# Possible mechanical causes of scaffold thrombosis: insights from case reports with intracoronary imaging



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#### **KEYWORDS**

- bioresorbable scaffold
- intravascular ultrasound
- mechanical cause
- optical coherence tomography
- scaffold thrombosis

#### Abstract

The advent of intracoronary stents has greatly increased the safety and applicability of percutaneous coronary interventions. One of the drawbacks of drug-eluting stents (DES) is the increased risk of late and very late stent thrombosis (ST). It was anticipated that the risks of ST after DES implantation would be solved with the advent of fully biodegradable scaffolds, which offer the possibility of transient scaffolding of the vessel to prevent acute vessel closure and recoil while also transiently eluting an antiproliferative drug to counteract constrictive remodelling and excessive neointimal hyperplasia. In spite of the enthusiasm for the concept of bioresorbable scaffolds, current clinical data on the Absorb bioresorbable vascular scaffold (BVS) have generated concerns about scaffold thrombosis (ScT) in both the early and late phases. However, the causes of ScT in both the early and late phases have yet to be fully elucidated. This article seeks to provide insights into the possible mechanical causes of ScT in the early and late phases with data stemming from intracoronary imaging (intravascular ultrasound and optical coherence tomography) of the currently published ScT cases following the implantation of BVS and reviews the practical recommendations for implantation of the BVS made by a group of experts.

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#### Introduction

The advent of intracoronary stents has greatly increased the safety and applicability of percutaneous coronary interventions (PCI). Large-scale randomised trials and all-comer registries have shown excellent results of PCI with drug-eluting stents (DES) in terms of the need for repeat revascularisation. However, one of the drawbacks of DES is the increased risk of late and very late stent thrombosis (ST). Registries of all-comers treated with DES have shown late ST rates of 0.53% per year, with a continued increase to 3% over four years<sup>1</sup>. Post-mortem pathological specimens of DES revealed significant numbers of uncovered struts with evidence of a persistent inflammatory reaction around the stent struts<sup>2</sup>. Second-generation DES have solved some aspects of these problems, and the frequency of ST in second-generation DES (everolimus-eluting stent [EES]) has been reduced to 0.7% at a mean follow-up of 21.7 months<sup>3</sup>.

It was anticipated that ST in the late and very late phases after DES implantation would be solved with the advent of fully biodegradable scaffolds, which offer the possibility of transient scaffolding of the vessel to prevent acute vessel closure and recoil while also transiently eluting an antiproliferative drug to counteract constrictive remodelling and excessive neointimal hyperplasia. As drug elution and scaffolding are temporary until the vessel has healed, no foreign material potentially triggering very late scaffold thrombosis (ScT), such as non-endothelialised struts and drug polymers<sup>2</sup>, would remain in the vessel.

In spite of the enthusiasm for the concept of bioresorbable scaffolds, current clinical data on the Absorb bioresorbable vascular scaffold (BVS) (Abbott Vascular, Santa Clara, CA, USA) have generated concerns about ScT in both the early and late phases<sup>4-8</sup>. Recently published meta-analyses have revealed that patients treated with a BVS had a higher risk of definite or probable ScT than those treated with a metallic EES (odds ratio [OR]: 1.99-2.09)<sup>9</sup>. However, the causes of ScT in both the early and late phases have yet to be fully elucidated.

The purpose of this review article is to provide insights into the possible mechanical causes of ScT in the early and late phases, with data stemming from intracoronary imaging (intravascular ultrasound [IVUS] and optical coherence tomography [OCT]) of the currently published ScT cases following the implantation of BVS.

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#### DEFINITIONS OF SCAFFOLD THROMBOSIS

The sensitivity and specificity of the definition of ScT depend on the level of certainty required (a better judgement can be made in the presence of angiography or autopsy studies), and also on the accuracy of data available during adjudication. A standardised definition of ScT was proposed by the Academic Research Consortium (ARC) (Table 1). The ARC definition acknowledges these issues by establishing a gradation of certainty (definite, probable, and possible), and standardising the timing of ScT (acute, subacute, late, and very late), which may have different pathophysiological mechanisms and clinical implications. Acute

#### Table 1. Definition of scaffold thrombosis according to the Academic Research Consortium.

Timing								
Early	Acute	0 to 24 hours after scaffold implantation						
	Subacute	24 hours to 30 days after scaffold implantation						
Late		30 days to 1 year after scaffold implantation						
Very late		1 year after scaffold implantation						
Level of	certainty							
Definite		Angiographic or pathological confirmation of partial or total thrombotic occlusion within the peri-scaffold region AND at least one of the following additional criteria: 1) acute ischaemic symptoms; 2) ischaemic electrocardiogram changes; 3) elevated cardiac biomarkers						
Probable		Any unexplained death within the first 30 days. Any myocardial infarction related to documented acute ischaemia in the territory of the implanted scaffold without angiographic confirmation of scaffold thrombosis and in the absence of any other obvious cause.						
Possible		Any unexplained death beyond 30 days.						

or subacute can also be replaced by the term early ScT. Although ARC definitions added uniformity, they remain an imperfect balance of sensitivity and specificity: "definite" ScT is highly specific but probably underestimates true frequency, whereas "possible" ScT, although more sensitive, lacks diagnostic certainty. Most contemporary studies exclude the category of "possible" ScT and select the endpoints of "definite" or "probable" ScT to provide a balance of specificity and sensitivity. In this review article, we focus only on the definite ScT case reports to provide some insights into the mechanical causes of ScT using intracoronary imaging.

#### INCIDENCE OF SCAFFOLD THROMBOSIS

The incidence of ScT has been evaluated in clinical trials, registries and meta-analyses. The interpretation of data may vary depending on the study population and study design. As shown in **Table 2-Table 4**, the estimated rates of early definite, and definite or probable ScT ranged from 0.42% to 1.37%. The highest incidence of early ScT was observed in acute coronary syndrome (ACS) (1.37%) (**Table 3**) followed by an all-comer population (1.08%) (**Table 4**) and stable patients undergoing elective PCI (0.86%) (**Table 2**). This observation is similar to that of metallic DES; patients with ACS (0-3.1%) had a higher risk of early ST compared with stable patients (0.3-0.4%)<sup>10</sup>.

The incidence of late and very late ScT ranged from 0.31% to 1.00%. Of note, the incidence of ScT in non-complex and ACS lesions decreased over time (non-complex lesions, early ScT 0.86% vs. late and very late ScT 0.31% [**Table 2**]; ACS, 1.37% vs. 0.47% [**Table 3**]), whereas it was stable in an all-comer population (all-comers, 1.08% vs. 1.00% [**Table 4**]). The theoretical risk reduction of ScT in the late phase needs to be evaluated in further investigations with long-term follow-up.

Study design	Study	N	Acute ScT, N (%)	Subacute ScT, N (%)	Early ScT, N (%)	Late ScT and very late ScT, N (%)	Reference
RCT	EVERBIO II	78	0	0	0 0		45
RCT	ABSORB China	238	0	Def 1 (0.4%)	Def 1 (0.4%)	0	5
RCT	ABSORB Japan	226	0 Def 3 (1.1		Def 3 (1.1%)	Def 1 (0.4%)	6
RCT	ABSORB II	335	Def 1 (0.3%)	ef 1 (0.3%) Def 1 (0.3%) Def 2 (		0	6
RCT	ABSORB III	1,322	Def/prob 2 (0.2%)	Def/prob 12 (0.9%)	Def/prob 14 (1.1%)	Def/prob 6 (0.5)	46
Observational study	ABSORB B	101	0	0	0	0	47
Observational study	ABSORB A	30	0	0	0	0	48
Incidence of definite/p	probable ScT	2,330	0.13%	0.73%	0.86%	0.31%	
	Study design RCT RCT RCT RCT RCT RCT Observational study Observational study Incidence of definite/p	Study designStudyRCTEVERBIO IIRCTABSORB ChinaRCTABSORB JapanRCTABSORB IIRCTABSORB IIIRCTABSORB BIIObservational studyABSORB AIncidence of definite/probable ScT	Study designStudyNRCTEVERBIO II78RCTABSORB China238RCTABSORB Japan226RCTABSORB II335RCTABSORB III1,322Observational studyABSORB B101Observational studyABSORB A30Incidence of definite/probable ScT2,330	Study designStudyNAcute ScT, N (%)RCTEVERBIO II780RCTABSORB China2380RCTABSORB Japan2260RCTABSORB II335Def 1 (0.3%)RCTABSORB III1,322Def/prob 2 (0.2%)Observational studyABSORB B1010Observational studyABSORB A300Incidence of definite/probable ScT2,3300.13%	Study designStudyNAcute ScT, N (%)Subacute ScT, N (%)RCTEVERBIO II7800RCTABSORB China2380Def 1 (0.4%)RCTABSORB Japan2260Def 3 (1.1%)RCTABSORB III335Def 1 (0.3%)Def 1 (0.3%)RCTABSORB III1,322Def/prob 2 (0.2%)Def/prob 12 (0.9%)Observational studyABSORB A3000Incidence of definite/probable ScT2,3300.13%0.73%	Study designStudyNAcute ScT, N (%)Subacute ScT, N (%)Early ScT, N (%)RCTEVERBIO II78000RCTABSORB China2380Def 1 (0.4%)Def 1 (0.4%)RCTABSORB Japan2260Def 3 (1.1%)Def 3 (1.1%)RCTABSORB II335Def 1 (0.3%)Def 2 (0.6%)RCTABSORB III1,322Def/prob 2 (0.2%)Def/prob 12 (0.9%)Def/prob 14 (1.1%)Observational studyABSORB A30000Incidence of definite/probable ScT2,3300.13%0.73%0.86%	Study designStudyNAcute ScT, N (%)Subacute ScT, N (%)Early ScT, N (%)Late ScT and very late ScT, N (%)RCTEVERBIO II78000NA*RCTABSORB China2380Def 1 (0.4%)Def 1 (0.4%)0RCTABSORB Japan2260Def 3 (1.1%)Def 3 (1.1%)Def 1 (0.4%)RCTABSORB II335Def 1 (0.3%)Def 1 (0.3%)Def 2 (0.6%)0RCTABSORB III1,322Def/prob 2 (0.2%)Def/prob 12 (0.9%)Def/prob 14 (1.1%)Def/prob 6 (0.5)Observational studyABSORB A300000Incidence of definite/robable ScT2,3300.13%0.73%0.86%0.31%

Table 2. Incidence of scaffold thrombosis in non-complex lesions.

<sup>1</sup> Follow-up shorter than 1 year. Def: definite scaffold thrombosis; Def/prob: definite or probable scaffold thrombosis; NA: not available; RCT: randomised controlled trial; ScT: scaffold thrombosis

#### Possible mechanical causes of scaffold thrombosis: insights from case reports with intracoronary imaging

Two independent reviewers (Y. Sotomi and P. Suwannasom) systematically searched (March 2016) MEDLINE/PubMed and available abstract data, applying the search terms "bioresorbable scaffold" and "thrombosis". Data were limited to human studies using the Absorb BVS. Presentation slides of late-breaking clinical trials, including EuroPCR 2015 and TCT 2015 meetings in TCTMD.com, were also obtained. From the studies obtained, only the case reports and case series of ScT were focused upon.

In the current literature, 100 case reports of definite ScT (acute and subacute ScT, n=63; late and very late ScT, n=37) were identified<sup>4,6,11-31</sup>. Out of these cases, imaging insights with IVUS and OCT were available in five and 38 cases, respectively. The other 57 cases did not include intracoronary imaging assessment at the time of the ScT event. Table 5 and Table 6 summarise the imaging findings of the 17 early ScT cases and 26 late ScT cases assessed by IVUS and OCT. Representative examples of underlying possible mechanisms of ScT explored by OCT are summarised in Figure 1.

#### Acute and subacute scaffold thrombosis (Figure 2A) MALAPPOSITION

In the current publications, malapposition (Figure 1A) was the most frequent imaging finding of early (acute and subacute) ScT. This could be induced by undersizing of the device, insufficient lesion preparation, inadequate post-dilatation, etc. Imaging-guided

Target	Study design	Study	N	Acute ScT, N (%)	Subacute ScT, N (%)	Early ScT, N (%)	Late ScT and very late ScT, N (%)	Refer- ence
ACS (UAP, NSTEMI,	Single-centre registry prospective	POLAR ACS	100	0	0	0	Def 1 (1.0%)	49
STEMI)	Single-centre registry retrospective	Gori et al	150	Def 1 (0.7%)	Def 1 (0.7%)	Def 2 (1.3%)	Def 1 (0.7%)	50
STEMI	RCT	TROFI II	95	0	Def 1 (1.1%)	Def 1 (1.1%)	0 Def 1 (1.1%)	
	Single-centre prospective	Prague 19	41	0	0	Def 1 (2.43%)	NA¶	51
	Single-centre prospective	Diletti et al, BVS STEMI	49	0	0	0	NA¶	52
	Single-centre registry retrospective	Wiebe et al	25	0	0	0	0	53
	Multicentre registry prospective	Cortese et al, BVS-RAI	122	0	0	Def 2 (1.6%)	Def 1 (0.8%)	54
	PS matching comparison	lelasi et al RAI registry	74	0	Def 1 (1.3%)	Def 1 (1.3%)	0	55
	PS matching comparison	BVS- EXAMINATION	290 NA		NA	Def/prob 6 (2.1%) Def 4 (1.4%)	Def/prob 1 (0.3%) Def 1 (0.3%)	8
ACS	Incidence of definite/proba	able ScT	946	0.15%	0.46%	1.37%	0.47%	
¶ Follow-up s	horter than 1 year. Def: defin	ite scaffold throm	oosis; Def/	prob: definite o	r probable scaft	old thrombosis; NA:	not available; RCT: rai	ndomised

Table 3. Incidence of scaffold thrombosis in acute coronary syndrome.

controlled trial; ScT: scaffold thrombosis

#### Table 4. Incidence of scaffold thrombosis in all-comer or miscellaneous populations.

Target	Study design	Study design Study		N Acute ScT, Subacu N (%) ScT, N (%)		Early ScT, N (%)	Late ScT and very late ScT, N (%)	Refer- ence
All-comer								
All-comer	Multicentre registry	Abizaid et al, ABSORB EXTEND	512	0	Def/prob 2 (0.4%)	Def/prob 2 (0.4%)	Def/prob 2 (0.4%)	56
	Single-centre registry	BVS EXPAND	250	0	0	0	Def 1.4%	57
	Single-centre registry	Kraak et al, AMC single-centre	135 0		Def 3 (2.2%) Def 3 (2.2%)		Def 1 (0.7%)	7
	Single-centre registry	Azzalini et al	339	0	Def 4 (1.2%)	Def 4 (1.2%)	NA	22
	Two-centre registry	Robaei et al*	100	0	0	Def 1 (1%)	0	58
	Two-centre registry	Jaguszewski et al	106	Def 1 (0.9%)	Def 1 (0.9%)	Def 2 (1.9%)	NA¶	59
	Single-centre registry	Costopoulos et al	92	0	0	0	NA¶	60
	Multicentre registry	Capodanno et al, GHOST-EU registry	1,189	Def 5 (0.4%)	Def 11 (0.9%)	Def 16 (1.3%)	Def 7 (0.8%)	4
	Multicentre registry	GABI-R	1,536	Def 7 (0.5%)	Def 8 (0.5%)	Def 15 (1.0%)	NA¶	20, 61
	Multicentre registry	Puricel S et al*	1,305 Def 10 (0.8%)		Def 11 (0.8%)	Def 21 (1.6%)	LScT Def 11 (0.8%) VLScT Def 10 (0.8%)	33
	Multicentre registry	REPARA	1,479	Def 0.3%	Def 0.3% NA Def 0.9%		NA	62
	Incidence of definite/p	probable ScT	7,043	0.40%	0.72%	1.08%	1.00%	
Miscellaneou	s							
Diabetes mellitus	PS matching comparison	Muramatsu et al	102	0	0	0	Def/prob 0.7% (1/136) Def 0.7% (1/136)	63
Complex lesion (moderate/ severe calcified lesion, bifurcation, CTO)	Single-centre registry	ASSURE registry	183	0	0	0	0	64
Chronic total occlusion	Single-centre registry	CTO-ABSORB pilot study	35	0	0	0	NA¶	65
In-stent restenosis	Multicentre registry	Moscarella et al	83	0	0	0	Def 1 (1.1%)	66
In-stent restenosis	Multicentre registry	lelasi et al	25	0	0	0	0	67
Bifurcation	Single-centre registry	Suárez de Lezo J et al	194	0	1	Def/prob 1 (0.87%)	Def/prob 1 (1.3%)	68
*Report include	s 36 definite / probable	and 2 possible ScT	1 Follow	up shorter than	1 year Dof. do	finite scaffold throm	posis, Def/prob. defini	to or

\*Report includes 36 definite, 4 probable, and 2 possible ScT. <sup>¶</sup> Follow-up shorter than 1 year. Def: definite scaffold thrombosis; Def/prob: definite or probable scaffold thrombosis; LScT: late scaffold thrombosis; NA: not available; VLScT: very late scaffold thrombosis

scaffold implantation is relatively uncommon in current clinical practice. Moreover, scaffold overexpansion has been intensively prohibited in order to avoid acute disruption. Therefore, it is highly probable that such situations lead to insufficient scaffold expansion in relation to the vessel, resulting in a higher rate of strut malapposition. Several reports have identified undersizing as a key factor for ST in both bare metal stents and drugeluting stents<sup>10</sup>, which can mean that malapposition is a key factor for ST. As with the reports on metallic stents, the undersizing of polymeric scaffolds probably leads to scaffold strut malapposition which is the underlying possible mechanical cause of ScT.

### INCOMPLETE COVERAGE OF LESIONS (GEOGRAPHICAL MISS)

Incomplete lesion coverage (Figure 1B) was observed either because of mismatch of the predilated segment and the scaffolded segment or because of incomplete coverage of the thrombosed segment in acute coronary syndrome (ACS). Due to the inherent mechanical properties of polymeric devices, BVS require rigorous lesion preparation, potentially translating to a higher risk of incomplete coverage of the injured segment, compared with direct stenting often applied with metallic stents. Incomplete coverage of thrombogenic plaque with altered flow dynamics due to the



**Figure 1.** Representative examples of scaffold thrombosis underlying mechanisms explored by optical coherence tomography. In acute and subacute ScT, strut malapposition (A), incomplete lesion coverage (B, possible ruptured plaque [white arrow], uncovered thrombus [white asterisk]), and underdeployment (C) were the leading mechanical causes, followed by acute disruption (D) and overlap (E). In late and very late ScT, malapposition (A), late discontinuity (F, white arrowhead), and peri-strut low-intensity area (G) were the leading features, followed by uncovered struts (H) and neoatherosclerosis (I), mural thrombus (red asterisk) with highly attenuating area (white asterisk). Panel B reprinted with permission from Wolters Kluwer Health, Inc., Copyright (2015)<sup>14</sup>. Panel F reprinted with permission from Elsevier, Copyright (2015)<sup>18</sup>. Panel I reprinted with permission from Elsevier, Copyright (2015)<sup>44</sup>.

protrusion of polymeric struts into the lumen would synergistically contribute to the formation of thrombus<sup>17</sup>.

#### UNDERDEPLOYMENT/DEVICE-VESSEL MISMATCH

This may highlight the importance of lesion selection, optimal lesion preparation before bioresorbable scaffold implantation, and

optimal scaffold sizing (Figure 1C). The implantation of a large Absorb scaffold in a relatively small vessel results in a relative underexpansion of the scaffold termed "underdeployment", defined as the ratio of minimal scaffold area to the nominal area of the device deployed *in vitro* at a nominal pressure less than 0.80. Scaffold "underexpansion" is defined as the ratio of minimum

#### Table 5. Imaging findings of acute and subacute scaffold thrombosis.

Number	Indication for index PCI	Time (day)	ScT type	Imaging modality	Malapposi- tion	Incomplete lesion coverage	Underde- ployment	Acute disruption	Overlap	Acute recoil	Other findings	
1	SAP	1	Subacute ScT	OCT	-	_	-	YES	-	-	Calcified lesion, asymmetrical apposition	
2	SAP	2	Subacute ScT	OCT	-	-	-	-	YES	-		
3	SAP	7	Subacute ScT	OCT	-	-	-	-	-	-	Unknown mechanical cause	
4	ACS	0	Acute ScT	OCT	YES	YES	-	-	-	-		
5	UAP	0	Acute ScT	OCT	-	-	-	-	-	-	Calcified lesion	
6	UAP	0	Acute ScT	OCT	YES	-	-	-	-	-		
7	UAP	7	Subacute ScT	OCT	-	-	-	-	-	YES		
8	UAP	16	Subacute ScT	OCT	-	-	-	-	-	-	Organised thrombus in distal scaffold	
9	UAP	18	Subacute ScT	IVUS	-	-	-	-	-	-	Recent DAPT cessation / No specific mechanical cause	
10	NSTEMI	8	Subacute ScT	OCT	-		YES	-	-	-	Calcified lesion	
11	STEMI	0	Acute ScT	OCT	_	-	-	-	_	_	Unknown mechanical cause	
12	STEMI	0	Acute ScT	IVUS		YES	-	-	-	-	Protrusion into lumen	
13	STEMI	0	Acute ScT	OCT	YES	-	—	-	_	-		
14	STEMI	0	Acute ScT	OCT		YES	YES	-	-	-	Calcified lesion	
15	STEMI	4	Subacute ScT	OCT	-	-	-	_	-	_	Ticagrelor was stopped on day 3 after PCI / No specific mechanical cause	
16	STEMI	6	Subacute ScT	OCT	YES	-	—	-	—	-		
17	STEMI	7	Subacute ScT	OCT	-	-	-	-	-	-	Unknown mechanical cause	
		Total nur	nber		4	3	2	1	1	1		
ACS: acut	e coronary synd	rome: IVU	S: intravascular ı	ultrasound: NS	TEMI: non-ST-se	gment elevation	n myocardial inf	arction: OCT: on	tical coherence	tomography: SA	AP: stable angina pectoris:	

ACS: acute coronary syndrome; IVUS: intravascular ultrasound; NSTEMI: non-ST-segment elevation myocardial infarction; OCT: optical coherence tomography; SAP: stable angina pectoris; ScT: scaffold thrombosis; STEMI: ST-segment elevation myocardial infarction; UAP: unstable angina pectoris; "--" means "NO"



**Figure 2.** Imaging findings on scaffold thrombosis. Imaging findings on scaffold thrombosis in the acute/subacute (A) and late/very late (B) phases summarised in Table 5 and Table 6 are presented as bar graphs. The vertical axis shows the number of each finding observed in the 43 case reports (acute and subacute: n=17; late and very late: n=26).

scaffold area to the average reference lumen area less than 0.90. We need to use these two terms quite differently since these two conditions are completely dissimilar. The underdeployment of scaffolds could cause a high density of polymer in small vessels, which could be associated with an increased thrombogenicity and disturbance in the haemodynamic microenvironment around the struts<sup>32,33</sup>. Device oversizing has been associated with a higher risk of MACE at one-year follow-up after BVS implantation<sup>34</sup>.

#### ACUTE DISRUPTION

BVS pattern irregularity at baseline is considered as acute disruption of the BVS scaffold (**Figure 1D**)<sup>35</sup>. This irregularity ranged from local overhanging single struts shifted out of their expected pattern position during deployment, to complete pattern disruptions possibly involving structural discontinuities. At the time of implantation, the bioresorption process does not influence the mechanical integrity of the scaffold at all, so that any disrupted struts observed immediately after the procedure are the consequence of a mechanical disruption caused by extreme overexpansion of the scaffold. The reported incidence of scaffold pattern irregularities (acute disruption) was 3.9-5.8%<sup>35</sup>. However, the degree of disruption might also be important. Complete structural disruption could lead to loss of scaffold integrity, malapposition of struts, acute recoil of the device, resulting in ScT.

		5	se el lute u		, 1010 00							1
Number	Indication for index PCI	Time (day)	ScT type	Imaging	Malapposition	Late discontinuity	Peri-strut low-intensity area	Uncovered strut	Underdeployment	Incomplete lesion coverage	Recoil	
1	SAP	112	Late ScT	OCT	-	-	-	-	YES	-	_	
2	SAP	129	Late ScT	0CT	-	-	-	YES	-	-	-	

#### Table 6. Imaging findings of late and very late scaffold thrombosis.

Number	Indication for index PCI	Time (day)	ScT type	Imaging	Malapposition	Late discontinuity	Peri-strut low-intensity area	Uncovered strut	Underdeployment	Incomplete lesion coverage	Recoil	Restenosis	Veoatherosclerosis	Bifurcation	Other findings
1	SAP	112	Late ScT	0CT	-	-	-	_	YES	-	-	-	-	-	
2	SAP	129	Late ScT	0CT	-	-	-	YES	-	-	-	-	-	YES	
3	SAP	161	Late ScT	0CT	YES	YES	_	_	YES	-	_	_	-	_	
4	SAP	263	Late ScT	0CT	-	-	YES	-	-	-	-	-	-	-	Neovessel
5	SAP	447	Very late ScT	IVUS	YES	-	-	_	YES	-	-	_	-	-	
6	SAP	480	Very late ScT	IVUS	-	-	-	_	-	-	YES	_	-	-	
7	SAP	540	Very late ScT	OCT	YES	-	-	-	-	-	-	-	-	-	
8	SAP	570	Very late ScT	OCT	YES	-	-	_	-	-	-	-	-	-	
9	SAP	570	Very late ScT	OCT	-	YES	-	YES	-	-	-	-	-	-	
10	SAP	675	Very late ScT	OCT	YES	YES	-	_	-	-	-	-	-	-	
11	SAP	1,320	Very late ScT	OCT	YES	-	-	_	-	-	-	-	-	-	
12	ACS	47	Late ScT	OCT	YES	-	-	_	-	-	-	_	-	-	
13	ACS	142	Late ScT	OCT	-	-	-	-	-	YES	-	YES	-	-	
14	ACS	371	Very late ScT	OCT	YES	-	-	-	-	-	-	-	-	-	
15	UAP	73	Late ScT	OCT	_	-	-	-	-	-	-	-	-	-	Unknown mechanical cause
16	UAP	602	Very late ScT	IVUS	-	-	-	-	YES	YES	-	-	-	-	
17	UAP	730	Very late ScT	0CT	-	YES	-	-	-	-	-	-	-	-	
18	NSTEMI	243	Late ScT	OCT	-	YES	YES	-	-	-	-	-	-	-	
19	NSTEMI	420	Very late ScT	OCT	_	_	_	_	_	YES	_	_	-	-	
20	NSTEMI	584	Very late ScT	OCT	-	YES	YES	_	_	-	YES	_	-	-	
21	NSTEMI	630	Very late ScT	OCT	_	-	-	YES	-	-	-	_	-	-	
22	STEMI	104	Late ScT	OCT	_	_	_	_	_	-	_	YES	YES	_	Neovessels, ruptured restenosis
23	STEMI	349	Late ScT	OCT	_	_	YES	_	_	-	YES	_	-	-	
24	STEMI	540	Very late ScT	0CT	-	-	-	YES	-	-	-	-	-	-	
25	STEMI	562	Very late ScT	0CT	YES	YES	YES	-	-	-	-	-	-	-	Asymmetrical apposition
26	STEMI	570	Very late ScT	OCT	-	YES	-	-	-	-	-	-	-	-	
	1	lotal num	ber		9	8	5	4	4	3	3	2	1	1	
ACS: acut	e coronary	syndrome;	IVUS: intravascu	lar ultra	sound; NST	EMI: non-S	T-segment e	elevation m	yocardial in	nfarction; O	CT: optical	coherence t	tomography	/; SAP: stab	le angina pectoris;

#### **OVERLAP**

Overlapping scaffolds (Figure 1E) inherently create malapposed struts at the inner scaffold, which potentially cause ScT. In addition, the structural complexity of overhanging and stacked struts at overlapping segments could be a potential nidus of thrombus due to the blood flow disturbance and alteration of endothelial shear stress.

#### SUMMARY

ACS was the predominant presentation in patients in the publications with early ScT. Mechanical features of strut malapposition, incomplete lesion coverage, and scaffold underdeployment could cause disturbance of laminar blood flow and thus alteration of endothelial shear stress, which synergistically induce early ScT with the inflammatory microenvironment in ACS lesions as discussed below.

#### Late and very late scaffold thrombosis (Figure 2B) MALAPPOSITION

As observed in early ScT, strut malapposition was frequently observed in cases with late and very late ScT (Figure 1A). In addition to persistent incomplete strut apposition, recoil of scaffold, positive remodelling of the vessel (late acquired incomplete strut apposition), evagination, and late discontinuity<sup>35</sup> could result in malapposition in the late phase. Struts at the ostium of a side branch can also be recognised as malapposed struts in a mechanical perspective.

#### LATE DISCONTINUITY

So-called late discontinuity is the programmed phenomenon in the bioresorption process of the polymeric device (Figure 1F). The incidence of late discontinuity was reported to be 42% in the ABSORB cohort B trial<sup>35</sup>. Six months after device implantation, the polymeric scaffold starts losing its mechanical integrity which can lead to expected late discontinuity. Theoretically, this is a benign change during the bioresorption process and does not cause any problems if struts are well covered. However, in cases where struts are not covered by neointima and late discontinuity allows protrusion of part of the struts into the lumen and brings thrombogenic proteoglycan into contact with blood, late discontinuity could be a malignant potential cause of ScT. "Uncovered" late discontinuity could be critical, whereas late discontinuity itself would not be a culprit of ScT. Therefore, enhancement of neointimal coverage would be a key to prevent ScT associated with late discontinuity. Prevention of malapposition by either a BVS-specific implantation strategy (described later) or OCT-guided implantation, and new-generation BVS with thinner struts could contribute to early neointimal coverage and a consequent reduction of the incidence of late and very late ScT.

#### PERI-STRUT LOW-INTENSITY AREA

Peri-strut low-intensity areas were defined as homogenously appearing, non-signal-attenuating zones around struts of lower intensity than the surrounding tissue (Figure 1G). Although the causes and consequences of peri-strut low-intensity areas remain uncertain in BVS, a trial in 26 coronary swine segments treated with everolimus-eluting DES demonstrated a direct correlation between the degree of peri-strut low-intensity area and peri-strut inflammation at histology<sup>36</sup>. Otsuka et al compared the vascular responses to the implantation of BVS versus a metallic everolimus-eluting cobaltchromium stent in non-atherosclerotic swine<sup>37</sup>. Although there was no inflammation at one month for both devices, the inflammation scores were greater for the BVS at six to 36 months. Cuculi et al reported that the predominant OCT finding in late and very late ScT was peri-strut low-intensity area, which might be the correlate of vascular oedema, thus enhancing vascular vulnerability. However, the direct association of the peri-strut low-intensity area pattern with the occurrence of late and very late ScT is still unclear and needs to be evaluated in future studies.

#### UNDERDEPLOYMENT/ASYMMETRICAL APPOSITION/RECOIL RESTENOSIS

Lumen narrowing at the time of late and very late ScT was one of the predominant features of late and very late ScT. The mechanism of the restenosis cannot be precisely determined in the absence of serial intravascular imaging and visualisation of the external elastic membrane. Restenosis in the absence of significant neointimal proliferation can be explained by chronic recoil, plaque growth, or shrinkage of the external elastic membrane. Although neointimal hyperplasia was the cause of restenosis with metallic DES, restenosis in the absence of significant neointimal proliferation may occur late during the BVS bioresorption process. Careful examination with serial OCT assessments in future studies is warranted.

#### **UNCOVERED STRUTS**

A lack of strut coverage caused by delayed healing has been identified as a possible mechanism of late/very late ST after implantation of early-generation DES. It has also been observed that delayed neointimal coverage of BVS is a possible mechanical cause of late and very late ScT (**Figure 1H**). The one-year follow-up OCT study of BVS and EES demonstrated similar rates of uncovered struts (5.3% EES vs. 4.5% BVS; p=0.11)<sup>38</sup>. However, when the neointimal coverage of polymeric struts is delayed, the biodegraded products of polymeric struts can be an additional enhancer of ScT. The hydrolysis of a polymeric strut starts immediately after the device comes into contact with water. Afterwards, the polymer is progressively replaced by a malleable provisional matrix of proteoglycan which reportedly has a higher thrombogenicity than the polymeric material itself<sup>59</sup>. The exposed proteoglycan without neointimal coverage could be a hazardous cause of ScT.

#### OVERLAP

In the late phase, an overlapping scaffold could inherently create two potential mechanical causes, malapposition and incomplete coverage. Theoretically, the struts of the inner device at the overlap site cannot be apposed to the vessel wall. In a juvenile porcine model, overlapping BVS scaffolds showed more delay in tissue coverage than non-overlapping scaffolds. It is likely that the larger strut thickness of the stack-like BVS scaffolds (approximately 300  $\mu$ m) in overlapping segments led to a greater neointimal response compared with that in the non-overlapping segments. Delayed coverage of overlapped struts presumably results from that greater neointimal response which is long-lasting.

#### **NEOATHEROSCLEROSIS**

Neoatherosclerosis has been identified as a mechanism related to very late ST in intracoronary imaging cohort studies. Macrophage accumulations are considered the earliest manifestations of neoatherosclerosis. In some case reports, neoatherosclerosis was observed in the scaffolded vessels, but not as the direct cause of ScT (Figure 1I). No obvious correlation between neoatherosclerosis and thrombus formation was observed except for one case from Cuculi et al<sup>25</sup>. In that case, ruptured plaque was identified by OCT as a cause of ScT. Theoretically, the plaque sealing effect of BVS was expected to reduce neoatherosclerosis. Although it might be a rare event, neoatherosclerosis could be considered as a potential cause of ScT after BVS implantation.

#### SUMMARY

In line with the findings of early ScT, strut malapposition was the most frequently observed finding of late and very late ScT. In contrast to early ScT, strut malapposition was more frequently observed in patients with stable lesions than in those with ACS. In ACS lesions, peri-strut low-intensity area and late discontinuity are the predominant imaging findings (**Table 6**), indicating that the inflammatory microenvironment around the ACS lesions could play an important role in late and very late ScT. The imaging findings in the late phase, however, should be interpreted with caution due to the "snapshot" nature of the imaging assessment. All the potential mechanical causes of ScT in the late phase are putative in the absence of serial imaging assessments.

#### Pathophysiology of scaffold thrombosis

All the possible mechanical causes of ScT in the early (acute and subacute) and late (late and very late) phases can translate into three fundamental pathophysiological mechanisms: 1) the disturbance of laminar blood flow and thus the alteration of endothelial shear stress, 2) high thrombogenicity of the biodegradation products, and 3) an inflammatory milieu around the polymeric struts.

#### ALTERATION OF ENDOTHELIAL SHEAR STRESS

Malapposition, acute disruption, underdeployment, device-vessel mismatch, incomplete coverage of lesion (geographical miss), overlap, and late discontinuity all cause the disturbance of laminar blood flow. In a flow simulation of a microenvironment computed by OCT/angiography fusion in a human coronary artery, the relatively high endothelial shear stress on top of the strut and low endothelial shear stress measured behind and between the BVS struts were demonstrated<sup>40</sup>. The larger alteration of shear stress around the malapposed struts than around the apposed/embedded struts was also demonstrated by computational fluid dynamic simulation<sup>32</sup>. Low endothelial shear stress attenuates the endothelial expression of nitric oxide, prostacyclin I2, and tissue plasminogen activator, shifting towards a prothrombotic state. Additionally, low endothelial shear stress may promote ScT by inhibiting endothelial cell proliferation and retarding re-endothelialisation of the arterial and strut surface. Endothelial shear stress peaks over the strut surface edges and activates platelets that release thromboxane A2 and adenosine diphosphate, two potent mediators of platelet activation. Erythrocytes exposed to high endothelial shear stress also release adenosine diphosphate. Activated platelets enter flow separation zones downstream to the struts and reach high concentrations due to delayed flow in conjunction with low endothelial shear stress, resulting in triggering of the coagulation cascade. This shear stress disturbance could be a nidus for thrombus in the microenvironment around struts.

#### THROMBOGENICITY OF BIODEGRADATION PRODUCTS

In addition to flow disturbance, high thrombogenicity of biodegradation products may play a critical role in thrombus formation, especially in the late phase. The thrombogenicity of a malleable provisional matrix of proteoglycan during the biodegradation process of polymer is higher than that of the polymeric material itself<sup>39</sup>. Farb et al described 50 consecutive cases of sudden cardiac death attributable to coronary thrombosis, in which 22 had superficial erosion of a proteoglycan-smooth muscle cell-rich plaque without plaque rupture<sup>39</sup>. Heterogeneous endothelialisation of the scaffold struts or failure of degradation due to incomplete integration into the vascular wall could cause exposure of biodegradation products into the blood flow, resulting in a high risk of thrombus formation. Moreover, biodegradation products of polymer during the bioresorption process and minimal inflammatory milieu (discussed in the next paragraph) could synergistically induce ScT, especially in the late phase.

#### INFLAMMATORY MILIEU

Arterial thrombosis in atherosclerotic lesions is prone to occur in the presence of activated plaque-derived tissue factor, and has historically been proposed to be the predominant activator of coagulation cascade. An inherent role of tissue factor derived from blood-borne inflammatory cells in the context of arterial thrombosis and its specific contribution to enhanced coagulation has been shown in an experimental study on human leukocytes. Human blood-derived neutrophils and monocytes have been shown to be an important source of tissue factor to initiate coagulation pathways. In an *ex vivo* porcine arteriovenous shunt model, Koppara et al demonstrated increased adherence of acute inflammatory cells in thick-strut BVS as compared with thin-strut EES in the acute phase (<28 days)<sup>41</sup>.

In early ScT, strut malapposition combined with ACS is the most predominant feature of ScT, whereas in late and very late ScT peri-strut low-intensity area and late discontinuity rather than malapposition are more frequently observed in ACS patients. Although late discontinuity itself is a programmed benign phenomenon in the biodegradation process, the late discontinuity observed in ScT cases could translate into the intraluminal mass which hinders the laminar flow and results in the alteration of endothelial shear stress. Pathophysiologically, this is a condition similar to strut malapposition. The inflammatory milieu around the polymeric struts observed in the acute phase of ACS and during the biodegradation process after device implantation (six to 36 months) could induce a more thrombogenic status. The pathophysiological meaning of peri-strut low-intensity area still remains unclear and needs further investigation.

Inflammation associated with BVS might be related to polymer resorption<sup>42</sup>, which suggests that the minimal inflammation lasts until the complete bioresorption (<36 months). After complete bioresorption, the inflammatory response would theoretically disappear and the coronary vessel would return to its original status.

#### **Clinical implications**

A recently published study demonstrated that decreased left ventricular ejection fraction and ostial lesions were independent predictors of ScT in an all-comer multicentre European cohort  $(n=1,305)^{33}$ . Implantation of metallic stents in patients with decreased left ventricular function, treatment of ostial and/or type B2/C lesions, and interruption of DAPT have all been previously reported to be associated with ScT. Recent data on the bioresorbable scaffold were in line with the data on metallic stents. Of note, a BVS-specific implantation strategy significantly reduced the rate of ScT in the cohort. When a BVS-specific implantation strategy was implemented, 12-month ScT rates fell from 3.3% to 1.0%, an effect that remained significant when adjusted for multivariable propensity score (p=0.012; hazard ratio: 0.19; 95% confidence interval: 0.05 to 0.70). The BVS-specific implantation strategy can be summarised as follows<sup>33</sup>:

- 1. Predilatation with a non-compliant balloon up to the same size as the reference vessel diameter.
- BVS implantation only in case of full expansion of the noncompliant percutaneous transcatheter coronary angioplasty balloon as demonstrated by angiography in two orthogonal planes.
- 3. Implantation of a BVS of the same size as the reference vessel diameter at 10 to 12 atm.
- 4. Post-dilatation with non-compliant balloons up to a maximum of 0.5 mm larger at 14 to 16 atm.

The possible mechanical causes described in this article are theoretically treatable and avoidable by the BVS-specific implantation technique. Operators need to stay on top of the advantages and limitations of bioresorbable scaffolds, and to follow strictly the specific strategy recommended in the expert review<sup>33,43</sup>. In addition, we can expect new-generation BVS with thinner struts to lower the risks of scaffold thrombosis associated with a number of the mechanical causes described in the current article. Thinner struts could theoretically contribute to less flow disturbance and earlier neointimal coverage compared to the thick struts of the current BVS.

#### Limitations

This review article presents some limitations. We focused on coronary imaging insights from current publications, resulting in an unavoidable selection bias and publication bias. The true relative and absolute frequency of each of these potential mechanisms remains unknown. Although the present article reviews each interpretation of the mechanical cause in each report, some causes might have been affected or created by the procedure of thrombectomy, IVUS or OCT: malapposition, discontinuity, and lack of coverage of struts might have been iatrogenically created by the insertion of thrombectomy, IVUS or OCT catheters. The "snapshot" nature of the intracoronary imaging investigations precludes any dynamic interpretation of the ongoing mechanical cause of ScT. All the possible mechanical causes, especially in the late phase, are hypothetical in the absence of serial imaging assessments. Lastly, the current review only provides insights into the Absorb BVS from Abbott Vascular. The findings might be different with other bioresorbable scaffolds.

#### Conclusions

Malapposition, incomplete lesion coverage, and underdeployment are frequently observed in cases of early ScT, whereas, in late ScT, malapposition, late discontinuity and peri-strut low-intensity area are the predominant features of intracoronary imaging. The mechanical causes raised in this article, however, could theoretically be treatable and avoidable by using the BVS-specific implantation technique. New-generation BVS with thinner struts can also be expected to lower the risks of scaffold thrombosis associated with a number of the mechanical causes described in the current article. Future trials focusing on the imaging features are warranted.

#### **Guest Editor**

This paper was guest edited by Stephan Windecker, MD; Department of Cardiology, Bern University Hospital, Bern, Switzerland.

#### Impact on daily practice

The present systematic review of published case reports of bioresorbable scaffold thrombosis (ScT) with intracoronary imaging demonstrated that malapposition, incomplete lesion coverage, and underdeployment are frequently associated with early ScT, whereas, in late/very late ScT, malapposition, late discontinuity and peri-strut low-intensity area are the predominant features of intracoronary imaging. Early and late/very late ScT could have different mechanical causes. To minimise the potential risk of early and late/very late ScT, it is important that operators try to avoid such abnormalities at the time of implantation. The impact of a BVS-specific implantation technique on the incidence of ScT still needs to be investigated in future prospective trials.

#### Conflict of interest statement

Y. Sotomi received speaker honoraria from Abbott Vascular Japan and research grants from the Fukuda Memorial Foundation for Medical Research and the SUNRISE Lab. Y. Onuma and P.W. Serruys are members of the Advisory Board for Abbott Vascular. P. Suwannasom has no conflicts of interest to declare. The Guest Editor declares research grants to the institution from Abbott, Biotronik, Boston Scientific, Medtronic, Edwards and St. Jude.

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The references can be found in the online version of the paper.

The supplementary data are published online at: http://www.pcronline.com/ eurointervention/111th issue/285



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