

## Predictors of target lesion failure after percutaneous coronary intervention with a drug-coated balloon for *de novo* lesions

Tetsumin Lee<sup>1\*</sup>, MD, PhD; Takashi Ashikaga<sup>1</sup>, MD, PhD; Toshihiro Nozato<sup>1</sup>, MD, PhD; Yasutoshi Nagata<sup>1</sup>, MD; Masakazu Kaneko<sup>1</sup>, MD, PhD; Ryoichi Miyazaki<sup>1</sup>, MD; Toru Misawa<sup>1</sup>, MD; Yuta Taomoto<sup>1</sup>, MD; Shinichiro Okata<sup>1</sup>, MD, PhD; Masashi Nagase<sup>1</sup>, MD; Tomoki Horie<sup>1</sup>, MD; Mao Terui<sup>1</sup>, MD; Daigo Kachi<sup>1</sup>, MD; Yuki Odanaka<sup>1</sup>, MD; Kazuki Matsuda<sup>1</sup>, MD; Michihito Naito<sup>1</sup>, MD; Ayaka Koido<sup>1</sup>, MD; Taishi Yonetsu<sup>2</sup>, MD, PhD; Tetsuo Sasano<sup>2</sup>, MD, PhD

\*Corresponding author: Department of Cardiology, Japanese Red Cross Musashino Hospital, 1-26-1 Kyonancho, Musashinoshi, Tokyo, 180-8610, Japan. E-mail: ltetsumin@gmail.com

The authors' affiliations can be found at the end of this article.

This paper also includes supplementary data published online at: <https://eurointervention.pronline.com/doi/10.4244/EIJ-D-23-01006>

### ABSTRACT

**BACKGROUND:** There are limited data about determinant factors of target lesion failure (TLF) in lesions after percutaneous coronary intervention (PCI) using a drug-coated balloon (DCB) for *de novo* coronary artery lesions, including optical coherence tomography (OCT) findings.

**AIMS:** The present study aims to investigate the associated factors of TLF in *de novo* coronary artery lesions with DCB treatment.

**METHODS:** We retrospectively enrolled 328 *de novo* coronary artery lesions in 328 patients who had undergone PCI with a DCB. All lesions had been treated without a stent, and both pre- and post-PCI OCT had been carried out. Patients were divided into two groups, with or without TLF, which was defined as a composite of culprit lesion-related cardiac death, myocardial infarction, and target lesion revascularisation, and the associated factors of TLF were assessed.

**RESULTS:** At the median follow-up period of 460 days, TLF events occurred in 31 patients (9.5%) and were associated with patients requiring haemodialysis (HD; 29.0% vs 10.8%), with a severely calcified lesion (median maximum calcium arc 215° vs 104°), and with the absence of OCT medial dissection (16.1% vs 60.9%) as opposed to those without TLF events. In Cox multivariable logistic regression analysis, HD (hazard ratio [HR]: 2.26, 95% confidence interval [CI]: 1.00-5.11; p=0.049), maximum calcium arc (per 90°, HR: 1.34, 95% CI: 1.05-1.72; p=0.02), and the absence of post-PCI medial dissection on OCT (HR: 8.24, 95% CI: 3.15-21.6; p<0.001) were independently associated with TLF.

**CONCLUSIONS:** In *de novo* coronary artery lesions that received DCB treatment, factors associated with TLF were being on HD, the presence of a severely calcified lesion, and the absence of post-PCI medial dissection.

**KEYWORDS:** calcified stenosis; drug-eluting balloon; optical coherence tomography

**P**ercutaneous coronary intervention (PCI) using a drug-coated balloon (DCB) without a stent is one treatment option, especially in patients with high bleeding risk, a bifurcation lesion, or a small coronary artery lesion<sup>1,2</sup>. In previous reports, DCB implantation without a stent for *de novo* coronary artery disease was found to be non-inferior to drug-eluting stent (DES) implantation<sup>1,2</sup>. There have also been a few previous reports about the risk factors of clinical outcomes in *de novo* coronary artery lesions after DCB angioplasty without stents<sup>3</sup>. Previously, coronary artery dissections, after DCB treatment for *de novo* coronary artery lesions, were reported to have healed during the follow-up period<sup>4</sup>. Furthermore, post-PCI coronary artery dissection was positively associated with late lumen enlargement in intravascular ultrasound (IVUS) and optical coherence tomography (OCT) imaging studies<sup>5,6</sup>. However, the predictors of clinical outcomes are still unclear.

OCT is a high-resolution imaging device which enables us to assess the intima, media and adventitia of normal coronary artery segments, as well as to carry out quantitative analysis of calcium (Ca) plaque, such as thickness, angle, and length, which are not analysable with other imaging devices<sup>7-9</sup>. We hypothesised that OCT findings could provide additive information to assess the predictors of clinical events in patients undergoing stentless PCI using a DCB. Therefore, the aim of the present study is to investigate the associated factors of clinical events in patients receiving DCB treatment for *de novo* coronary artery lesions, as assessed by OCT.

Editorial, see page e789

## Methods

### STUDY POPULATION

This was a retrospective observational study at the Japanese Red Cross Musashino Hospital (Tokyo, Japan). From April 2018 to February 2023, there were 358 *de novo* culprit lesions that underwent PCI with a DCB and with OCT imaging. Lesions with anticipated difficulty in advancing the OCT catheter, such as lesions with severe narrowing, tortuosity or severe calcification, were excluded and not imaged by the operators. This study was performed in line with the principles of the Declaration of Helsinki. Approval was granted by the Ethics Committee of the Japanese Red Cross Musashino Hospital (Date: 15 November, No. 5065).

### CORONARY ANGIOGRAPHY AND PROCEDURE ANALYSIS

The intervention was performed as reported in a previous study<sup>10</sup>. We used a paclitaxel-coated balloon (SeQuent Please; B. Braun) as the DCB device. Contemporary balloon angioplasty, including a semicompliant balloon, scoring balloon, or cutting balloon, before the DCB procedure was strongly recommended (the recommended balloon-to-vessel ratio was 0.8-1.0). In case of flow-limiting dissection after predilation or classification as National Heart, Lung, and Blood Institute

### Impact on daily practice

Patients on haemodialysis (HD), with severe calcium on pre-percutaneous coronary intervention (PCI) optical coherence tomography (OCT), and without post-PCI medial dissection as assessed by OCT were associated with target lesion failure (TLF) in a stentless PCI strategy with a drug-coated balloon (DCB) for *de novo* coronary artery lesions. Therefore, when a stentless strategy with DCB for a *de novo* coronary artery lesion is considered, not only patient characteristics, but also OCT findings, such as pre-PCI calcium severity and the presence or absence of post-PCI coronary dissection, are useful to predict future TLF events.

(NHLBI) type D-F dissection, DES implantation was recommended, and these patients were thus excluded from our study<sup>11</sup>. The DCB was inflated for 30 to 45 seconds at nominal pressure, according to the morphological characteristics of the lesion (e.g., severity of calcification, length, tortuosity). Following DCB use, a final assessment was undertaken after at least 5 minutes, in order to check the early vessel recoil. In this event, bailout stent implantation was considered.

Quantitative coronary angiography (QCA) was performed using QCA-CMS (Medis Medical Imaging Systems). The minimum lumen diameter, reference diameter, % diameter stenosis, and lesion length were measured in diastolic frames from orthogonal projections. Angiographic calcification at the target lesion site was classified as none or mild, moderate, or severe<sup>7</sup>. Moderate calcification was defined as radio-opacities noted only during the cardiac cycle before contrast injection, whereas severe calcification was defined as radio-opacities seen without cardiac motion, usually affecting both sides of the arterial lumen. Coronary artery dissection was assessed by NHLBI classification<sup>11</sup>.

### OCT IMAGE ACQUISITION AND ANALYSIS

We used frequency-domain OCT (Dragonfly OPTIS or OpStar OCT imaging catheter [both Abbott]) or a high-frequency OCT system (Gentuity Vis-Rx Micro-Imaging Catheter [Nipro]), and all OCT images were analysed using proprietary software with previously validated criteria for OCT plaque characterisation<sup>12,13</sup>.

We evaluated pre- and post-PCI OCT images quantitatively and qualitatively. At pre-PCI OCT, calcium was defined as a signal-poor or heterogeneous region with a sharply delineated border. The maximum arc of target lesion calcium was measured in degrees with a protractor centred on the lumen. The maximum calcium thickness was also measured<sup>14</sup>. A calcified nodule was defined as an accumulation of small calcium deposits underlying a calcified plaque, which included either a pathological eruptive calcified nodule or nodular calcification<sup>7,15</sup>. At post-PCI OCT, we assessed the final minimum lumen area and the presence or absence of coronary artery dissections<sup>16</sup>. The axial injury

### Abbreviations

<b>DCB</b>	drug-coated balloon	<b>IVUS</b>	intravascular ultrasound	<b>PCI</b>	percutaneous coronary intervention
<b>HD</b>	haemodialysis	<b>OCT</b>	optical coherence tomography	<b>TLF</b>	target lesion failure

of the dissection was described as intimal dissection when only the intima was affected and the media was still intact, as medial dissection when the dissection extended into the media without disruption of the entire medial layer, and as adventitial dissection when the media was dissected throughout its thickness (**Figure 1**). In addition, the maximum dissection angle and longitudinal dissection length were assessed.

### ENDPOINTS

The primary outcome comprised target lesion failure (TLF), defined as a composite of target lesion-related cardiac death, non-fatal myocardial infarction (MI), and target lesion revascularisation (TLR). Clinical follow-up data were obtained at outpatient clinical visits or via telephonic interviews. The diagnosis of MI was based on the universal definition of MI<sup>17</sup>. Any death of unknown cause was included as a cardiac death. TLR was defined as PCI for lesions in which the DCB was dilated due to recurrent stenosis. The clinical outcome was assessed by two investigators (M. Terui and D. Kachi) who were blinded to clinical and PCI information.

### STATISTICAL ANALYSIS

Data analysis was performed using SPSS version 22.0 (IBM). Categorical data are expressed as frequencies and were compared using the  $\chi^2$  or Fisher's exact test, as appropriate. Because most values were not normally distributed, continuous variables are expressed as median (interquartile range [IQR]) and were compared using the Mann-Whitney U test.

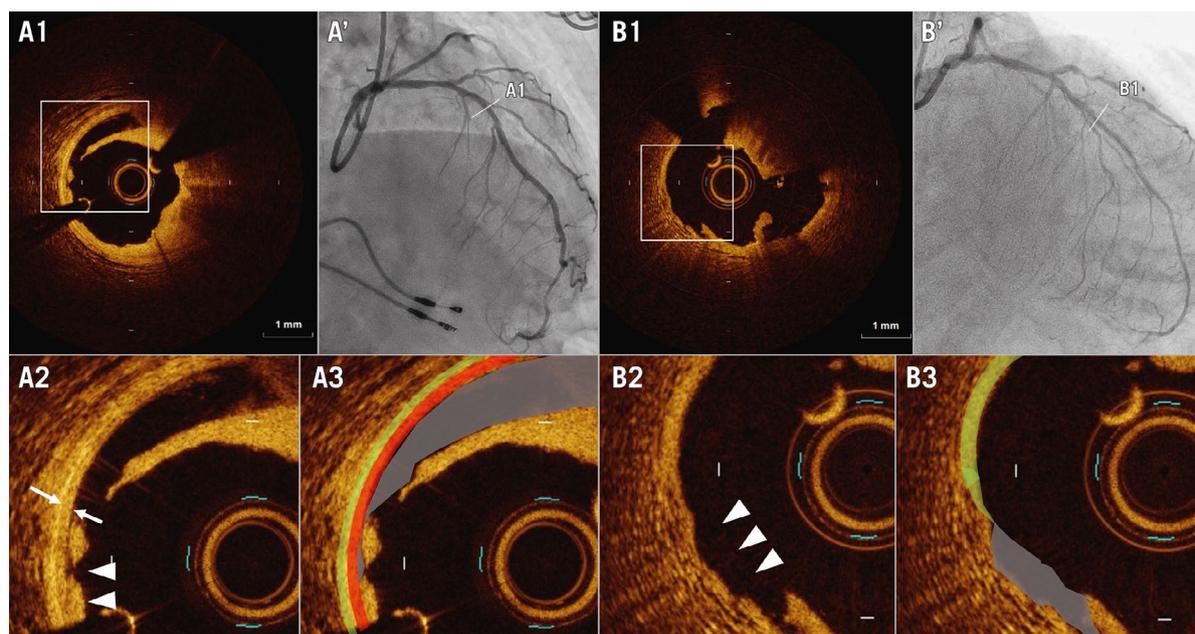
Cox regression analyses for TLF were performed to identify predictors of TLF during the follow-up periods. Hazard ratios with corresponding 95% confidence intervals are reported. All variables associated with adverse events at the  $p < 0.10$  level in univariable analysis were then tested in a multivariable Cox regression analysis;  $p < 0.05$  indicated statistical significance.

### Results

We excluded 30 lesions for the following reasons: 20 lesions lacked pre- or post-PCI OCT, 2 lesions had poor image quality, 5 lesions had undergone bailout stenting because of flow-limiting coronary artery dissection, and 3 lesions were in 3 patients who had experienced cardiogenic shock. Finally, we enrolled 328 patients (328 *de novo* coronary artery lesions) who had undergone DCB treatment as a finalised device with pre- and post-PCI OCT imaging. Among them, 75 patients presented with acute coronary syndromes (ACS; 18 with ST-elevation MI [STEMI], 47 with non-ST-elevation MI [NSTEMI], 10 with unstable angina), all of whom had undergone DCB PCI of the ACS culprit lesion. At the median follow-up of 460 days (IQR 286-770), TLF events occurred in 31 patients (9.5%). These TLF events included 4 cardiac deaths (1.2%), 12 non-fatal MI (3.7%), and 25 TLR (7.6%).

### CLINICAL, ANGIOGRAPHIC, AND PCI FINDINGS

The baseline characteristics are summarised in **Table 1**. The median patient age was 72 years (IQR 63-78), 81% were male, and 77% presented with stable angina. There was no significant



**Figure 1.** Representative images of medial and adventitial involvement of coronary artery dissection and injury. A1-3) Post-percutaneous coronary intervention (PCI) optical coherence tomography (OCT) images of medial involvement of the coronary artery dissection and injury. The double arrows indicate the media, while the arrowheads indicate medial involvement of the coronary artery dissection and injury. Medial dissection (grey), media (red), and adventitia (light green). A') Post-PCI coronary angiogram corresponding to OCT images A1-3. B1-3) Post-PCI OCT images of adventitial involvement of the coronary artery dissection and injury. The arrowheads indicate the point of adventitial involvement of the coronary artery dissection and injury. The adventitia (light green) disappears at the dissection and injury segment (grey). B') Post-PCI coronary angiogram corresponding to OCT images B1-3.

**Table 1. Patient characteristics.**

	Overall (n=328)	Patients with TLF (n=31)	Patients without TLF (n=297)	p-value
Age, yrs	72 (63-78)	71 (65-77)	72 (63-78)	0.84
Male	264 (80.5)	25 (80.6)	239 (80.5)	1.00
Acute coronary syndrome	75 (22.9)	7 (22.6)	68 (22.9)	1.00
Stable angina	253 (77.1)	24 (77.4)	229 (77.1)	1.00
Diabetes mellitus	125 (38.1)	12 (38.7)	113 (38.0)	1.00
Hypertension	265 (80.8)	27 (87.1)	238 (80.1)	0.47
Dyslipidaemia	233 (71.0)	24 (77.4)	209 (70.4)	0.53
Previous myocardial infarction	85 (25.9)	11 (35.5)	74 (24.9)	0.20
Previous PCI	146 (44.6)	17 (54.8)	129 (43.6)	0.26
CKD (eGFR <60 ml/min/1.73 m <sup>2</sup> )	134 (40.9)	16 (51.6)	118 (39.7)	0.28
Renal insufficiency requiring HD	21 (12.5)	9 (29.0)	32 (10.8)	<b>0.008</b>

Data are presented as median (interquartile range) or n (%). The p-value in bold indicates statistical significance. CKD: chronic kidney disease; eGFR: estimated glomerular filtration rate; HD: haemodialysis; PCI: percutaneous coronary intervention; TLF: target lesion failure

difference in the frequency of coronary risk factors (hypertension, diabetes mellitus, dyslipidaemia) nor in medications at the index PCI between the two groups (**Supplementary Table 1**). In patients with TLF, there was more renal insufficiency requiring HD than in those without TLF. In the angiographic and PCI procedural findings, more severe calcification was observed in patients with TLF than in those without, whereas there were no significant differences in terms of other findings, including post-PCI dissection severity per NHLBI classification, pre- and post-PCI QCA findings, and PCI procedural details (**Table 2**). True bifurcation lesions were found in 39 patients (11.9%), and there was no significant difference in the frequency of true bifurcation between the two groups (TLF: 19.4% vs non-TLF: 11.1%; p=0.24). In case of a true bifurcation lesion, sequential DCB treatment or balloon angioplasty of the side branch was undertaken at the operator's discretion.

### OCT FINDINGS

**Table 3** shows the OCT findings of patients with versus without TLF. On pre-PCI OCT, more severely calcified plaque (median maximum calcium angle: 215° [IQR 109-349] vs 104° [IQR 0-253]; p=0.007) and calcified nodules (23% vs 10%; p=0.07) were more commonly found in patients with versus without TLF, whereas the reference lumen area, lipidic plaque angle, and minimum fibrous cap thickness did not differ significantly between patients with versus without TLF. On post-PCI OCT, coronary artery dissection with medial involvement was less common in patients with TLF than in those without (16% vs 61%), whereas dissection with no or intimal involvement (48% vs 21%) or adventitial involvement (36% vs 18%) was more commonly observed among patients with versus without TLF. A coronary artery dissection angle >60° and dissection length >2 mm were found in 97.3% and 82.9% of patients, respectively, and there was no significant difference between patients with and without TLF (maximum dissection angle >60°: TLF 71.0% vs no TLF 84.2%; p=0.08; dissection length >2 mm: 96.8% vs 97.3%; p=0.60). The post-PCI minimum lumen area (MLA) was similar between the two groups.

### PREDICTORS OF TARGET LESION-RELATED CLINICAL EVENTS

**Table 4** shows univariable and multivariable Cox regression analyses to predict TLF. In the multivariable Cox regression analysis, the presence of HD, a larger maximum calcium angle, and the absence of medial dissection remained independent predictors of TLF. When we divided patients into 4 groups, with the presence or absence of post-PCI medial dissection and a maximum calcium arc >180° or ≤180°, TLF event probability was highest in patients with both an absence of medial dissection and a maximum calcium arc >180° (medial dissection [-], Ca >180°); whereas it was lowest in those with both a presence of medial dissection and a maximum calcium arc ≤180° (medial dissection [+], Ca ≤180°) in the Kaplan-Meier analysis (p<0.001) (**Central illustration**).

### Discussion

In this study, TLF was found in 31 patients (9.5%) and was associated with the presence of HD, more severely calcified plaque, as well as the absence of post-PCI medial dissection on OCT. Furthermore, patients with an absence of post-PCI medial dissection and with severely calcified plaque on OCT were associated with a worse prognosis.

In the present study, HD was found to be associated with TLF, though the frequency of chronic kidney disease (CKD) and estimated glomerular filtration rate (eGFR) values were similar between patients with and without TLF. As reported in past studies, patients with renal insufficiency requiring HD had a worse prognosis than those without despite the use of second-generation DES<sup>18,19</sup>. Furthermore, Ito et al reported that patients requiring HD showed a poorer prognosis than those not requiring HD after DCB treatment for *de novo* coronary lesions, as the present study has also demonstrated<sup>20</sup>. Although it remains unclear whether there was an additive impact of HD on TLF of severely calcified lesions, one previous study of patients with angiographic calcium reported that the clinical 5-year event rates were higher in patients with versus without HD<sup>21</sup>.

In the present study, calcium severity of the target lesion was found to be associated with clinical outcomes. As

**Table 2. Angiographic and procedural results.**

	Overall (n=328)	Patients with TLF (n=31)	Patients without TLF (n=297)	p-value
Target vessel				0.25
LAD	201 (61.3)	21 (67.7)	180 (60.6)	
LCx	56 (17.1)	2 (6.5)	54 (18.2)	
RCA	71 (21.6)	8 (25.8)	63 (21.2)	
Calcification				<b>0.04</b>
None or mild	166 (50.6)	11 (35.5)	155 (52.2)	
Moderate	40 (12.2)	2 (6.5)	38 (12.8)	
Severe	121 (36.9)	18 (58.1)	103 (34.7)	
Post-PCI dissection (NHLBI classification)				0.22
Any dissection	107 (32.8)	12 (38.7)	95 (32.0)	
Type A	26 (7.9)	1 (3.2)	25 (8.4)	
Type B	74 (22.6)	11 (35.5)	63 (21.2)	
Type C	7 (2.1)	0 (0)	7 (2.4)	
Pre-PCI QCA				
Minimum lumen diameter, mm	0.83 (0.60-1.14)	0.80 (0.51-1.14)	0.83 (0.62-1.14)	0.56
Reference vessel diameter, mm	2.49 (2.13-3.06)	2.44 (2.13-2.86)	2.49 (2.12-3.10)	0.75
Diameter stenosis, %	64.5 (53.6-75.4)	64.8 (54.9-75.9)	64.5 (53.6-75.3)	0.71
Lesion length, mm	13.5 (9.9-20.6)	13.5 (10.2-22.2)	13.4 (9.9-20.6)	0.45
Post-PCI QCA				
Minimum lumen diameter, mm	1.79 (1.49-2.12)	1.92 (1.55-2.30)	1.77 (1.49-2.10)	0.18
Diameter stenosis, %	27.6 (21.1-34.9)	29.2 (23.5-36.0)	27.4 (20.7-34.8)	0.42
PCI procedure				
Scoring balloon	204 (62.2)	17 (54.8)	187 (63.0)	0.49
Cutting balloon	102 (31.1)	12 (38.7)	90 (30.3)	0.45
Maximum balloon size, mm	2.5 (2.5-3.0)	2.5 (2.5-3.0)	2.5 (2.5-3.0)	0.74
Maximum inflation pressure, atm	12 (10-16)	14 (11-18)	12 (10-16)	0.09
Guide extension catheter	67 (20.4)	9 (29.0)	58 (19.5)	0.24
Rotational atherectomy	26 (7.9)	3 (9.7)	23 (7.7)	0.72
Directional atherectomy	16 (4.9)	2 (6.5)	14 (4.7)	0.66
Orbital atherectomy	111 (33.8)	13 (41.9)	98 (33.0)	0.32
Excimer laser	59 (18.0)	6 (19.4)	53 (17.8)	0.81
DCB diameter, mm	3.00 (2.50-3.50)	3.00 (2.50-3.25)	3.00 (2.50-3.50)	0.59
Total DCB length, mm	27.6 (21.1-34.9)	25.0 (20.0-30.0)	25.0 (20.0-40.0)	0.81

Data are presented as n (%) or median (interquartile range). The p-value in bold indicates statistical significance. DCB: drug-coated balloon; LAD: left anterior descending artery; LCx: left circumflex artery; NHLBI: National Heart, Lung, and Blood Institute; PCI: percutaneous coronary intervention; QCA: quantitative coronary angiography; RCA: right coronary artery; TLF: target lesion failure

reported previously, intervening in severely calcified coronary lesions remains challenging<sup>22,23</sup>. Although Mitsui et al reported that the use of DCB is non-inferior to DES in patients with severely calcified plaques requiring orbital atherectomy<sup>24</sup>, calcium represents a barrier to optimal drug absorption<sup>25</sup>. Thus, adequate lesion preparation including rotational atherectomy or orbital atherectomy, which can reduce the calcium burden, might be effective when use of DCB for *de novo* lesions with severe calcification is considered, as has been reported by past non-randomised observational studies<sup>24,26</sup>.

The present study demonstrates that angiography-defined coronary artery dissection has a similar incidence in patients with and without TLF, while medial dissection by OCT

imaging is a predictor of TLF. These findings can be explained by the difference in resolution between OCT imaging and angiograms. In a past OCT study<sup>27</sup>, coronary artery medial dissection after stenting was found in 14-25% of patients, though dissection of at least type B by angiography was found in only 1%. OCT is a high-resolution imaging device which enables us to detect more dissection than on an angiogram. Thus, the ability of OCT to detect dissections not visible by angiography might be useful to treat *de novo* coronary artery lesions with DCB.

In this study, medial dissection was a powerful predictor of a better outcome. According to previous studies, the clinical outcomes of patients with post-PCI coronary dissection

**Table 3. Optical coherence tomography findings.**

	Overall (n=328)	Patients with TLF (n=31)	Patients without TLF (n=297)	p-value
OCT calcified nodule	38 (11.6)	7 (22.6)	31 (10.4)	0.07
Pre-PCI MLA, mm <sup>2</sup>	1.22 (0.86-1.86)	1.30 (0.95-1.98)	1.20 (0.86-1.82)	0.57
Maximum lipid arc, °	141 (0-212)	0 (0-193)	142 (0-214)	0.17
Minimum fibrous cap thickness	137 (87-200)	160 (120-190)	130 (90-200)	0.50
Pre-PCI maximum calcium angle, °	114 (0-262)	215 (109-349)	104 (0-253)	<b>0.007</b>
Pre-PCI maximum calcium thickness, µm	745 (0-1,098)	1,120 (755-1,262)	650 (0-1,050)	<b>&lt;0.001</b>
Pre-PCI calcium length, mm	4.0 (0.0-16.2)	17.0 (4.0-30.0)	4 (0.0-15.0)	<b>&lt;0.001</b>
Proximal reference lumen area, mm <sup>2</sup>	5.86 (4.49-7.33)	5.59 (5.07-6.92)	5.89 (4.47-7.37)	0.79
Distal reference lumen area, mm <sup>2</sup>	5.05 (3.52-7.21)	5.01 (3.90-7.35)	5.06 (3.48-7.20)	0.77
Mean reference lumen area, mm <sup>2</sup>	5.50 (4.18-7.28)	5.35 (4.27-6.92)	5.54 (4.17-7.30)	0.97
Post-PCI MLA, mm <sup>2</sup>	3.25 (2.50-4.48)	3.07 (2.43-4.66)	3.26 (2.51-4.42)	0.93
Post-PCI dissection				<b>&lt;0.001</b>
None or intimal involvement	77 (23.5)	15 (48.4)	62 (20.9)	
Medial involvement	186 (56.7)	5 (16.1)	181 (60.9)	
Adventitial involvement	65 (19.8)	11 (35.5)	54 (18.2)	
Maximum dissection angle, °	116 (73-161)	88 (53-164)	118 (76-161)	0.12
Longitudinal dissection length, mm	9.8 (6.1-14.4)	9.8 (7.7-13.8)	9.8 (6.0-14.6)	0.78

Data are presented as n (%) or median (interquartile range). p-values in bold indicate statistical significance. MLA: minimum lumen area; OCT: optical coherence tomography; PCI: percutaneous coronary intervention; TLF: target lesion failure

**Table 4. Predictors of target lesion failure.**

	Univariable logistic regression			Multivariable logistic regression		
	HR	95% CI	p-value	HR	95% CI	p-value
HD	2.49	1.05-5.91	<b>0.04</b>	2.26	1.004-5.11	<b>0.049</b>
OCT calcified nodule	0.81	0.30-2.15	0.66			
Maximum calcium angle (per 90°)	1.40	1.07-1.83	<b>0.01</b>	1.34	1.05-1.72	<b>0.02</b>
Absence of medial dissection	10.63	3.77-29.9	<b>&lt;0.001</b>	8.24	3.15-21.6	<b>&lt;0.001</b>
Adventitial dissection	0.62	0.28-1.39	0.24			

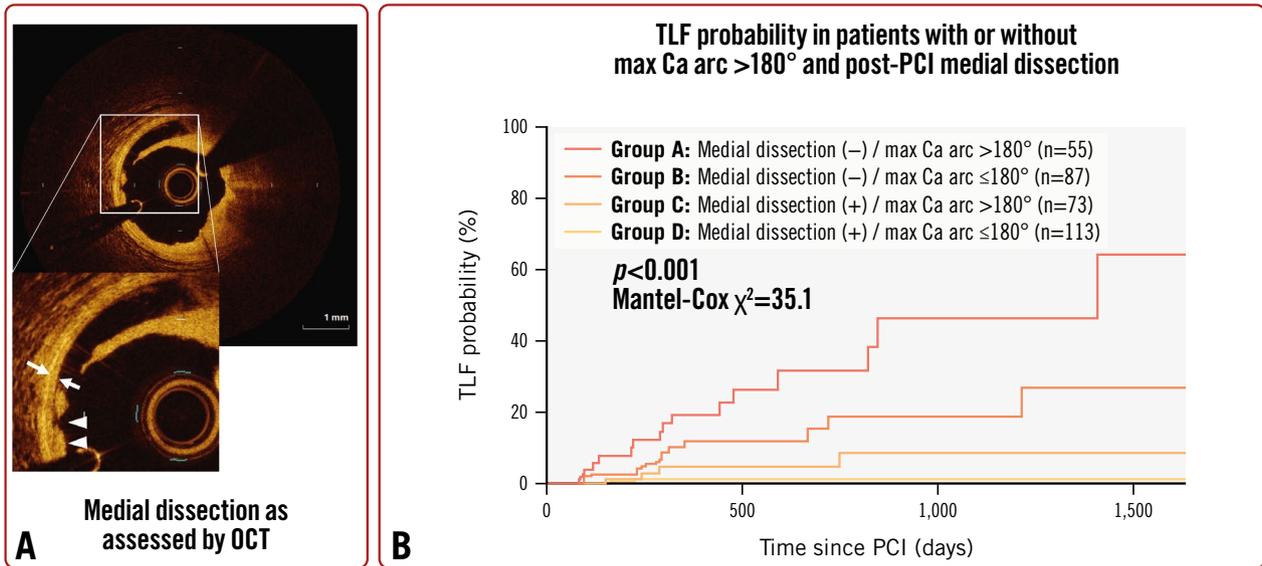
p-values in bold indicate statistical significance. CI: confidence interval; HD: haemodialysis; HR: hazard ratio; OCT: optical coherence tomography

were not inferior to those not treated with post-PCI coronary dissection when treated with DCB for *de novo* coronary lesions<sup>4,28</sup>. In a previous animal model study, tubulin, as a paclitaxel-binding specific protein, exhibited predominantly subintimal and adventitial localisation. Therefore, intimal disruption was considered potentially more detrimental to current paclitaxel-based DCB; those findings were in line with those of the present study<sup>29</sup>. On the other hand, a previous IVUS study by Yamamoto et al reported that late lumen enlargement after DCB treatment was observed in lesions with non-flow-limiting coronary dissection. Similar findings were reported by an OCT study<sup>5</sup>. Intravascular imaging, especially OCT, enables the detection of the presence or absence of medial dissection – both pre- and post-PCI – and provides additional information about risk stratification in terms of pre-PCI plaque morphology (e.g., calcium arc, calcium thickness). Therefore, when a stentless strategy with DCB for treating a *de novo* coronary artery lesion is considered, both patient characteristics and OCT findings such as pre-PCI calcium severity and the presence or absence of post-PCI coronary dissection are useful for predicting future events.

Our study demonstrated no significant difference in the frequency of coronary risk factors nor in medications at the index PCI between the two groups. In the registry data of DCB treatment from the Republic of Korea, there were no significant differences of event-free survival in patients with *de novo* coronary lesions in terms of diabetes mellitus, hypertension, or CKD<sup>3</sup>. Similarly, Funatsu et al reported no independent predictors of coronary risk factors, other than current smoking and HD<sup>30</sup>. While aforementioned reports were observational studies with relatively small numbers of events, it remains unclear whether there are modifiable coronary risk factors after PCI with DCB in *de novo* coronary lesions. Thus, further large-scale clinical studies are warranted.

### Limitations

The present study had several limitations. First, in this retrospective observational study, PCI procedural details, including DCB size and length, were left to the operator's discretion. Therefore, selection bias was inevitable. Second, the study population was small. Third, we excluded lesions

Predictors of target lesion failure in *de novo* coronary lesions treated with a drug-coated balloon.

Tetsumin Lee *et al.* • *EuroIntervention* 2024;20:e818-e825 • DOI: 10.4244/EIJ-D-23-01006

A) OCT imaging, with double arrows indicating the media and arrowheads indicating medial dissection of the coronary artery. B) Kaplan-Meier curve showing TLF event probability in patients with versus without medial dissection and a maximum calcium arc  $>180^\circ$  or  $\leq 180^\circ$ . TLF events were highest in those patients who had a maximum calcium arc  $>180^\circ$  but lacked medial dissection, whereas the fewest TLF events occurred in those who had a maximum calcium arc of  $\leq 180^\circ$  and also had medial dissection ( $p < 0.001$ ). Ca: calcium; OCT: optical coherence tomography; PCI: percutaneous coronary intervention; TLF: target lesion failure

for which advancing the OCT catheter was anticipated to be difficult, such as those with severe narrowing, tortuosity, or calcification. Fourth, the present study included only Japanese patients. The mean vessel size and the frequency of use of PCI devices, including imaging catheters and debulking devices, in the present study was different than in Western countries. Therefore, the generalisability is limited. Fifth, left main lesions were excluded in the present study because of the difficulty of overall OCT imaging in the left main trunk. Finally, the multivariable model for TLF was possibly overfitted because of the small number of TLF events.

## Conclusions

In *de novo* coronary artery lesions treated with DCB, patients with HD, a severely calcified lesion, and with an absence of post-PCI medial dissection were associated with TLF.

## Authors' affiliations

1. Department of Cardiology, Japanese Red Cross Musashino Hospital, Tokyo, Japan; 2. Department of Cardiovascular Medicine, Tokyo Medical and Dental University, Tokyo, Japan

## Conflict of interest statement

The authors have no conflicts of interest to declare.

## References

- Rissanen TT, Uskela S, Eränen J, Mäntylä P, Olli A, Romppanen H, Siljander A, Pietilä M, Minkkinen MJ, Tervo J, Kärkkäinen JM; DEBUT trial investigators. Drug-coated balloon for treatment of *de novo* coronary artery lesions in patients with high bleeding risk (DEBUT): a single-blind, randomised, non-inferiority trial. *Lancet*. 2019;394:230-9.
- Cortese B, Testa G, Rivero F, Enriquez A, Alfonso F. Long-Term Outcome of Drug-Coated Balloon vs Drug-Eluting Stent for Small Coronary Vessels: PICCOLETO-II 3-Year Follow-Up. *JACC Cardiovasc Interv*. 2023;16:1054-61.
- Lee SY, Cho YK, Kim SW, Hong YJ, Koo BK, Bae JW, Lee SH, Yang TH, Park HS, Choi SW, Lim DS, Kim SJ, Jeong YH, Lee HJ, Lee KY, Shin ES, Kim U, Kim MH, Nam CW, Hur SH, Kim DI; Stent Failure Research Group (SFR) Drug coated balloon (DCB) registry investigators. Clinical Results of Drug-Coated Balloon Treatment in a Large-Scale Multicenter Korean Registry Study. *Korean Circ J*. 2022;52:444-54.
- Cortese B, Silva Orrego P, Agostoni P, Buccheri D, Piraino D, Andolina G, Seregni RG. Effect of Drug-Coated Balloons in Native Coronary Artery Disease Left With a Dissection. *JACC Cardiovasc Interv*. 2015;8:2003-9.
- Yamamoto T, Sawada T, Uzu K, Takaya T, Kawai H, Yasaka Y. Possible mechanism of late lumen enlargement after treatment for *de novo* coronary lesions with drug-coated balloon. *Int J Cardiol*. 2020;321:30-7.
- Sogabe K, Koide M, Fukui K, Kato Y, Kitajima H, Akabame S, Zen K, Nakamura T, Matoba S. Optical coherence tomography analysis of late lumen enlargement after paclitaxel-coated balloon angioplasty for *de novo* coronary artery disease. *Catheter Cardiovasc Interv*. 2021;98:E35-42.
- Lee T, Mintz GS, Matsumura M, Zhang W, Cao Y, Usui E, Kanaji Y, Murai T, Yonetsu T, Kakuta T, Maehara A. Prevalence, Predictors, and Clinical Presentation of a Calcified Nodule as Assessed by Optical Coherence Tomography. *JACC Cardiovasc Imaging*. 2017;10:883-91.

8. Lee T, Shlofmitz RA, Song L, Tsiamtsiouris T, Pappas T, Madrid A, Jeremias A, Haag ES, Ali ZA, Moses JW, Matsumura M, Mintz GS, Maehara A. The effectiveness of excimer laser angioplasty to treat coronary in-stent restenosis with peri-stent calcium as assessed by optical coherence tomography. *EuroIntervention*. 2019;15:e279-88.
9. Lee T, Ashikaga T, Nozato T, Kaneko M, Miyazaki R, Okata S, Nagase M, Horie T, Terui M, Kishigami T, Nagata Y, Misawa T, Taomoto Y, Kachi D, Naito M, Yonetsu T, Sasano T. Predictors of coronary artery injury after orbital atherectomy as assessed by optical coherence tomography. *Int J Cardiovasc Imaging*. 2023;39:1367-74.
10. Funatsu A, Nakamura S, Inoue N, Nanto S, Nakamura M, Iwabuchi M, Ando K, Asano R, Habara S, Saito S, Kozuma K, Mitsudo K. A multicenter randomized comparison of paclitaxel-coated balloon with plain balloon angioplasty in patients with small vessel disease. *Clin Res Cardiol*. 2017;106:824-32.
11. Altbatal M, Van Langenhove G, Regar E, Kay IP, Foley D, Sianos G, Kozuma K, Beijsterveldt T, Carlier SG, Belardi JA, Boersma E, Sousa JE, de Bruyne B, Serruys PW; DEBATE II Study Group. Uncomplicated moderate coronary artery dissections after balloon angioplasty: good outcome without stenting. *Heart*. 2001;86:193-8.
12. Fujii K, Kubo T, Otake H, Nakazawa G, Sonoda S, Hibi K, Shinke T, Kobayashi Y, Ikari Y, Akasaka T. Expert consensus statement for quantitative measurement and morphological assessment of optical coherence tomography. *Cardiovasc Interv Ther*. 2020;35:13-8.
13. Araki M, Park SJ, Dauerman HL, Uemura S, Kim JS, Di Mario C, Johnson TW, Guagliumi G, Kastrati A, Joner M, Holm NR, Alfonso F, Wijns W, Adriaenssens T, Nef H, Rioufol G, Amabile N, Souteyrand G, Meneveau N, Gerbaud E, Opolski MP, Gonzalo N, Tearney GJ, Bouma B, Aguirre AD, Mintz GS, Stone GW, Bourantas CV, Räber L, Gili S, Mizuno K, Kimura S, Shinke T, Hong MK, Jang Y, Cho JM, Yan BP, Porto I, Niccoli G, Montone RA, Thondapu V, Papafaklis MI, Michalis LK, Reynolds H, Saw J, Libby P, Weisz G, Iannaccone M, Gori T, Toutouzas K, Yonetsu T, Minami Y, Takano M, Raffel OC, Kurihara O, Soeda T, Sugiyama T, Kim HO, Lee T, Higuma T, Nakajima A, Yamamoto E, Bryniarski KL, Di Vito L, Vergallo R, Fracassi F, Russo M, Seegers LM, McNulty I, Park S, Feldman M, Escaned J, Prati F, Arbustini E, Pinto FJ, Waksman R, Garcia-Garcia HM, Maehara A, Ali Z, Finn AV, Virmani R, Kini AS, Daemen J, Kume T, Hibi K, Tanaka A, Akasaka T, Kubo T, Yasuda S, Croce K, Granada JF, Lerman A, Prasad A, Regar E, Saito Y, Sankaradas MA, Subban V, Weissman NJ, Chen Y, Yu B, Nicholls SJ, Barlis P, West NEJ, Arab-Zadeh A, Ye JC, Dijkstra J, Lee H, Narula J, Crea F, Nakamura S, Kakuta T, Fujimoto J, Fuster V, Jang IK. Optical coherence tomography in coronary atherosclerosis assessment and intervention. *Nat Rev Cardiol*. 2022;19:684-703.
14. Fujino A, Mintz GS, Matsumura M, Lee T, Kim SY, Hoshino M, Usui E, Yonetsu T, Haag ES, Shlofmitz RA, Kakuta T, Maehara A. A new optical coherence tomography-based calcium scoring system to predict stent underexpansion. *EuroIntervention*. 2018;13:e2182-9.
15. Sugiyama T, Yamamoto E, Fracassi F, Lee H, Yonetsu T, Kakuta T, Soeda T, Saito Y, Yan BP, Kurihara O, Takano M, Niccoli G, Crea F, Higuma T, Kimura S, Minami Y, Aoki J, Adriaenssens T, Boeder NE, Nef HM, Fujimoto JG, Fuster V, Finn AV, Falk E, Jang IK. Calcified Plaques in Patients With Acute Coronary Syndromes. *JACC Cardiovasc Interv*. 2019;12:531-40.
16. Radu MD, Räber L, Heo J, Gogas BD, Jørgensen E, Kelbæk H, Muramatsu T, Farooq V, Helqvist S, Garcia-Garcia HM, Windecker S, Saunamäki K, Serruys PW. Natural history of optical coherence tomography-detected non-flow-limiting edge dissections following drug-eluting stent implantation. *EuroIntervention*. 2014;9:1085-94.
17. Thygesen K, Alpert JS, Jaffe AS, Chaitman BR, Bax JJ, Morrow DA, White HD; Executive Group on behalf of the Joint European Society of Cardiology (ESC)/American College of Cardiology (ACC)/American Heart Association (AHA)/World Heart Federation (WHF) Task Force for the Universal Definition of Myocardial Infarction. Fourth Universal Definition of Myocardial Infarction (2018). *Circulation*. 2018;138:e618-51.
18. Otsuka Y, Ishiwata S, Inada T, Kanno H, Kyo E, Hayashi Y, Fujita H, Michishita I. Comparison of haemodialysis patients and non-haemodialysis patients with respect to clinical characteristics and 3-year clinical outcomes after sirolimus-eluting stent implantation: insights from the Japan multi-centre post-marketing surveillance registry. *Eur Heart J*. 2011;32:829-37.
19. Shimizu A, Sonoda S, Muraoka Y, Setoyama K, Inoue K, Miura T, Anai R, Sanuki Y, Miyamoto T, Oginozawa Y, Tsuda Y, Araki M, Otsuji Y. Bleeding and ischemic events during dual antiplatelet therapy after second-generation drug-eluting stent implantation in hemodialysis patients. *J Cardiol*. 2019;73:470-8.
20. Ito R, Ishii H, Oshima S, Nakayama T, Sakakibara T, Kakuno M, Murohara T. Outcomes after drug-coated balloon interventions for de novo coronary lesions in the patients on chronic hemodialysis. *Heart Vessels*. 2021;36:1646-52.
21. Nishida K, Kimura T, Kawai K, Miyano I, Nakaoka Y, Yamamoto S, Kaname N, Seki S, Kubokawa S, Fukatani M, Hamashige N, Morimoto T, Mitsudo K; j-Cypher Registry Investigators. Comparison of outcomes using the sirolimus-eluting stent in calcified versus non-calcified native coronary lesions in patients on- versus not on-chronic hemodialysis (from the j-Cypher registry). *Am J Cardiol*. 2013;112:647-55.
22. Fanelli F, Cannavale A, Gazzetti M, Lucatelli P, Wlcker A, Cirelli C, d'Adamo A, Salvatori FM. Calcium burden assessment and impact on drug-eluting balloons in peripheral arterial disease. *Cardiovasc Intervent Radiol*. 2014;37:898-907.
23. Généreux P, Madhavan MV, Mintz GS, Maehara A, Palmerini T, Lasalle L, Xu K, McAndrew T, Kirtane A, Lansky AJ, Brener SJ, Mehran R, Stone GW. Ischemic outcomes after coronary intervention of calcified vessels in acute coronary syndromes. Pooled analysis from the HORIZONS-AMI (Harmonizing Outcomes With Revascularization and Stents in Acute Myocardial Infarction) and ACUITY (Acute Catheterization and Urgent Intervention Triage Strategy) TRIALS. *J Am Coll Cardiol*. 2014;63:1845-54.
24. Mitsui K, Lee T, Miyazaki R, Hara N, Nagamine S, Nakamura T, Terui M, Okata S, Nagase M, Nitta G, Watanabe K, Kaneko M, Nagata Y, Nozato T, Ashikaga T. Drug-coated balloon strategy following orbital atherectomy for calcified coronary artery compared with drug-eluting stent: One-year outcomes and optical coherence tomography assessment. *Catheter Cardiovasc Interv*. 2023;102:11-7.
25. Marlevi D, Edelman ER. Vascular Lesion-Specific Drug Delivery Systems: JACC State-of-the-Art Review. *J Am Coll Cardiol*. 2021;77:2413-31.
26. Shiraishi J, Kataoka E, Ozawa T, Shiraga A, Ikemura N, Matsubara Y, Nishimura T, Ito D, Kojima A, Kimura M, Kishita E, Nakagawa Y, Hyogo M, Sawada T. Angiographic and Clinical Outcomes After Stent-less Coronary Intervention Using Rotational Atherectomy and Drug-Coated Balloon in Patients with De Novo Lesions. *Cardiovasc Revasc Med*. 2020;21:647-53.
27. Ali ZA, Maehara A, Généreux P, Shlofmitz RA, Fabbiochi F, Nazif TM, Guagliumi G, Meraj PM, Alfonso F, Samady H, Akasaka T, Carlson EB, Leesar MA, Matsumura M, Ozan MO, Mintz GS, Ben-Yehuda O, Stone GW; ILUMIEN III: OPTIMIZE PCI Investigators. Optical coherence tomography compared with intravascular ultrasound and with angiography to guide coronary stent implantation (ILUMIEN III: OPTIMIZE PCI): a randomised controlled trial. *Lancet*. 2016;388:2618-28.
28. Hui L, Shin ES, Jun EJ, Bhak Y, Garg S, Kim TH, Sohn CB, Choi BJ, Kun L, Yuan SL, Zhi W, Hao J, Zhentao S, Qiang T. Impact of Dissection after Drug-Coated Balloon Treatment of De Novo Coronary Lesions: Angiographic and Clinical Outcomes. *Yonsei Med J*. 2020;61:1004-12.
29. Levin AD, Vukmirovic N, Hwang CW, Edelman ER. Specific binding to intracellular proteins determines arterial transport properties for rapamycin and paclitaxel. *Proc Natl Acad Sci U S A*. 2004;101:9463-7.
30. Funatsu A, Sato T, Koike J, Mizobuchi M, Kobayashi T, Nakamura S. Comprehensive clinical outcomes of drug-coated balloon treatment for coronary artery disease. Insights from a single-center experience. *Catheter Cardiovasc Interv*. 2024;103:404-16.

## Supplementary data

**Supplementary Table 1.** Medications at the index percutaneous coronary intervention.

The supplementary data are published online at:  
<https://eurointervention.pronline.com/>  
 doi/10.4244/EIJ-D-23-01006



## Supplementary data

**Supplementary Table 1. Medications at the index percutaneous coronary intervention.**

	Overall (n = 328)	Patients with TLF (n = 31)	Patients without TLF (n = 297)	<i>P</i>
Anti-hypertensive drugs				
ACEI or ARB	202 (61.6)	17 (54.8)	185 (62.3)	0.44
Ca channel blocker	142 (43.3)	18 (58.1)	124 (41.8)	0.09
Beta blocker	200 (61.0)	21 (67.7)	179 (60.3)	0.45
Statin	304 (92.7)	30 (96.8)	274 (92.3)	0.71
SGLT2 inhibitor	44 (13.4)	1 (3.2)	43 (14.5)	0.10
Insulin	28 (8.5)	4 (12.9)	24 (8.1)	0.32

TLF indicates target lesion failure; ACEI, angiotensin-converting enzyme inhibitor, ARB, angiotensin receptor blocker; Ca, calcium; SGLT2, sodium glucose cotransporter 2.