-acid-lowering effects of insulin and a change in glucose transporters and glucagon secretion<sup>17</sup>. Preliminary data indicate that, aside from better blood pressure control, renal sympathetic denervation may also be associated with a reduction in fasting glucose and insulin levels. This was first tested in a substudy (n=50 patients) of the Symplicity HTN-I study, in which the incidence of diabetes was 40% (n=20)<sup>18</sup>. At three months, fasting glucose was reduced significantly by 10 mg/dl in the treatment arm versus no significant changes in the control group (p=0.039). A significant reduction was also noted in insulin levels and C-peptide. Furthermore, the HOMA (an index of insulin resistance) significantly decreased, indicating that some patients improved their insulin sensitivity after the procedure. Of interest, these changes were not related to the degree of blood pressure lowering. A smaller study (n=10; 40% diabetics) by Witkowski and colleagues confirmed these findings and showed that renal denervation is capable of reducing glucose and HbA1C levels during glucose tolerance testing with, however, no effect on fasting glucose levels<sup>19</sup>. These preliminary findings are promising but need to be tested in larger studies which are currently ongoing. Furthermore, it has to be seen whether these findings can be extended to non-resistant hypertensive diabetic patients.

## Chronic kidney disease

Hypertension is present in the vast majority of patients with chronic kidney disease and has been recognised as the number one cause in the progressive deterioration of renal function and subsequent adverse cardiovascular events<sup>20</sup>. Even in end-stage kidney disease the unaltered elevated sympathetic drive is remarkable. More specifically, failing kidneys are able to cause persistent afferent signalling. Bilateral nephrectomy in end-stage renal disease has been shown to reduce blood pressure and normalise muscle sympathetic nerve activity<sup>21</sup>. Along these lines, also in patients with chronic kidney disease, increased noradrenaline plasma levels have been linked to higher rates of adverse cardiovascular events.

The effect of renal denervation in patients with moderate to severe chronic kidney disease (eGFR <45 ml/min per 1.73 m<sup>2</sup>) has been tested in a small pilot study<sup>22</sup>. Fifteen patients with resistant hypertension and stage 3-4 chronic kidney disease (mean eGFR, 31 ml/min

per 1.73 m<sup>2</sup>) underwent renal denervation. In six patients, CO<sub>2</sub> angiography was used to minimise exposure to contrast agents. Estimated GFR remained unchanged after the procedure, irrespective of the use of CO<sub>2</sub> angiography. After renal denervation office systolic and diastolic blood pressure at 1, 3, 6, and 12 months were significantly reduced by 23/21, 22/21, 23/21, and 23/22 mmHg, respectively. Despite the limited sample size, the study suggests a favourable short-term safety profile and blood pressure lowering effects of catheter-based renal denervation in patients with stage 3-4 chronic kidney disease and resistant hypertension. In a second, recently published pivotal open-label single-arm study, 12 patients with uncontrolled hypertension and end-stage renal disease on haemodialysis underwent renal sympathetic denervation<sup>23</sup>. Although the overall blood pressure lowering effect was significant, three patients had atrophic renal arteries and were not treated. The study demonstrated for the first time that renal sympathetic denervation can be a potential therapeutic option for patients with end-stage kidney disease; however, several issues need to be resolved before a more widespread adoption of this technique in this specific setting can take place. In the pivotal study by Schlaich and colleagues, a rapid increase in temperature was noted in several cases when radiofrequency energy was applied using the Symplicity device resulting in an aborted treatment. According to the authors, this could be due to a lack of cooling consequent to reduced renal blood flow in these patients. Subsequently, the suboptimal anatomy (including higher rates of renovascular atherosclerosis and smaller diameters) in a vast proportion of patients cannot be neglected. Finally, the continuing elevated afferent activity of the remnant kidneys in combination with the detrimental effect of immunosuppressants in patients following kidney transplantation troubles the evaluation of the beneficial effect of renal sympathetic denervation in these patients.

## Arrhythmias

Sympathetic nerve activity during physiologic stress has been found to have profound influences on the electrical and contractile functions of the heart. Little is still known about the temporal relationship between instantaneous autonomic nerve activity and arrhythmias<sup>24</sup>. Through complex feedback mechanisms the cardiac neuroaxis controls the adrenergic and cholinergic efferent neurons and ganglia in the heart. Many previous studies addressed the consequences of alterations in the cardiac sympathetic tone in arrhythmogenesis<sup>25-28</sup>.

However, mainstream therapies for rhythm control of atrial fibrillation, such as anti-arrhythmic drugs and catheter ablation, although successful in suppressing symptoms, are not mechanism-specific and can have important side effects. It is intriguing to investigate further the potential of renal sympathetic denervation in altering the cardiac autonomic neuroaxis and potentially reducing the occurrence of tachyarrhythmias. Scrutinising the electrophysiological consequences of renal sympathetic denervation, the results of a large German registry demonstrated a significant drop in heart rate following the procedure. At six-month follow-up mean heart rate dropped 2.1±1.1 bpm (p=0.046) with a higher drop in heart rate in those with a baseline heart rate of ≥71 bpm (9.0±8.6 bpm; p<0.0001). Patients with a baseline heart rate of

<60 bpm showed a slight increase of  $2.7\pm 8.4$  bpm ( $p=0.035$ ). Additionally, the authors noted an increase in PR interval of  $10.3\pm 2.5$  ms ( $p<0.0001$ ) with a newly diagnosed first-degree AV block in 17% of the population<sup>29</sup>. No higher-degree AV blocks or new onset atrial fibrillation were documented. Whether the prolongation in the AV conduction is simply a benign marker of reduced sympathetic activity or the precursor of long-term adverse events remains to be studied. A subsequent animal study in 12 pigs demonstrated a drop in ventricular rate during atrial fibrillation episodes of 24% and a shorter duration of the atrial fibrillation episodes as compared to a sham procedure. In contrast, atrial fibrillation-induced atrial electrical remodelling, inducibility, and atrial fibrillation cycle length remained unchanged<sup>30</sup>. A second study performed by the same group in a model of sleep apnoea demonstrated a promising antiarrhythmic effect of renal denervation by reducing negative-tracheal pressure-induced atrial effective refractory period shortening and an inhibiting post-apnoeic blood-pressure rise associated with obstructive events<sup>31</sup>.

In a recently published small randomised comparison of pulmonary vein isolation with versus without concomitant renal artery denervation in patients with refractory symptomatic atrial fibrillation and resistant hypertension, the combined treatment was shown to be associated with a significant reduction in atrial fibrillation recurrence (69% vs. 29% at one year)<sup>32</sup>.

## Sleep apnoea

The prevalence of obstructive sleep apnoea syndrome (OSAS) ( $\geq 15$  episodes of apnoea-hypopnoea/hour) in patients with resistant hypertension is around 65-70%<sup>33</sup>. The pathogenesis of hypertension in OSAS seems to result from an increased upper airway resistance (potentially caused by peripharyngeal fluid accumulation) and intermittent state of hypoxia, resulting in increased vasoconstriction, vascular resistance and cardiac output along with fluid retention. Not surprisingly, elevated aldosterone levels have been found in patients with OSAS and resistant hypertension<sup>34</sup>.

The first experience with renal sympathetic denervation in OSAS ( $n=10$ ) was published in 2011<sup>19</sup>. A trend was noted towards a decrease in the average apnoea/hypopnoea index at six months (a reduction from 16.3 to 4.5 events per hour;  $p=0.059$ ) and 8 out of 10 patients had an improvement in sleep apnoea severity. Also the oxygen desaturation index and the median Epworth sleepiness scale score were significantly lowered at six months of follow-up. Furthermore, the authors speculated on a trend towards a more pronounced benefit in those with more severe forms of OSAS (apnoea/hypopnea index  $>30$ ). These findings from this single-arm open-label study are promising but should be interpreted with caution. Larger studies to confirm the role of renal denervation in patients with OSAS are ongoing.

## Glimpse into the future

The above-mentioned preliminary results of studies investigating the potential therapeutic benefit of renal sympathetic denervation for novel indications are promising. However, the studies are small and the results should not be over-interpreted. Virtually all of the above-mentioned studies were performed using the same device,

whilst at present there are six CE-marked devices available. However, one can speculate whether similar effects could be achieved by using differently acting devices. While the concept of direct baroreceptor stimulation had already been reported several years ago, newer and alternative options such as those using a transurethral approach are currently being explored. Similarly, we are one step further towards the potential of treating pulmonary artery hypertension with pulmonary artery denervation, a concept of whose feasibility has recently been investigated in an experimental model<sup>35</sup>.

## Conflict of interest statement

Joost Daemen received lecture fees from AstraZeneca. F. Mahfoud has received scientific support from Medtronic, St. Jude, Vessix, and Recor, lecture honoraria from Medtronic, St. Jude, Cordis, Takeda, Boehringer Ingelheim and Novartis.

## References

1. Ewen S, Ukena C, Bohm M, Mahfoud F. Percutaneous renal denervation: new treatment option for resistant hypertension and more? *Heart*. 2013 Mar 6. [Epub ahead of print]
2. Sobotka PA, Mahfoud F, Schlaich MP, Hoppe UC, Böhm M, Krum H. Sympatho-renal axis in chronic disease. *Clin Res Cardiol*. 2011;100:1049-57.
3. Ferguson DW, Berg WJ, Sanders JS. Clinical and hemodynamic correlates of sympathetic nerve activity in normal humans and patients with heart failure: evidence from direct microneurographic recordings. *J Am Coll Cardiol*. 1990;16:1125-34.
4. Francis GS, Goldsmith SR, Levine TB, Olivari MT, Cohn JN. The neurohumoral axis in congestive heart failure. *Ann Intern Med*. 1984;101:370-7.
5. Dzau VJ. Renal and circulatory mechanisms in congestive heart failure. *Kidney Int*. 1987;31:1402-15.
6. Cadnapaphornchai MA, Gurevich AK, Weinberger HD, Schrier RW. Pathophysiology of sodium and water retention in heart failure. *Cardiology*. 2001;96:122-31.
7. Benedict CR, Johnstone DE, Weiner DH, Bourassa MG, Bittner V, Kay R, Kirlin P, Greenberg B, Kohn RM, Nicklas JM, McIntyre K, Quinones MA, Yusuf S. Relation of neurohumoral activation to clinical variables and degree of ventricular dysfunction: a report from the Registry of Studies of Left Ventricular Dysfunction. SOLVD Investigators. *J Am Coll Cardiol*. 1994;23:1410-20.
8. Cohn JN, Levine TB, Olivari MT, Garberg V, Lura D, Francis GS, Simon AB, Rector T. Plasma norepinephrine as a guide to prognosis in patients with chronic congestive heart failure. *N Engl J Med*. 1984;311:819-23.
9. Davies JE, Manisty CH, Petraco R, Barron AJ, Unsworth B, Mayet J, Hamady M, Hughes AD, Sever PS, Sobotka PA, Francis DP. First-in-man safety evaluation of renal denervation for chronic systolic heart failure: primary outcome from REACH-Pilot study. *Int J Cardiol*. 2013;162:189-92.
10. Taborsky M. The effect of renal denervation in patients with advanced heart failure: The OLOMOUC I study. Presented at: ESC 2012; August 27, 2012; Munich, Germany.

11. de la Sierra A, Segura J, Banegas JR, Gorostidi M, de la Cruz JJ, Armario P, Oliveras A, Ruilope LM. Clinical features of 8295 patients with resistant hypertension classified on the basis of ambulatory blood pressure monitoring. *Hypertension*. 2011;57:898-902.
12. Huggett RJ, Scott EM, Gilbey SG, Stoker JB, Mackintosh AF, Mary DA. Impact of type 2 diabetes mellitus on sympathetic neural mechanisms in hypertension. *Circulation*. 2003;108:3097-101.
13. Grassi G, Dell'Oro R, Facchini A, Quarti Trevano F, Bolla GB, Mancia G. Effect of central and peripheral body fat distribution on sympathetic and baroreflex function in obese normotensives. *J Hypertens*. 2004;22:2363-9.
14. Jamerson KA, Julius S, Gudbrandsson T, Andersson O, Brant DO. Reflex sympathetic activation induces acute insulin resistance in the human forearm. *Hypertension*. 1993;21:618-23.
15. Julius S, Gudbrandsson T, Jamerson K, Tariq Shahab S, Andersson O. The hemodynamic link between insulin resistance and hypertension. *J Hypertens*. 1991;9:983-6.
16. Yakubu-Madus FE, Johnson WT, Zimmerman KM, Dananberg J, Steinberg MI. Metabolic and hemodynamic effects of moxonidine in the Zucker diabetic fatty rat model of type 2 diabetes. *Diabetes*. 1999;48:1093-100.
17. Grassi G. Renal denervation in cardiometabolic disease: concepts, achievements and perspectives. *Nutr Metab Cardiovasc Dis*. 2013;23:77-83.
18. Mahfoud F, Schlaich M, Kindermann I, Ukena C, Cremers B, Brandt MC, Hoppe UC, Vonend O, Rump LC, Sobotka PA, Krum H, Esler M, Böhm M. Effect of renal sympathetic denervation on glucose metabolism in patients with resistant hypertension: a pilot study. *Circulation*. 2011;123:1940-6.
19. Witkowski A, Prejbisz A, Florczak E, Kądziała J, Śliwiński P, Bieleń P, Michałowska I, Kabat M, Warchoń E, Januszewicz M, Narkiewicz K, Somers VK, Sobotka PA, Januszewicz A. Effects of renal sympathetic denervation on blood pressure, sleep apnea course, and glycemic control in patients with resistant hypertension and sleep apnea. *Hypertension*. 2011;58:559-65.
20. Klag MJ, Whelton PK, Randall BL, Neaton JD, Brancati FL, Ford CE, Shulman NB, Stamler J. Blood pressure and end-stage renal disease in men. *N Engl J Med*. 1996;334:13-8.
21. Hausberg M, Kosch M, Harmelink P, Barenbrock M, Hohage H, Kisters K, Dietl KH, Rahn KH. Sympathetic nerve activity in end-stage renal disease. *Circulation*. 2002;106:1974-9.
22. Hering D, Mahfoud F, Walton AS, Krum H, Lambert GW, Lambert EA, Sobotka PA, Böhm M, Cremers B, Esler MD, Schlaich MP. Renal denervation in moderate to severe CKD. *J Am Soc Nephrol*. 2012;23:1250-7.
23. Schlaich MP, Bart B, Hering D, Walton A, Marusic P, Mahfoud F, Böhm M, Lambert EA, Krum H, Sobotka PA, Schmieder RE, Ika-Sari C, Eikelis N, Straznicky N, Lambert GW, Esler MD. Feasibility of catheter-based renal nerve ablation and effects on sympathetic nerve activity and blood pressure in patients with end-stage renal disease. *Int J Cardiol*. 2013 Feb 28. [Epub ahead of print]
24. Volders PG. Novel insights into the role of the sympathetic nervous system in cardiac arrhythmogenesis. *Heart Rhythm*. 2010;7:1900-6.
25. Priori SG, Mantica M, Schwartz PJ. Delayed after depolarizations elicited in vivo by left stellate ganglion stimulation. *Circulation*. 1988;78:178-85.
26. Hanich RF, Levine JH, Spear JF, Moore EN. Autonomic modulation of ventricular arrhythmia in cesium chloride-induced long QT syndrome. *Circulation*. 1988;77:1149-61.
27. Ben-David J, Zipes DP. Differential response to right and left ansae subclaviae stimulation of early afterdepolarizations and ventricular tachycardia induced by cesium in dogs. *Circulation*. 1988;78:1241-50.
28. Schwartz PJ, Billman GE, Stone HL. Autonomic mechanisms in ventricular fibrillation induced by myocardial ischemia during exercise in dogs with healed myocardial infarction. An experimental preparation for sudden cardiac death. *Circulation*. 1984;69:790-800.
29. Ukena C, Mahfoud F, Spies A, Kindermann I, Linz D, Cremers B, Laufs U, Neuberger HR, Böhm M. Effects of renal sympathetic denervation on heart rate and atrioventricular conduction in patients with resistant hypertension. *Int J Cardiol*. 2012 Aug 20. [Epub ahead of print]
30. Linz D, Mahfoud F, Schotten U, Ukena C, Hohl M, Neuberger HR, Wirth K, Böhm M. Renal sympathetic denervation provides ventricular rate control but does not prevent atrial electrical remodeling during atrial fibrillation. *Hypertension*. 2013;61:225-31.
31. Linz D, Mahfoud F, Schotten U, Ukena C, Hohl M, Neuberger HR, Wirth K, Böhm M. Renal sympathetic denervation suppresses postapneic blood pressure rises and atrial fibrillation in a model for sleep apnea. *Hypertension*. 2012;60:172-8.
32. Pokushalov E, Romanov A, Corbucci G, Artyomenko S, Baranova V, Turov A, Shirokova N, Karaskov A, Mittal S, Steinberg JS. A randomized comparison of pulmonary vein isolation with versus without concomitant renal artery denervation in patients with refractory symptomatic atrial fibrillation and resistant hypertension. *J Am Coll Cardiol*. 2012;60:1163-70.
33. Pedrosa RP, Drager LF, Gonzaga CC, Sousa MG, de Paula LK, Amaro AC, Amodeo C, Bortolotto LA, Krieger EM, Bradley TD, Lorenzi-Filho G. Obstructive sleep apnea: the most common secondary cause of hypertension associated with resistant hypertension. *Hypertension*. 2011;58:811-7.
34. Oliveras A, Schmieder RE. Clinical situations associated with difficult-to-control hypertension. *J Hypertens*. 2013;31 Suppl 1:S3-8.
35. Chen SL, Zhang YJ, Zhou L, Xie DJ, Zhang FF, Jia HB, Wong SS, Kwan TW. Percutaneous pulmonary artery denervation completely abolishes experimental pulmonary arterial hypertension in vivo. *EuroIntervention*. 2013 Mar 7. pii: 20120205-00. [Epub ahead of print].