

# Outcomes of stroke events during transcatheter aortic valve implantation

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## KEYWORDS

- clinical research
- stroke
- TAVI

## Abstract

**Background:** Despite improvements in the safety of transcatheter aortic valve implantation (TAVI), ~4% of patients experience a procedure-related stroke. Understanding long-term health and healthcare implications of these events may motivate the development and adoption of preventative strategies.

**Aims:** We aimed to assess the association of TAVI-related ischaemic stroke with subsequent clinical outcomes and healthcare utilisation.

**Methods:** We used Medicare fee-for-service claims to identify patients who underwent their first TAVI between January 2012 and December 2017. Previously used ICD-9-CM and ICD-10-CM codes were used to identify TAVI-related ischaemic stroke. Among those with and without TAVI-related ischaemic stroke, we compared the risk of a composite endpoint that included all-cause mortality, acute myocardial infarction, and subsequent stroke using inverse probability treatment weighted Cox regression. We also performed a difference-in-difference analysis to compare 1-year Medicare expenditures and days spent at home during the first year after TAVI.

**Results:** Among 129,628 primary TAVI patients, 5,549 (4.3%) had a procedure-related stroke. These patients were more likely to be female and have had prior stroke, peripheral vascular disease, ischaemic heart disease, or renal failure. After adjustment, TAVI-related ischaemic stroke was associated with a higher risk of the 1-year composite outcome (HR 1.67, 95% CI: 1.56-1.78), higher 1-year Medicare expenditures (difference \$9,245 [standard error 790],  $p < 0.001$ ), and fewer days at home during the first year (difference 16 days [standard error 1],  $p < 0.001$ ).

**Conclusions:** Among Medicare beneficiaries undergoing TAVI, procedure-related ischaemic stroke was associated with worse outcomes, increased Medicare expenditures, and less time spent at home. Procedure-related ischaemic stroke during TAVI remains a critically important and potentially preventable source of patient mortality, morbidity and healthcare utilisation.

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## Abbreviations

<b>AMI</b>	acute myocardial infarction
<b>CMS</b>	Centers for Medicare & Medicaid Services
<b>DAH</b> <sub>365</sub>	days at home at 1 year
<b>ED</b>	emergency department
<b>FDA</b>	U.S. Food and Drug Administration
<b>ICD-10-CM</b>	International Classification of Diseases, Tenth Revision, Clinical Modification
<b>ICD-10-PCS</b>	International Classification of Diseases, Tenth Revision, Procedure Coding System
<b>ICD-9-CM</b>	International Classification of Diseases, Ninth Revision, Clinical Modification
<b>LTAC</b>	long-term acute care facilities
<b>MedPAR</b>	Medicare Provider Analysis and Review
<b>SAVR</b>	surgical aortic valve replacement
<b>SNF</b>	skilled nursing facility
<b>TAVI</b>	transcatheter aortic valve implantation

## Introduction

Transcatheter aortic valve implantation (TAVI) has transformed the treatment of severe symptomatic aortic stenosis over the last decade, producing similar improvements in survival and quality of life when compared to traditional surgical aortic valve replacement (SAVR)<sup>1</sup>. This has spurred widespread adoption of TAVI, and in 2019 the annual number of TAVI procedures surpassed that of SAVR<sup>2</sup>. Despite improvements in TAVI technology and increasing operator proficiency, the risk of clinically-significant stroke after TAVI has remained unchanged at ~4% since 2012<sup>2</sup>. Current strategies to improve the neurological safety of TAVI procedures, such as cerebral embolic protection devices, have been approved by the U.S. Food and Drug Administration (FDA) after a clinical trial showed a trend towards lower brain lesion volume on magnetic resonance scans, although large randomised trials supporting the clinical efficacy and cost-effectiveness of these devices have not yet been completed<sup>3,4</sup>. Prior studies have indicated that strokes are associated with increased short-term mortality and cost burden<sup>5,6</sup>. However, these studies were limited by their short follow-up, exclusive use of clinical trial data (which limits generalisability), and focus on only in-hospital costs<sup>7</sup>. Understanding the long-term consequences of TAVI-related ischaemic stroke may help spur the development, evaluation, and adoption of effective strategies to improve the neurological safety of TAVI procedures.

We aimed to assess the association of procedure-related ischaemic stroke with subsequent clinical outcomes and healthcare utilisation among elderly patients undergoing TAVI. In particular, our goal was to quantify the impact of TAVI-related ischaemic stroke on both patient-oriented endpoints and healthcare expenditures, which could help guide shared decision-making and inform the evaluation of strategies designed to reduce procedural stroke.

## Methods

### DATA SOURCES

This analysis was conducted using Medicare Provider Analysis and Review (MedPAR) files and institutional outpatient claims from the Centers for Medicare & Medicaid Services (CMS). The MedPAR database includes information on 100% of claims from inpatient (part A) discharges, skilled nursing facilities (SNFs), inpatient rehabilitation, and long-term acute care facilities (LTAC) for Medicare fee-for-service beneficiaries. The Medicare Institutional Outpatient Database includes information on 100% of claims from outpatient facility charges for Medicare fee-for-service beneficiaries including outpatient expenditures and observation unit or emergency department (ED) visits.

### STUDY POPULATION

All Medicare fee-for-service beneficiaries who underwent an initial TAVI procedure between January 2012 and December 2017 were identified for inclusion using previously validated International Classification of Diseases, Ninth Revision, Clinical Modification (ICD-9-CM) and International Classification of Diseases, Tenth Revision, Procedure Coding System (ICD-10-PCS) codes for the TAVI procedure (**Supplementary Table 1**)<sup>8</sup>. For patients with repeated TAVIs within the study period, only the first procedure was selected. Patients were excluded if they had a prior SAVR. TAVI-related stroke was defined as an ischaemic stroke occurring during the index hospitalisation for the TAVI procedure. These were identified using previously used ICD-9-CM and International Classification of Diseases, Tenth Revision, Clinical Modification (ICD-10-CM) codes for ischaemic stroke (**Supplementary Table 1**)<sup>9,10</sup>. We performed a sensitivity analysis using a validated high specificity, but lower sensitivity, ICD-9-CM and ICD-10-CM algorithm to identify ischaemic stroke<sup>11</sup>. Prior studies evaluating the timing of these events have shown that the highest risk of TAVI-related stroke occurred at the time of the TAVI procedure<sup>6</sup>. The study was approved by the institutional review board of Beth Israel Deaconess Medical Center, with a waiver of informed consent.

### PATIENT AND PUBLIC INVOLVEMENT STATEMENT

This study utilises an administrative database and no patients were involved in setting the research question or the outcome measures, nor were they involved in the design and implementation of the study. The findings will be disseminated to the public through our research centre social media platforms.

### OUTCOMES

The primary outcome of the study was a composite outcome of the first occurrence of any of the following: all-cause mortality, acute myocardial infarction (AMI, defined using codes in **Supplementary Table 1**), or subsequent stroke<sup>11-13</sup>. All-cause mortality and AMI were ascertained starting with the index TAVI procedure. Subsequent stroke was defined as an ischaemic stroke occurring after the index hospitalisation. This is because recurrent stroke during the index hospitalisation cannot be differentiated from

periprocedural TAVI-related stroke, as these are identified on discharge codes. In addition, the majority of TAVI procedures occurred on day 1 of the hospitalisation; therefore any stroke during the hospitalisation would have occurred after TAVI. For secondary outcomes, we investigated each component of the primary composite outcome individually and 1-year all-cause readmission, defined as any readmission in the year following the index TAVI admission. Deaths were ascertained using vital status information in the Master Beneficiary Summary Files, which was complete for all individuals.

We also investigated health care utilisation using two metrics. First, we evaluated CMS expenditures in dollars by determining the annual Medicare reimbursement, adjusting for inflation using the consumer price index (CPI), with 2018 as the index year<sup>14</sup>. CMS expenditures included payments for hospital admissions, SNFs, inpatient rehabilitation facilities, LTACs, and outpatient healthcare facilities. Second, we evaluated days at home at 1 year (DAH<sub>365</sub>). DAH<sub>365</sub> is an emerging patient-centred outcome that quantifies the time a patient spends alive and outside of a healthcare facility. Variations of this metric have been studied for several cardiovascular diseases<sup>15-17</sup>. Lower DAH<sub>365</sub> indicates higher healthcare utilisation and highly correlates with mortality and functional outcomes after stroke<sup>16,18</sup>. DAH<sub>365</sub> was defined as days spent at home, alive, and not in a health care facility, starting with the admission date of the index hospitalisation. We used a comprehensive definition that included inpatient hospitalisations (including the index hospitalisation), inpatient rehabilitation facilities, SNFs, LTACs, ED visits, and observation unit stays. Each ED visit or observation unit stay was counted as 1 day. ED visits or observation unit stays overlapping with a readmission were counted as inpatient days. To calculate time alive, the number of mortality days, defined as the number of days remaining in the year following date of death, was subtracted from DAH<sub>365</sub>. Thus, DAH<sub>365</sub> for each patient was calculated as follows: DAH<sub>365</sub>=365-(mortality days+inpatient days+inpatient rehabilitation days+SNF days+LTAC days+ED days+observation days)<sup>19</sup>. All outcomes were analysed up to December 2018.

## STATISTICAL ANALYSIS

Baseline characteristics of patients with TAVI-related ischaemic stroke were compared with those without TAVI-related ischaemic stroke using Fisher's exact test and t-tests. Kaplan-Meier techniques were used to plot the survival curve of all-cause mortality among patients who underwent TAVI with TAVI-related ischaemic stroke, compared to those without stroke.

## COVARIATES

Patient characteristics included demographics (age, sex, race/ethnicity), dual Medicare-Medicaid enrolment (an indicator of socioeconomic status), and clinical comorbidities. We derived a patient's clinical comorbidities from two sources: 1) Medicare inpatient data based on the Elixhauser comorbidity algorithm to identify comorbidities during the year prior to hospitalisation, and 2) the Chronic Condition Data Warehouse, which uses a combination of inpatient and outpatient claims to define 67 common chronic conditions<sup>20,21</sup>. Hospital characteristics were identified

using the 2018 American Hospital Association survey data, which include hospital teaching status, hospital region, rural/urban status, and bed capacity. Procedural characteristics were evaluated using the MedPAR database and include admission day for TAVI, TAVI access approach, and admission type (emergent, elective). Emergent was defined as an admission that was coded as emergency or urgent in the MedPAR database.

To account for baseline differences between those with TAVI-related ischaemic stroke and those without, we used inverse probability treatment weighting (IPTW) to examine the association between TAVI-related ischaemic stroke and outcomes<sup>22</sup>. This was performed by calculating propensity scores for each patient using a logistic regression model that included all available covariates to predict the probability of each patient having a TAVI-related ischaemic stroke event. Propensity scores were converted to probability weights that were used to reduce the imbalance in covariates between those with TAVI-related ischaemic stroke and those without. The imbalance of covariates was assessed before and after IPTW adjustment using standardised differences (**Supplementary Table 2**)<sup>23</sup>.

## CLINICAL OUTCOMES OF TAVI-RELATED ISCHAEMIC STROKE

In the IPTW-adjusted population, Cox proportional hazards regression was used to compare the risk of the primary composite outcome among those with and without TAVI-related ischaemic stroke. Similar multivariable models, using all listed covariates and year of procedure, were used to compare the risk of the primary outcome and of each individual component of the primary outcome, among those with and without TAVI-related ischaemic stroke. To account for the competing risk of death when assessing the individual components of the primary composite outcome, we performed a competing risk analysis, using the method described by Fine and Gray, to estimate the cause-specific hazard ratio for AMI and subsequent stroke<sup>24</sup>.

## HEALTHCARE UTILISATION OUTCOMES OF TAVI-RELATED ISCHAEMIC STROKE

To evaluate the association between TAVI-related ischaemic stroke and subsequent health care utilisation, we fitted a weighted mixed-effects model using the IPTW approach described above to compare CMS expenditures between those with TAVI-related ischaemic stroke and those without. We also compared the difference in DAH<sub>365</sub> between both groups. We then performed a sensitivity analysis, evaluating these relationships using a difference-in-difference analysis in the IPTW-adjusted population. This method controls for time-varying confounders by measuring the outcome of interest before and after the TAVI-related ischaemic stroke<sup>25</sup>. Since the exact date of TAVI-related ischaemic stroke cannot be determined during the index hospitalisation in Medicare claims, the date of TAVI was used as "time zero" for both comparator groups. The difference in the outcome was then measured between those with a TAVI-related ischaemic stroke and those without, prior to the date of TAVI, and also after TAVI. These two differences were then subtracted to estimate the difference-in-difference. In the evaluation of CMS expenditures, we also used the

method described by Bang and Tsiatis to account for the competing risk of death<sup>26</sup>.

A 2-tailed p-value <0.05 was considered statistically significant and 95% confidence intervals (CI) were calculated for all estimates. All analyses were performed using SAS version 9.4 (SAS Institute).

## Results

### BASELINE CHARACTERISTICS

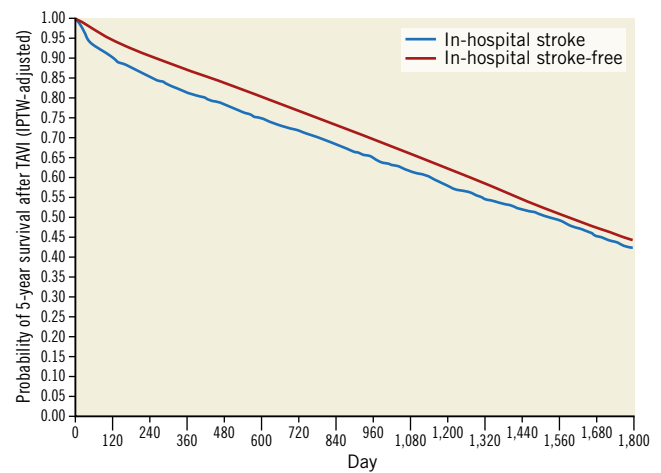
We included 129,628 Medicare fee-for-service beneficiaries who underwent their first TAVI between January 2012 and December 2017 in the analysis, of whom 5,549 (4.3%) had a TAVI-related ischaemic stroke and 124,079 (95.7%) who did not. Patients with a TAVI-related ischaemic stroke were more likely to be female, have ischaemic heart disease, prior ischaemic stroke, congestive heart failure, peripheral vascular disease, hypertension, paralysis and other neurological diseases, chronic pulmonary disease, anaemia, or renal failure (**Table 1**). Patients with a TAVI-related ischaemic stroke were more likely to have been admitted emergently for their index TAVI procedure, and were more likely to be discharged to an SNF (25% versus 14.1%;  $p<0.001$ ) or an inpatient rehabilitation facility (16.6% versus 3.4%;  $p<0.001$ ). Patients without a TAVI-related ischaemic stroke were more likely to have atrial fibrillation and obesity.

### CLINICAL OUTCOMES

Patients with a TAVI-related ischaemic stroke had a higher risk of the primary composite outcome at 1 year (IPTW-adjusted hazard ratio [aHR] 1.67, 95% CI: 1.56-1.78). This was largely driven by an increased 1-year risk of subsequent stroke (aHR 1.99, 95% CI: 1.69-2.34) followed by AMI (aHR 1.66, 95% CI: 1.35-2.06) and all-cause mortality (aHR 1.52, 95% CI: 1.43-1.65) (**Table 2**). In addition, the associated risk of death was highest at 30 days post-procedure (aHR 3.17, 95% CI: 2.87-3.49). Among those with 5 years of follow-up (TAVI in years 2012-2013), the risk of death persisted (aHR 1.18, 95% CI: 1.10-1.20) (**Table 2, Figure 1**). Finally, TAVI-related ischaemic stroke was associated with a higher risk of all-cause readmission at 1 year (aHR 1.15, 95% CI: 1.10-1.20). The sensitivity analysis using a validated high specificity ICD algorithm for defining stroke related to TAVI showed similar results (**Supplementary Table 3, Supplementary Figure 1**).

### HEALTHCARE UTILISATION

After IPTW adjustment, patients with a TAVI-related ischaemic stroke had higher mean 1-year CMS expenditures compared to patients without (\$79,373 vs \$70,411,  $p<0.001$ ). This was driven predominantly by the index hospitalisation followed by rehospitalisations, SNF, outpatient healthcare, LTAC, and inpatient rehabilitation facilities (**Table 3**). Though individuals with a TAVI-related ischaemic stroke had \$283 lower CMS expenditures within the year prior to TAVI, they had \$8,962 higher CMS expenditure in the year after ( $p<0.001$  for both comparisons). This resulted in a total difference of \$9,245 in CMS expenditures (standard error [SE] 790,  $p<0.001$ ) (**Table 4**). The additional analysis



**Figure 1.** Kaplan-Meier curve showing 5-year mortality in an IPTW-adjusted population. Cumulative survival in patients undergoing TAVI stratified by TAVI-related ischaemic stroke status for entire follow-up period. IPTW: inverse probability treatment weighting; TAVI: transcatheter aortic valve implantation

accounting for the competing risk of death resulted in similar findings (**Supplementary Table 4**).

After IPTW adjustment, patients with a TAVI-related ischaemic stroke had fewer mean days at home within the first year (DAH<sub>365</sub> 309 days versus 324 days,  $p<0.001$ ). In addition to days lost due to death, the largest facility day contributions were due to additional days spent during index hospitalisation (8 days vs 5 days), SNF (8 days vs 6 days), and readmissions (6 days vs 5 days) (**Table 3**). Though individuals with a TAVI-related ischaemic stroke had a slightly higher DAH<sub>365</sub> in the year prior to TAVI (1 day, SE 1,  $p<0.001$ ), they had a lower DAH<sub>365</sub> in the year after (-15 days, SE 1,  $p<0.001$ ). This resulted in a total difference of 16 days (SE 1,  $p<0.001$ ) (**Table 5**).

## Discussion

In this large, nationally representative cohort of patients undergoing TAVI, we found that TAVI-related ischaemic stroke occurred in 4.3% of initial TAVI procedures, and was associated with a higher risk of the composite outcome of all-cause mortality, AMI, and subsequent stroke in the year following the index procedure (**Central illustration**). Specifically, TAVI-related ischaemic stroke was associated with a higher risk of all-cause mortality that was highest in the first 30 days and persisted for the duration of follow-up. Patients who experienced a TAVI-related ischaemic stroke had higher CMS expenditures (\$9,245) and significantly fewer days at home (16 days) in the first year after the index procedure. Overall, these findings help to quantify the significant health, quality of life and economic consequences that result from ischaemic stroke during TAVI, and highlight the strong need to improve our ability to prevent it.

The finding of worse clinical outcomes among individuals with TAVI-related ischaemic stroke is also consistent with prior

**Table 1. Baseline characteristics of participants who underwent their first TAVI stratified by TAVI-related ischaemic stroke event status.**

		Overall N=129,628	TAVI with no ischaemic stroke N=124,079	TAVI with ischaemic stroke N=5,549	p-value
Age, years (SD)		82.6 (6.9)	82.6 (6.9)	83.4 (6.6)	<0.001
Female (%)		47.3	47.2	51.0	<0.001
Race	White (%)	94.0	94.0	94.3	0.355
	Black (%)	2.8	2.8	2.8	
	Other (%)	3.2	3.2	2.9	
Socioeconomic status - dual eligible (%)		8.5	8.4	9.1	0.080
History of stroke/TIA (%)		6.2	6.1	7.8	<0.001
History of AMI (%)		3.0	3.0	3.5	0.024
History of CHF (%)		48.2	48.1	50.4	<0.001
Ischaemic heart disease (%)		66.4	66.2	71.7	<0.001
Valvular heart disease (%)		22.9	22.9	22.1	0.170
Peripheral vascular disease (%)		30.8	30.1	45.8	<0.001
Hyperlipidaemia (%)		76.4	76.3	77.4	0.066
Hypertension (%)		91.9	91.8	94.3	<0.001
Atrial fibrillation (%)		26.5	26.6	24.2	<0.001
Diabetes (%)		45.1	45.0	46.0	0.172
Anaemia (%)		53.4	53.2	56.9	<0.001
Coagulopathy (%)		18.6	18.3	25.8	<0.001
Obesity (%)		20.9	21.1	17.2	<0.001
Weight loss (%)		6.1	6.0	9.9	<0.001
Hypothyroidism (%)		21.6	21.7	20.5	0.033
Chronic pulmonary disease (%)		34.1	33.9	39.5	<0.001
Asthma (%)		7.6	7.6	8.1	0.141
COPD (%)		21.2	21.0	23.5	<0.001
Chronic kidney disease (%)		36.6	36.6	36.4	0.743
Renal failure (%)		36.8	36.6	41.3	<0.001
Liver disease (%)		2.9	3.0	2.0	<0.001
Glaucoma (%)		12.9	12.9	13.1	0.713
Cataract (%)		21.2	21.2	21.3	0.775
Depression (%)		19.4	19.5	17.9	0.004
Osteoporosis (%)		8.7	8.7	9.3	0.098
Hip fracture (%)		1.0	1.0	1.1	0.733
Rheumatoid arthritis and osteoarthritis (%)		43.1	43.2	41.1	0.002
Breast cancer (%)		4.2	4.2	4.1	0.973
Colorectal cancer (%)		2.1	2.1	2.1	0.923
Prostate cancer (%)		6.2	6.2	5.3	0.005
Lung cancer (%)		1.4	1.4	1.8	0.028
Endometrial cancer (%)		0.4	0.4	0.3	0.240
Lymphoma (%)		1.5	1.5	1.4	0.736
Prostatic hyperplasia (%)		12.8	12.9	10.5	<0.001
Paralysis (%)		3.0	2.3	19.9	<0.001
Other neurological conditions (%)		9.6	9.1	21.0	<0.001
Alzheimer disease and related dementias (%)		9.8	9.8	10.1	0.4887
Psychosis (%)		1.4	1.4	2.1	<0.001
Rural hospital (%)		1.5	1.5	1.2	0.071
Admission type	Elective (%)	81.7	81.8	78.9	<0.001
	Emergent (%)	18.3	18.2	21.1	

**Table 1. Baseline characteristics of participants who underwent their first TAVI stratified by TAVI-related ischaemic stroke event status. (cont'd)**

		Overall N=129,628	TAVI with no ischaemic stroke N=124,079	TAVI with ischaemic stroke N=5,549	p-value
Procedure day	Day 1 of admission (%)	69.3	69.8	59.7	<0.001
	Day 2 of admission (%)	16.9	16.6	22.7	
	Day 3+ of admission (%)	13.8	13.6	17.6	
Transfemoral access (%)		94.2	96.1	85.6	<0.001
Discharge disposition	Home without homecare (%)	53.1	54.5	22.7	<0.001
	Home with homecare (%)	24.7	24.7	23.8	
	Hospice (%)	0.3	0.2	1.8	
	SNF (%)	14.6	14.1	25.0	
	LTAC (%)	0.6	0.6	2.2	
	Rehabilitation (%)	3.9	3.4	16.6	
	Transfer to other hospital (%)	0.3	0.3	0.7	
Index hospitalisation length of stay, days (SD)		5 (5.9)	5 (5.7)	9 (7.8)	<0.001
Mortality	In-hospital (%)	2.1	1.9	6.8	<0.001
	30 days from TAVI (%)	3.2	2.9	9.8	<0.001
	90 days from TAVI (%)	6.2	5.8	15.9	<0.001
	1 year from TAVI (%)	11.3	11.0	18.5	<0.001

AMI: acute myocardial infarction; CHF: congestive heart failure; COPD: chronic obstructive pulmonary disease; LTAC: long-term acute care facility; SD: standard deviation; SNF: skilled nursing facility; TAVI: transcatheter aortic valve implantation; TIA: transient ischaemic attack,

studies on this subject. In a secondary analysis from the PARTNER (Placement of AoRTic TraNscathetER Valves) trials, TAVI-related stroke was associated with lower 1-year survival in patients undergoing TAVI (47% survival rate for transfemoral TAVI patients with

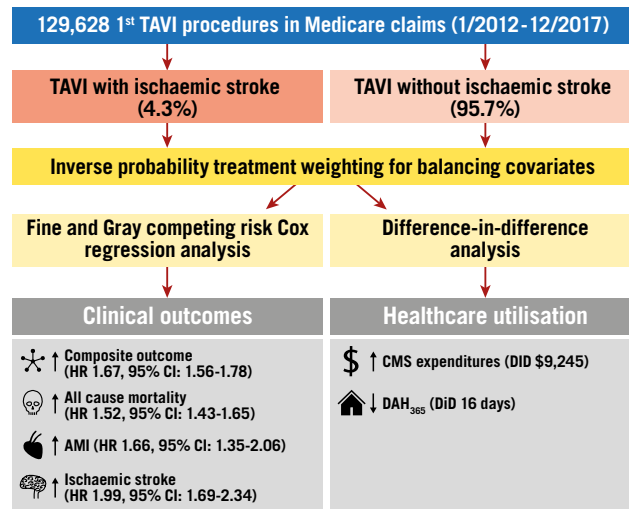
stroke versus 82% for transfemoral TAVI patients without stroke), and a decline in quality of life at 1 year<sup>6,27</sup>. A recent study from the Society of Thoracic Surgeons/American College of Cardiology Transcatheter Valve Therapy (STS/ACC TAVI) Registry evaluated

**Table 2. Hazard of all-cause mortality, ischaemic stroke, and acute myocardial infarction following TAVI-related ischaemic stroke event.**

Outcome	Group	Median time to event in days (IQR)	Unadjusted HR TAVI with ischaemic stroke versus without (95% CI)	IPTW-adjusted HR (95% CI)*
1-year composite outcome**	TAVI with no ischaemic stroke	136 (62-238)	1.81 (1.71-1.92)	1.67 (1.56-1.78)
	TAVI with ischaemic stroke	98 (41-201)		
1-year AMI	TAVI with no ischaemic stroke	131 (53-238)	1.56 (1.26-1.92)	1.56 (1.26-1.93)
	TAVI with ischaemic stroke	126 (67-227)		
1-year mortality	TAVI with no ischaemic stroke	140 (65-241)	1.76 (1.65-1.88)	1.52 (1.42-1.64)
	TAVI with ischaemic stroke	99 (40-203)		
1-year subsequent stroke	TAVI with no ischaemic stroke	145 (62-245)	2.28 (1.94-2.69)	1.99 (1.69-2.34)
	TAVI with ischaemic stroke	99 (58-196)		
1-year all-cause readmission	TAVI with no ischaemic stroke	66 (17-168)	1.21 (1.16-1.26)	1.15 (1.10-1.20)
	TAVI with ischaemic stroke	55 (15-148)		
30-day mortality	TAVI with no ischaemic stroke	12 (4-21)	3.54 (3.23-3.88)	3.17 (2.87-3.49)
	TAVI with ischaemic stroke	11 (6-18)		
90-day mortality	TAVI with no ischaemic stroke	31 (12-57)	2.95 (2.75-3.16)	2.47 (2.28-2.67)
	TAVI with ischaemic stroke	21 (9-43)		
5-year mortality	TAVI with no ischaemic stroke	445 (165-853)	1.28 (1.23-1.33)	1.18 (1.10-1.20)
	TAVI with ischaemic stroke	495 (129-1,008)		

\*Adjusted for age, sex, race, comorbidities in Table 1, insurance status, hospital location, admission type, and year of admission. \*\*1-year composite outcome includes AMI, all-cause mortality, and subsequent stroke. AMI: acute myocardial infarction; CI: confidence interval; HR: hazard ratio; IPTW: inverse probability treatment weighting; IQR: interquartile range; TAVI: transcatheter aortic valve implantation

**CENTRAL ILLUSTRATION** Clinical and healthcare utilisation outcomes of TAVI-related ischaemic stroke events in a Medicare claims population.



Study population, design, and key outcomes. AMI: acute myocardial infarction; CI: confidence interval; CMS: Centers for Medicare and Medicaid Services; DAH<sub>365</sub>: days at home in 1 year; DiD: difference-in-difference; HR: hazard ratio; TAVI: transcatheter aortic valve implantation

the short-term outcomes among 101,430 patients who underwent TAVI between November 2011 and May 2017, and showed that TAVI-related stroke was associated with a higher risk of 30-day

mortality<sup>5</sup>. Our work builds on these prior investigations by providing more long-term follow-up and highlights the additional risks of AMI and subsequent stroke.

**Table 3. Healthcare utilisation outcomes in an IPTW-adjusted population.**

	TAVI with no ischaemic stroke	TAVI with ischaemic stroke	p-value
N	124,079	5,549	
1-year CMS expenditure	\$70,411 (613)	\$79,373 (878)	<0.001
Index hospitalisation	\$50,315 (477)	\$56,231 (570)	<0.001
SNF	\$3,174 (54)	\$4,259 (159)	<0.001
Rehab	\$895 (35)	\$1,214 (88)	<0.001
LTAC	\$1,081 (43)	\$1,437 (118)	0.002
Inpatient	\$10,957 (141)	\$12,511 (376)	<0.001
Outpatient	\$4,219 (56)	\$4,106 (151)	0.440
DAH <sub>365</sub>	324 (0)	309 (1)	<0.001
Index hospitalisation days	5 (0)	8 (0)	<0.001
SNF days	6 (0)	8 (0)	<0.001
Rehab days	1 (0)	1 (0)	<0.001
LTAC days	1 (0)	1 (0)	0.003
Readmission days	5 (0)	6 (0)	<0.001
ED/Obs visit days	1 (0)	1 (0)	0.421

Results are shown as mean values with standard errors. CMS: Centers for Medicare and Medicaid Services; DAH<sub>365</sub>: days at home at 1 year; ED: emergency department; IPTW: inverse probability treatment weighting; LTAC: long-term acute care; Obs: observation unit; SNF: skilled nursing facility; Rehab: inpatient rehabilitation facility

The finding of increased healthcare utilisation following TAVI-related ischaemic stroke adds to prior studies that were limited in scope. In a study from the National Readmission Database of ~30,000 patients who underwent TAVI between January 2013 and December 2014, the authors evaluated 30-day outcomes of TAVI-related stroke. Similar to our findings, these events were associated with higher cost, increased length of stay, and higher incidence of non-home discharges<sup>28</sup>. In another study from the PARTNER 1 trial, TAVI-related stroke was also associated with increased cost (\$15,231) and length of stay (2.5 days), after adjusting for patient characteristics<sup>29</sup>. However, compared to our study, these studies were limited to early TAVI experiences, had limited follow-up, and did not include non-hospital costs. In a study from the STS/ACC TVT Registry, Vemulapalli et al evaluated healthcare utilisation associated with TAVI using a before and after design (1 year before and 1 year after)<sup>30</sup>. The authors noted increased healthcare utilisation following TAVI, as evident by an increase in all-cause hospitalisation. In addition, the mean 1-year CMS expenditures post-TAVI were \$75,585±\$41,135. This was \$7,412 lower than our findings for TAVI-related stroke and \$1,084 higher than our findings for TAVI with no stroke. In addition, our CMS expenditure estimates included a broader range of facilities as they did not include expenditures related to inpatient rehabilitation or outpatient facilities.

Although DAH<sub>365</sub> has been used to examine outcomes after ischaemic stroke, to our knowledge this is the first application of this novel patient-oriented metric to TAVI-related stroke. In

**Table 4. Difference-in-difference analysis of CMS expenditure following TAVI related ischaemic stroke event.**

Outcomes	Group	Unadjusted			IPTW-adjusted		
		1 year prior to TAVI	1 year after TAVI	DiD (SE)	1 year prior to TAVI	1 year after TAVI	DiD (SE)
Mean CMS expenditure , \$ (SE)	TAVI with ischaemic stroke	15,901 (599)	79,585 (735)		16,485 (660)	82,997 (568)	
Mean CMS expenditure , \$ (SE)	TAVI with no ischaemic stroke	16,630 (378)	69,851 (626)		16,768 (380)	74,501 (113)	
Difference (SE)		-729 (490)	+9,734 (403)	-10,463 (689)	-283 (560)	+8,962 (651)	-9,245 (790)
p-value		<0.001	<0.001	<0.001	<0.001	<0.001	<0.001

CMS: Centers for Medicare and Medicaid Services; DiD: difference-in-difference; IPTW: inverse probability treatment weighting; SE: standard error; TAVI: transcatheter aortic valve implantation

**Table 5. Difference-in-difference analysis of days at home in 1-year following TAVI-related ischaemic stroke event.**

Outcomes	Group	Unadjusted			IPTW adjusted		
		1-year prior to TAVI	1-year after TAVI	DiD (SE)	1-year prior to TAVI	1-year after TAVI	DiD (SE)
Mean DAH <sub>365</sub> (SE)	TAVI with ischaemic stroke	356 (1)	302 (1)		356 (1.0)	309 (1)	
Mean DAH <sub>365</sub> (SE)	TAVI with no ischaemic stroke	355 (0)	325 (0)		355 (0)	324 (0)	
Difference (SE)		1 (1)	-23 (1)	24 (2)	1 (1)	-15 (1)	16 (1)
p-value		<0.001	<0.001	<0.001	<0.001	<0.001	<0.001

DAH<sub>365</sub>: days at home at 1 year; DiD: difference-in-difference; IPTW: inverse probability treatment weighting; SE: standard error; TAVI: transcatheter aortic valve implantation

a study from the Get With The Guidelines-Stroke (GWTG-Stroke) and Adherence eValuation After Ischemic Stroke-Longitudinal (AVAIL) Registries, 815 patients with ischaemic stroke were noted to have 349 (interquartile range [IQR] 303-360) median days at home when counting inpatient, SNF, and rehabilitation days only<sup>16</sup>. Days at home in the medical stroke population were higher than what we observed in patients undergoing TAVI (349 days vs 324 days). This is likely due to our inclusion of a more comprehensive definition of DAH<sub>365</sub>, as their definition excluded home days lost due to index hospitalisation, index hospital death, LTAC, ED visits, and observation unit stays. It is also unclear how the severity of stroke differs between the two populations. Overall, these findings of higher healthcare utilisation highlight the toll of TAVI-related stroke on patients and the healthcare system.

There are several strengths to this study. First, it includes a large national cohort of patients undergoing their first TAVI procedure in the United States. Second, it is the first study providing long-term follow-up on TAVI-related ischaemic stroke. Third, the quasi-experimental design used in this analysis reduces the risk of unmeasured confounders and helps make causal inferences. Finally, the study uses an important patient-centred outcome in using DAH<sub>365</sub>, which has a more comprehensive definition than those used in prior studies.

## Limitations

The study also has several limitations. First, despite the use of contemporary quasi-experimental study design, the study is observational

and carries the risk of residual confounding. We attempted to address these concerns by using both propensity score-based inverse weighting, using a large number of clinical covariates, and difference-in-difference sensitivity analyses, which may be more resilient to unmeasured confounding. Second, the study only included Medicare beneficiaries, limiting the generalisability to younger patients. Third, we did not include patients enrolled in Medicare Advantage plans, as we are unable to accurately capture the outcomes of interest in this population. These patients represent 26-34% of all Medicare enrollees during the time of the study<sup>31</sup>. Fourth, given the expanding indications for TAVI in low-risk patients, ischaemic stroke rates might have decreased in recent times and therefore this analysis only reflects the experience of TAVI during the years included in the study. Fifth, Medicare expenditures used in this study may not reflect true comprehensive costs as this study mainly focuses on inpatient and outpatient facility-related costs and does not include indirect health care costs (e.g., out-of-pocket costs, productivity loss). Finally, our study defined TAVI-related ischaemic strokes as those occurring in the index hospitalisation, compared with the 30-day eligibility defined in the recent Valve Academic Research Consortium 3 report, in an attempt to limit the risk of immortal time bias<sup>32</sup>. However, prior studies have shown that the highest risk of TAVI-related ischaemic stroke is at the time of the procedure<sup>6</sup>.

## Conclusions

In conclusion, among Medicare beneficiaries, TAVI-related ischaemic stroke was associated with significant increases in subsequent



major cardiovascular events, a decline in days spent at home, and an increase in healthcare utilisation in the year following the index procedure. These findings clarify the health and economic consequences of TAVI-related ischaemic stroke, and inform the evaluation of new strategies and devices intended to prevent them.

### Impact on daily practice

Among Medicare beneficiaries undergoing TAVI, TAVI-related ischaemic stroke occurred in 4.3% and was associated with a higher risk of death, acute myocardial infarction, and subsequent stroke. In addition, TAVI-related ischaemic stroke was associated with higher healthcare utilisation, with higher 1-year Medicare expenditures and fewer days at home at 1 year. These findings highlight TAVI-related ischaemic stroke as a critically important and potentially preventable source of patient morbidity. In addition, it informs clinicians, patients, and health systems on the health and economic consequences of TAVI-related ischaemic stroke that will guide the evaluation of new strategies and devices intended to prevent them.

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of the SCAI Women in Innovations Committee. The other authors have no conflicts of interest to declare.

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## Supplementary data

**Supplementary Table 1.** International Classification of Diseases, Ninth Revision, Clinical Modification (ICD-9-CM) and International Classification of Diseases, Tenth Revision, Procedure Coding System (ICD-10-PCS) and Clinical Modification (ICD-10-CM) codes used in the study.

**Supplementary Table 2.** Baseline characteristics of participants who underwent their first TAVI stratified by TAVI-related ischaemic stroke event status, pre- and post-weighting.

**Supplementary Table 3.** Hazard of all-cause mortality, ischaemic stroke, and acute myocardial infarction following TAVI-related stroke event using a validated high specificity ICD coding algorithm for identifying ischaemic stroke.

**Supplementary Table 4.** Difference-in-difference analysis of CMS expenditures following TAVI-related ischaemic stroke event accounting for competing risk of death in an unadjusted cohort.

**Supplementary Figure 1.** Kaplan-Meier curve showing 5-year mortality in an IPTW-adjusted population using a validated high specificity ICD coding algorithm for identifying ischaemic stroke.

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## Supplementary data

**Supplementary Table 1. International Classification of Diseases, Ninth Revision, Clinical Modification (ICD-9-CM) and International Classification of Diseases, Tenth Revision, Procedure Coding System (ICD-10-PCS) and Clinical Modification (ICD-10-CM) codes used in the study.**

	<b>ICD-9-CM</b>	<b>ICD-10-PCS or ICD-10-CM</b>
<b>Transcatheter aortic valve implantation (TAVI)</b>	35.05 or 35.06	02RF38H, 02RF38Z, 02RF3JH, 02RF3KH, 02RF37H, 02RF37Z, 02RF3JZ, or 02RF3KZ
<b>Ischaemic stroke</b>	433.xx, 434.xx, 436.xx, 99702  High specificity coding algorithm: 434.11, 434.91, 433.11, 997.02	I63.X  High specificity coding algorithm: I63.9, I63.40, I63.49
<b>Acute myocardial infarction</b>	410.xx (except 410.x2)	I2101, I2102, I2109, I2111, I2119, I2121, I2129, I213, and I214

**Supplementary Table 2. Baseline characteristics of participants who underwent their first TAVI stratified by TAVI-related ischaemic stroke event status, pre- and post-weighting.**

	Pre-weighting				Post-weighting			
	Overall	TAVI with no ischaemic stroke	TAVI with ischaemic stroke	Standardised differences	Overall	TAVI with no ischaemic stroke	TAVI with ischaemic stroke	Standardised differences
N	129,628	5,549	124,079	-	129,628	5,549	124,079	-
Age, years (SD)	82.6 (6.9)	83.4 (6.6)	82.6 (6.9)	0.13	82.6 (6.9)	82.4 (6.6)	82.6 (6.9)	-0.03
Female (%)	47.3	51.0	47.2	0.08	47.4	48.7	47.3	0.03
Race				<0.01				<0.01
White (%)	94.0	94.3	94.0	-	94.0	93.6	94.0	-
Black (%)	2.8	2.8	2.8	-	2.8	2.7	2.8	-
Other (%)	3.2	2.9	3.2	-	3.2	3.7	3.2	-
Socioeconomic status - dual eligible (%)	8.5	8.44	9.12	0.02	8.5	8.5	9.0	0.02
History of stroke/TIA (%)	6.2	7.8	6.1	0.07	6.4	9.8	6.3	0.13
History of AMI (%)	3.0	3.5	3.0	<0.01	3.0	3.5	3.0	<0.01
History of CHF (%)	48.2	50.4	48.1	0.05	48.4	51.5	48.2	0.07
Ischaemic heart disease (%)	66.4	71.7	66.2	0.12	66.5	67.7	66.4	0.03
Valvular heart disease (%)	22.9	22.1	22.9	-0.02	23.1	26.6	22.9	0.09
Peripheral vascular disease (%)	30.8	45.8	30.1	0.33	30.9	31.7	30.8	0.02
Hyperlipidaemia (%)	76.4	77.4	76.3	0.03	76.4	76.2	76.4	-0.01

Hypertension (%)	91.9	94.3	91.8	0.10	92.0	92.8	91.9	0.03
Atrial fibrillation (%)	26.5	24.2	26.6	-0.05	26.6	28.3	26.5	0.04
Diabetes (%)	45.1	46.0	45.0	0.02	45.1	46.1	45.1	0.02
Anaemia (%)	53.4	56.9	53.2	0.08	53.5	63.7	53.1	0.07
Coagulopathy (%)	18.6	25.8	18.3	0.18	18.8	21.8	18.6	0.08
Obesity (%)	20.9	17.2	21.1	-0.10	20.9	20.8	20.9	<-0.01
Weight loss (%)	6.1	9.9	6.0	-0.10	6.2	8.2	6.1	<-0.01
Hypothyroidism (%)	21.6	20.5	21.7	-0.03	21.6	21.4	21.6	-0.01
Chronic pulmonary disease (%)	34.1	39.5	33.9	0.12	34.2	35.1	34.2	0.02
Asthma (%)	7.6	8.1	7.6	0.02	7.6	7.5	7.6	-0.01
COPD (%)	21.2	23.5	21.0	0.03	21.2	22.3	21.2	0.03
Chronic kidney disease (%)	36.6	36.4	36.6	-0.01	36.7	37.6	36.6	0.02
Renal failure (%)	36.8	41.3	36.6	0.10	36.9	38.8	36.8	0.04
Liver disease (%)	2.9	2.0	3.0	-0.06	2.9	3.1	2.9	0.01
Glaucoma (%)	12.9	13.1	12.9	0.01	12.9	12.9	12.9	<-0.01
Cataract (%)	21.2	21.3	21.2	<0.01	21.2	21.5	21.2	0.01
Depression (%)	19.4	17.9	19.5	-0.04	19.5	22.6	19.4	0.08
Osteoporosis (%)	8.7	9.3	8.7	0.02	8.7	9.2	8.7	0.02
Hip fracture (%)	1.0	1.1	1.0	<0.01	1.0	1.2	1.0	0.01
Rheumatoid arthritis and osteoarthritis (%)	43.1	41.1	43.2	0.02	43.2	44.3	43.1	0.02
Breast cancer (%)	4.2	4.1	4.2	<-0.01	4.2	4.6	4.2	0.02
Colorectal cancer (%)	2.1	2.1	2.1	<0.01	2.1	2.0	2.1	-0.01
Prostate cancer (%)	6.2	5.3	6.2	-0.04	6.2	5.7	6.2	-0.02
Lung cancer (%)	1.4	1.8	1.4	0.03	1.4	1.6	1.4	0.01
Endometrial cancer (%)	0.4	0.3	0.4	-0.02	0.4	0.5	0.4	0.01

Lymphoma (%)	1.5	1.4	1.5	-0.01	1.5	1.7	1.5	0.02
Prostatic hyperplasia (%)	12.8	10.5	12.9	-0.08	12.8	13.4	12.8	0.02
Paralysis (%)	3.0	19.9	2.3	0.60	3.1	3.6	3.1	0.03
Other neurological conditions (%)	9.6	21.0	9.1	0.34	9.7	12.5	9.6	0.09
Alzheimer disease and related dementias (%)	9.8	10.1	9.8	0.01	9.9	12.2	9.8	0.08
Psychosis (%)	1.4	2.1	1.4	0.06	1.5	1.9	1.5	0.04
Rural hospital (%)	1.5	1.5	1.2	-0.03	1.5	1.5	1.5	<0.01
Admission type (%)				0.07				0.09
Elective (%)	81.7	81.8	78.9	-	81.5	81.6	78.2	-
Emergent (%)	18.3	18.2	21.1	-	18.5	18.4	21.8	-
Procedure day				0.21				0.09
Day 1 of admission (%)	69.3	69.8	59.7	-	69.0	69.3	62.1	-
Day 2 of admission (%)	16.9	16.6	22.7	-	17.0	16.9	19.2	-
Day 3+ of admission (%)	13.8	13.6	17.6	-	14.0	13.8	18.7	-
Transfemoral access (%)	94.1	85.5	94.5	0.30	94	92.4	94.1	0.07

AMI: acute myocardial infarction; CHF: congestive heart failure; COPD: chronic obstructive pulmonary disease; TAVI: transcatheter aortic valve implantation; TIA: transient ischaemic attack

**Supplementary Table 3. Hazard of all-cause mortality, ischaemic stroke, and acute myocardial infarction following TAVI-related stroke event using a validated high specificity ICD coding algorithm for identifying ischaemic stroke.**

<b>Outcome</b>	<b>Unadjusted HR TAVI with ischaemic stroke versus without (95% CI)</b>	<b>IPTW-adjusted HR (95% CI)*</b>
1-year composite outcome**	2.59 (2.36–2.85)	1.96 (1.77–2.17)
1-year AMI	1.18 (0.79–1.76)	1.89 (1.37–2.60)
1-year mortality	2.51 (2.27–2.78)	1.88 (1.68–2.10)
1-year subsequent stroke	2.35 (1.72–3.22)	2.34 (1.71–3.19)
1-year all-cause readmission	1.37 (1.28–1.46)	1.21 (1.13–1.30)
30-day mortality	5.26 (4.66–5.95)	3.99 (3.48–4.58)
90-day mortality	4.51 (4.09–4.97)	3.29 (2.94–3.67)
5-year mortality	1.74 (1.60–1.88)	1.46 (1.33–1.59)

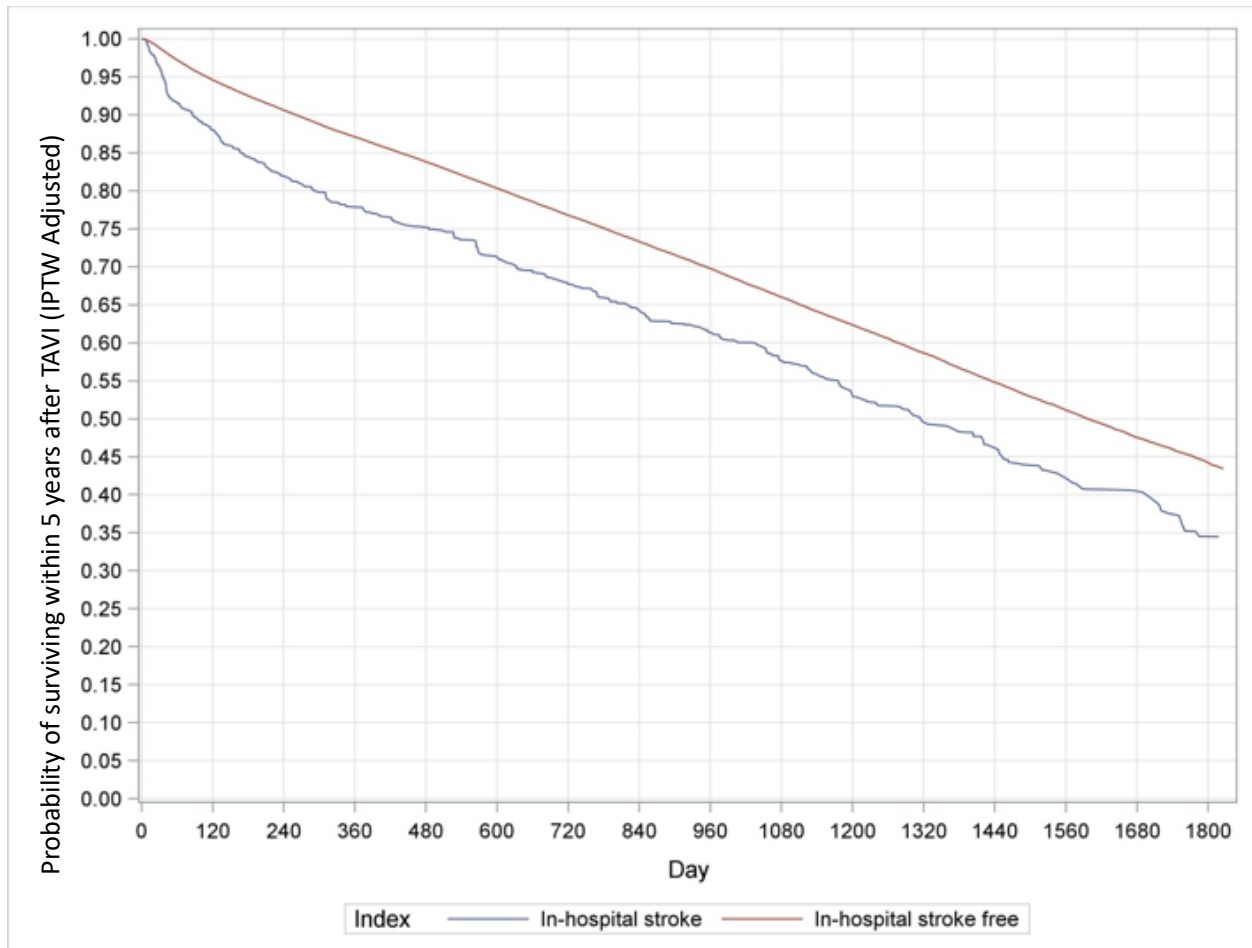
\*Adjusted for age, sex, race, comorbidities in Table 1, insurance status, hospital location, admission type, and year of admission.\*\*1-year composite outcome includes AMI, all-cause mortality, and subsequent stroke. AMI: acute myocardial infarction; CI: confidence interval; HR: hazard ratio; IPTW; inverse probability treatment weighting; TAVI: transcatheter aortic valve implantation

**Supplementary Table 4. Difference-in-difference analysis of CMS expenditures following TAVI related ischaemic stroke event accounting for competing risk of death in an unadjusted cohort.**

<b>Outcome</b>	<b>Group</b>	<b>1 year prior to TAVI</b>	<b>1 year after TAVI</b>	<b>D-i-D (SE)</b>
Mean CMS expenditure, \$ (SE)	TAVI with ischaemic stroke	17,913 (487.4)	83,309 (661)	
Mean CMS expenditure, \$ (SE)	TAVI with no ischaemic stroke	18,728 (112.8)	73,815.9 (186.1)	
<b>Difference (SE)</b>		-815 (500)	+9,493	-10,308
<b>p-value</b>		<0.001	<0.001	<0.001

CMS: Centers for Medicare and Medicaid Services; DiD: difference-in-difference; IPTW: inverse probability treatment weighting; SE: standard error; TAVI: transcatheter aortic valve implantation





**Supplementary Figure 1.** Kaplan Meier curve showing 5-year mortality in an IPTW-adjusted population using a validated high specificity ICD coding algorithm for identifying ischaemic stroke. Cumulative survival in patients undergoing TAVI stratified by TAVI-related ischaemic stroke status for entire follow up period using a validated high specificity ICD coding algorithm for identifying ischaemic stroke.

TAVI: transcatheter aortic valve implantation